



Southeast Asian Fisheries
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Establishment and Operation of A Regional System of
Fisheries Refugia in the South China Sea and Gulf of Thailand

REPORT THE FORTH PROJECT STEERING COMMITTEE AD_HOC MEETING

VIRTUAL MEETING 6TH OCTOBER 2020



SEAFDEC/UNEP/GEF
Fisheries Refugia
NOVEMBER 2020

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**Establishment and Operation of a Regional System of Fisheries *Refugia*
in the South China Sea and the Gulf of Thailand**

REPORT

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Report of the Meeting

(Adopted As of 31 Oct. 2020)

I. OPENING OF THE MEETING

1. The 4th Project Steering Committee Ad-hoc Meeting for the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of Fisheries *Refugia* in the South China Sea and the Gulf of Thailand was organized by the Project Coordinating Unit (PCU) on 6 October 2020 from 09:00-12:00, Bangkok time (GMT+07:00). Due to the impacts from COVID-19, the meeting is virtual via Zoom App. The participants included the Project National Focal Point, the National Scientific and Technical Focal Point from six participating countries, namely Cambodia, Indonesia, Malaysia, Philippines, and Thailand, including Ms. Isabelle Vanderbeck, the project task manager from UNEP. Ms. Malinee Smithrithee, SEAFDEC Secretary-General from SEAFDEC. [ANNEX 1](#) shows a list of participants.
2. Ms. Malinee Smithrithee, Secretary-General of SEAFDEC, welcomed all project steering committee, project task manager from UNEP to the meeting. On behalf of the SEAFDEC Project Coordinating Unit (PCU), she thanked all participants for the cooperation during the past years. She also mentioned the project come to an end in December 2020. But she believed this meeting would have a fruitful discussion for further extension of the project as the aims of this meeting.
3. Ms. Isabelle Vanderbeck, Project Task Manager from UNEP, was happy to meet all participants again, even by virtual, which, by the circumstances, the project could create a sense of community. We have to pay PCU attributes through a champion on the showcase of the project everywhere, in every possible way in writing on the country's behalf, a series of articles showcased in the World Ocean Day and even at the International Water newsletters (IW-LEARN).
4. She informed the meeting that UNEP could act on the project's recommendations to be ratified by the Project Steering Committee. So, she understands the urgent needs for this Ad-hoc meeting, even there are the same targets for the agreed project extension during the last Ad-hoc meeting in June and finalizing the budget revision in this meeting. The idea is to agree on the ways to extend the nature of the required budget adjustment so that the UNEP intern can act those changes in project extension before the Project Steering Committee ratification. She also informed the meeting aims are the budget needs for project extensions and the project evaluation. The midterm evaluation or midterm review should be soon starting, which is a critical exercise in the project's lifetime. One of the most constructive evaluation processes because we all participated in this exercise is to benefit from the external expert and consultant's expertise to sculpt and even perform better in the 2nd half of the project. She then believed that this should be a favorable exercise of the project to be more efficient.
5. Ms. Vanderbeck then thanked all the Committee again for joining this virtual meeting and look forwards to a vibrant conversation.

II. MEETING BACKGROUND AND INTRODUCTION

6. Dr. Somboon Siriraksophon, Project Director, performed as a secretariat of the meeting, introduced background and meeting objectives to the Committee as appears in [ANNEX 2](#).
7. He refers to the project that has been initiated since 2016 and is scheduled to be ending by December 2020. Many reasons put the project for delaying in implementation, such as policy changing in the country to receive the grants, impacts of the COVID-19 pandemic, etc. In this connection, the PCU has raised the project extension issue during the PSC2 in MIRI, Sarawak, Malaysia, in November 2019. The issue was revisited at the Ad-hoc Meeting of Project Steering Committee, held in June 2020.
8. Look at overall progress works from participating countries, namely Cambodia, Malaysia, the Philippines, and Thailand, which had achieved less than 45% as of 30 December 2019. Besides, Viet

Nam and Indonesia signed the LOA for project initiatives in the mid of 2019. The Project Steering Committee at its third ad-hoc meeting in June 2020 adopted a two-year extension from 2021 and 2022 for further consideration by the UNEP/GEF-Donor without extra Funds. Simultaneously, the PSC agreed to a 10% reduction from each participating country's unspent as of 31 December 2019 to support the project management till the end of 2022. The proposed budget revision and cost work plan to implement the project by the end of 2022 are further needed to ensure that the budget allocation is cost-effective used alignment with the proposed extension period.

9. Mr. Mohd. Sufian bin Sulaiman, a Committee from Malaysia, introduced Mr. Raja Bidin Raja Hassan, the Deputy Director-General of the DOF/Malaysia, as an honored guest to the meeting. He also updated the Committee on the country situation due to the COVID-19 pandemic in Malaysia. The DOF/MY resumed some of Fisheries Refugia activities, especially on the data collection at both refugia sites at Kaula Baram and Tanjong Leman, and target to complete by the end of 2020. Two committee-level meetings have been conducted in the 3rd quarter this year.

III. PROPOSED COST WORKPLAN AND BUDGET REVISION

3.1 CAMBODIA

10. Mr. Vibol Ouk, as a Project Steering Committee and National Focal Point from Cambodia, shared the table of cost Workplan. He informed the needs for budget revision to the meeting as follows:
 11. Overall, the cost extension from the original Project document, Cambodia allocated the budget for Budget line related to policy and regulation. Cambodia also received another support from the EU to amendment on Fishery Law that has been made so far. Regarding this, Fisheries Administration (FiA) already registered a fisheries refugia context into the law and fishery of Cambodia and Strategic Action plan for Fisheries Conservation and Management. For this reason, FiA moves this budget to the other budget line in the Project Personnel component. The said budget line will cover a few coordinators and project accountants from Quarter 3/2020 to 2022.
 12. Regarding establishing three Fisheries Refugia sites in Cambodia, he informed that the government adopted two Refugia sites in Koh Kong and Kep Refugia in 2019. Fisheries Refugia in Kampot is in the process and more difficult compared to the first two sites due to the conflict between coastal development and conservation. The project, therefore, spend more time working on it. Accordingly, the proposed budget revision from Cambodia is mainly for the establishment of grouper Fisheries Refugia in Kampot and drafting of the proclamation, national action plan, and management plan through the national consultations, a compilation of fisheries data for drafting of fisheries profiles at each site and drafting of the policy and legal report. Therefore, the revised budget is allocated for the national consultant(s) to work and develop all draft policy papers for further action or endorsement by the Government.
 13. Cambodia recently has a national fisheries policy, which includes the Fisheries Refugia context. Fisheries Administration may consider that it is unnecessary to have a separate fishery policy on fishing refugia separately. However, Cambodia will reform the fisheries sections while FiA plans to include the Fisheries Refugia section under one of the Department of Fisheries Conservation. The government may create a sub-decree in the amendment of the National Fishery Law and changing the name of Fisheries Administration to the General Department of Fisheries. As mentioned earlier, the mandate and structures will be reformed and include the Fisheries Refugia section under the Department.
 14. The Fisheries refugia project in Cambodia works closely with other development partners such as Fauna & Flora International (FFI), Marine Conservation Cambodia (MCC), private sectors, etc. Sub-contracts' budget is mainly for the non-profit supporting organization such as the Administration Cantonment at 3 Refugia sites (Kep, Koh Kong, and Kampot), and the Marine Fisheries Research and Development Institution.

15. For the Training, Meeting, and Awareness building program, the revised budget will cover activities on releasing blue swimming crab, installing mooring buoys for refugia boundary, and an over-sea regional meeting for selected key stakeholders, and stakeholder consultation/workshop. Cambodia also plans to conduct several quarterly site-based management board meetings in Kampot's grouper fisheries refugia under the revised budget.
16. Budget for equipment and miscellaneous component such as translation from Cambodian language to English, printing cost, etc., are allocated as mentioned in the proposed cost Workplan.
17. Cambodia also informed the meeting on establishing an extension site for blood cockle fisheries refugia in Sihanoukville Province in which the Government adopted the proclamation for this refugia. This fisheries refugia site had been implemented and supported by the SEAFDEC Japanese Trust Fund Project for more than a decade. Finally, the blood cockle refugia were successful established with support from the current Fisheries Refugia project.
18. Ms. Vanderbeck thanks Mr. Vibol for the exhausted and very interesting presentation. In reference to the Revision of Budget, she requests which valid to all participating countries add one more column to provide the justification for the values and reasons for changes. Cambodia has shown the reallocation of funding for the last two quarters of 2020, 2021, and 2022. By adding the column for justification for the modification. The PCU will have to calculate **Roi and Ri** throughout the entire project.
19. After deliberation, the proposed cost Workplan and Revision of the budget are finalized and submitted to the PCU, as shown in [ANNEX 3](#).

3.2 INDONESIA

20. **Dr. Aulia Riza Farthan**, a Project Steering Committee, and National Focal Point for Indonesia, outlined three issues in his presentation: 1) General Workplan, 2) Cost Workplan and Progress, and 3 Issues particularly the COVID-19 impacts as follows:
 - There are three main components in the general work plan to achieve the Refugia design: 1) Survey, 2) Assessment Mission, and 3) Public participation. The Survey composes of reviewing existing ocean/coastal policy (local government), reviewing existing MMAF MSP, and analyzing existing data. The Assessment mission contains mapping data and information, analyzing new data, and adding a new database system. And for Public participation, this component comprises environmental, social, and economic analysis and strategic options. It is expected that these above activities will design refugia in each site for further registering to MMAF marine spatial planning and local government consideration and decision.
 - The lead agency has worked on the database and online meetings. But due to the changing of the policy for COVID-19, there is difficulty in visiting the site, causing the fieldwork delayed and public participation difficulties.
 - Considering the outputs, MMAF will register both refugia sites in **Bangka Belitung and West Kalimantan** to the central and local governments.
 - Dr. Riza presented the proposed cost Workplan and expected outputs after Quarter 3 of 2020 to 2022. He informed that Indonesia desired to publish the Management Plan for reporting to the PCU within the set timeline. Regarding the national fishery policy and regulation, MMAF has conducted meetings with the central government related to Marine Spatial Planning (MSP) in early 2020 before the COVID-19 pandemic. Based on the Government MSP, MMAF, through the Refugia Project, has to scale down to the local government at the fisheries refugia sites. Regarding this, the project team has already communicated with the local government/partners before the pandemic. The team has met multilateral missions and meetings between the central and the local.

- Dr. Aulia Riza Farthan also introduced the issues and its strategy to achieve the objectives target under the COVID-19 situation and within a 2-years extension as follows:
 - i. Working of Database: Collecting and analyzing secondary data from regional fish stock data and university data, and improving database collection and publish the web portal for fish refugia project;
 - ii. Public Participation Difficulty: Using the social application for the only meeting while working with local partners and institutions/University to improve data collection and enhance community communication.
 - iii. Impact on Field Work: Finding and using available historical regional ocean modeling data and remote sensing data to identify spawning and nursery grounds locations. Besides, enhancing collaboration with local institutions/Universities to conduct data sampling and use their laboratory facilities.
 - iv. Changing Regulation and Priority of the Local Government: By identifying the central/local government priorities locations such as marine aquaculture location, capture fisheries location, and any fishermen interest. Also, promote the fish refugia area-based and the benefits of refugia for the future sustainable management of fisheries refugia sites.
21. Mr. Raja Bidin Raja Hassan asked Dr. Riza on “what type and sources of secondary data used and what methodology used in analyzing? In reply, the secondary data used to support the identification of fisheries refugia areas, particularly the spawning and nursery grounds, are Chlorophyll-a, oceanographic parameters such as water current, sea surface temperature, etc. together with the remote sensing data under the lead agency.
22. Dr. Somboon suggested that Indonesia provide the project outputs that align with the proposed cost Workplan, particularly the first three budget components such as Project personal, Sub-contract, and Training components, for further monitoring by the PCU. In response to this, Indonesia submitted the final cost Workplan and the Revision of Budget to PCU, as shown in [ANNEX 4](#).

3.3 MALAYSIA

23. Mr. Mohd Sufian stated that most of the baseline survey data is in the final stage by the end of 2020. The budget alignment is mostly for meetings and workshops to integrate all findings, which will guide Malaysia to develop further the National guidelines and management plan for the fisheries refugia areas.
24. Overall of the adjustment of the revised budget is according to the fund approved. Mr. Mohd Sufian also informed that at the 4th Quarter 2020, Malaysia plans to conduct the technical workshop(s) to verify and validate data collected at both refugia sites. In 2021, Malaysia will focus more on outreach programs, training, consultations, meeting, and technical workshops. Malaysia also aims to see cross-sectoral coordination and improve the community sectors to develop the complete Management Plan for both sites. Malaysia will pay special attention to the idea regarding strategic consideration, such as choosing the allocation, demarcating boundary-based practices and policy, ensuring the key stakeholder on board throughout the refugia sites establishment process. The overall budget proposed to this meeting is not beyond the approved allocation, including a 10% reduction.
25. A representative from Malaysia informed the project implementation's current situation and what needs to conduct from now till the end of 2022. In the next quarter, Malaysia has planned to complete the field discussion at the site with various stakeholders that include experts in marine to cooperate on the findings and verify the findings. Later, all collected data will be used as a reference and guidance in drafting the management plan of two pilot sites. And Malaysia aims to start preparing the management plan by 2021 and expected that it is ready by the end of 2021. In

developing the management plan, all stakeholders' levels will be involved, and consultation will currently take place. All relevant documents for managing fisheries refugia will be drafted in 2021, including the national plan of action, the national reviews, and national guidelines through the best practices for both sites. ...

26. Malaysia also raised the issues and constraints that Malaysia is facing regarding coverage of refugia areas. Malaysia has committed to transferring 10% of marine areas into Marine Protected Area (MPAs) under the Convention of Biological Diversity and Establishing the Fisheries Refugia sites. Malaysia also plans to gather few areas in Johor Bahru waters as Marine Park, causes of this effort may raise a conflict with fisherfolk, as decreasing in fishing areas. So, for the establishment of fisheries refugia area as well as new marine park areas. Therefore, a few consultations will be conducted as the initiated alternative livelihood programs, where targeted groups can reach this issue.
27. The other issues on the state and jurisdiction, the State government has an authority to enact law and formulate policy on a matter relating to forest and land. However, they impose Policy in establishing the Fisheries Refugia site; the mutual agreement with the state needs to be installed and cooperated, especially in setting up new facilities for managing areas.
28. Malaysia introduced the cost Workplan based on Component No.10, such as about 22,000 USD for the project personnel, including the cost of enumerator for data collection, especially socio-economic study, to improve acceptance of the community at both sites. A total of 49,000 USD of a total allocation budget is for hiring project consultants to assist in national reviews, including hiring marine experts and scientists, GIS/Mapping Experts, etc. For the traveling budget to work at sites, Malaysia increases the funding because the Government has reduced the national budget supported the project implementation.
29. **Ms. Vanderbeck** pointed out the increase in traveling costs due to the national budget reduction as co-financed by the Government. She then requests all participating countries to keep track of the co-financing that country has committed as the project approval. The SEAFDEC/PCU and UNEP can also continue mapping it out to unload the GEF fund increment. She suggested the committee on trying to track and qualify the co-financing from the country. The meeting takes note of this matter.
30. The SEAFDEC/PCU also suggested the same issues raised for Indonesia by asking the country to provide the outputs link, especially the consultants' cost.
31. **Mr. Vibol** also pointed out the cost of budget line 3300, which Malaysia allocated for organizing the NFRC and NSTC. The PCU will further internally discuss with Malaysia on this matter.
32. Malaysia later submitted the final cost Workplan and Revision of budget to the PCU as in [ANNEX 5](#).

3.4 PHILIPPINES

33. Mr. Joeren Yleana, the National Focal Point and Project Steering Committee for the Philippines, presented the progress of work as of September 2020. He highlighted the following progress and plan to complete by the end of 2020:
 - Fisheries refugia profiles for three sites which are drafting process;
 - Drafting of the management plans for fisheries refugia sites through the capacity building on Ecosystem Approach to Fisheries Management which is targeting the establishment of Fisheries refugia;
 - Drafting the national guidelines based on stakeholder consultations at three refugia sites;
 - Policy and executive orders, provincial ordinances by law in three sites endorsed; and
 - Awareness building materials and programs.

34. Within 2021, the Philippines expected to complete the remaining outputs such as 1) Reviewing the national, provincial, and municipal regulations/ordinances for responsible fishing practices at priority refugia via the EAMF workshop in three refugia sites; 2) national reports on policy, legal and institutional aspects of refugia establishment and management published; 3) National Action Plan for the management of priority fisheries refugia and associated biodiversity; 4) databases online and populated with datasets; 5) national catalog of best practice approaches and measures; 5) communications on best practices published and syndicated; 6) Annual reports of outreach programs at three priority locations, including tracking of the extent of community acceptance; and online national web portals on fisheries refugia.
35. Mr. Yleana also informed that Philippines plans to include the Fisheries Refugia Concept as one of the Fisheries Administrative Orders (FAO) to manage fisheries resources under the purviews of BFAR. He reiterated that the Philippines has already drafted the Management Plan for three fisheries refugia sites through the stakeholder consultation. The drafts will be presented to the respecting Local Government Unit for acceptance by 2021.
36. For the cost Workplan and budget allocation, Mr. Yleana informed the meeting that most of the budget prepared for the Project Personnel are for hiring nine assistants related to several tasks such as mapping, management plan, document best practices examples, industry consultation, the study of fish early life history, information management for spatial planning, development media on best practices. Under the Consultant's budget component, Philippines will hire local consultants to support and draft the target outputs such as Management plan, Coastal resources regulation, management measures, fisheries law, guidelines, national action plan, and linking science and local knowledge in boundary delineation. For the sub-contract budget component, Philippines prepares to work with the non-profit supporting organization such as site-based agency to conduct the stock assessment, establish and coordinate volunteer networks, local coordination of the capacity program, awareness and engagement, and site-based observer/enforcement program. Philippines expects to complete these programs by the end of 2021.
37. Philippines prepared 20,000 USD for some activities related to the Meeting component in 2022, including Site-based Management Committee meeting, Refugia management team meeting, National Fisheries Refugia Committee Meeting, and national scientific and technical committee meeting.
38. Mr. Valeriano M. Borja, a project steering committee and the national scientific and technical committee, updated that the implementation at two sites in the northern Luzon Island can continue by the refugia staff. Only the Coron Refugia site, that project staffs cannot travel due to transportation mode problems.
39. Later, Mr. Yleana submitted the final cost Workplan and Revision of Budget to the PCU as shown in [ANNEX 6](#).

3.5 THAILAND

40. Ms. Praulai Nootmorn, a Project Steering Committee and National Focal Point for Thailand, presented the achievements and work plan for 2021 and 2022. She informed the meeting about the primary outputs that Thailand has published, such as a Fisheries Refugia profile for short mackerel in Trat Province. Another blue swimming crab profile is expected to complete in 2021. She also informed the Fisheries Refugia Concept's inclusion into the National Management Plan for endorsement by the Department of Fisheries. Regarding this, Thailand will submit the Management plan to the SEAFDEC/PCU soon.
41. Ms. Nootmorn also introduced the best practices based on the project involvements, one of which is on releasing blue swimming crab, which is shown strong support and engagement from fishers and community in conserve and enhance blue swimming crab resources in the Gulf of Thailand. She also highlighted on changing of fishermen's attitude towards the conservation of blue

swimming crab. More than 20,000 berried female crabs caught by trawlers were released to the sea by fishers from August 2019 till September of 2020. Another best practice is applying the fisheries refugia concept for short mackerel in the whole country covering the Gulf of Thailand and the Andaman Sea through sound scientific-based management approaches. Fisheries refugia or fisheries management areas for short mackerel are closed from the south move to the upper Gulf of Thailand following the seasons and short mackerel migration patterns. Specific types of fishing gear are control and regulated within the refugia area.

42. Thailand will complete all critical outputs before the 3rd Quarter of 2022, such as national guidelines on establishing and operating fisheries refugia, national reports on policy, legal and institutional aspects of refugia establishment and management, the policy and executive orders, provincial/local ordinances, and by-laws, National Action Plan for the management of priority fisheries refugia and associated biodiversity, web portal, etc.
43. The final costs Workplan and Revision of the budget for Thailand are submitted to PCU, as shown in [ANNEX 7](#).

3.6 VIET NAM

44. Mr. Nguyen Thanh Binh, a Project Steering Committee and National Focal Point for Viet Nam, informed the meeting on the proposed cost Workplan and the budget allocation for national project implementation from present till the end of 2022. Since Viet Nam signed the project agreement in May 2019, there are few activities concerning the project Workplan's internal preparation for government approval. Therefore the allocated budget is maintained unspent. Viet Nam, therefore, proposed a minor modification of budget allocation as approved by GEF. The cost Workplan for Viet Nam is prepared to align with the original work plan but repackage them to shorten the period to be completed by the end of 2020. Per budget allocation, about 10.9% of the Unspent budget as of 31 Dec. 2019 was cut off to support the Regional project management till the end of 2022. Regarding this, the revision of funding this time is due to a 10.9% reduction only.
45. Mr. Binh explained further that Viet Nam reduced the cost of hiring local staff in the Project Personnel Component. At the same time, Viet Nam will provide co-finance and resources to support and maintain the project activities. A small adjustment of the budget allocation for the budget line of Consultant and traveling cost. Viet Nam has similar approaches for budget allocation under Component 2.
46. As Viet Nam is behind the project schedule, he refers to the GEF approved project document developed since the first phase of the SCS project. Nowadays, Viet Nam has a new legal framework, and we found that the project document is not fitted to the new legal framework, including fisheries refugia establishment and installation mechanism. Under fishery's law, to those ODA project, D-Fish as a National lead agency has to follow new procedures on the budget and project cost to get approval by the Ministry. D-Fish spent more time getting the clearance from Government under these new procedures.
47. Considering the detailed cost Workplan requested from the PCU, Viet Nam plans to establish Fisheries Refugia in three sites towards the Co-management approach with specific species protection under the local government/provincial level. By giving the right to manage fisheries resources to the local community under the co-management approach.
48. Viet Nam has developed the work plan covering seven quarters from Q4/2020 to the end of Q2 in 2022. Viet Nam will put all efforts to parallelly work for many tasks simultaneously from central to the local level. He also committed to submit this rough work plan to the PCU soon for further coordination and cooperation, including the technical support to Viet Nam.
49. For the outputs, Viet Nam will hire consultants to compile, validate, and stock assessment of the priority species at each refugia site. Viet Nam will develop other outputs, such as a management plan, fisheries policy at the provincial level, guidelines for establishing Fisheries refugia, a national

plan of action, etc. by the 2nd Quarter of 2022. Viet Nam plans to scale up this fisheries refugia concept at the national policy level under the existing legal framework. The standardize of regulation for the establishment and operation of Fisheries Refugia is another main target for further promotion in Viet Nam.

50. Mr. Binh added that Viet Nam already established the institutions for managing the project, such as the National Fisheries Refugia committee, national scientific and technical committee, and site-based management board. Some committees have already met a few times for project planning.
51. Dr. Ngurah seeks clarification on the site selection and priority species and the strategy to move onward with project implementation. Based on the existing data reviews, Viet has selected three sites and tentative target species, as reported at the RSTC3 in Haiphong in Feb. 2020. Viet Nam will finalize the species again after validating all data and consultation with the local community. For the strategy to achieve the project implementation, Viet Nam, as introduced earlier, will work on many tasks in parallelly. Accordingly, D-Fish will work with partners such as local Fisheries Administrations, Research Institute of Marine Fisheries (RIMF), etc.
52. The cost Workplan and budget allocation for 2021 and 2022 for Viet Nam are shown as [ANNEX 8](#).

3.7 SEAFDEC/PCU

53. Dr. Somboon Siriraksophon, the Project Director, presented the cost Workplan and the proposed budget allocation for the Regional program on behalf of the Project Coordinating Unit.
54. He informed the meeting on the overall budget allocation to the PCU is about USD 1,492,750; this amount included a 10% reduction from the national program Unspent, as of 31 December 2019. The budget was remaining as of 30 June 2020 for implementation until 2022 is about USD581,961. Regarding this, the PCU allocates the funding to 5 components, namely: 1) USD370,626 to the Project Personnel, 2) USD38,860 to the Sub-contract, 3) USD 62,330 to the Training/ Meetings, 4) USD 1,220 for Equipment/maintenance, and 5) USD109,000 for Miscellaneous component where USD100,000 will prepare for the mid-term project evaluation and terminal project evaluation.
55. The PCU's main activities are specific to the regional outputs such as regional scientific reports and publications, regional policy papers and guidelines, etc. Dr. Somboon then informed the meeting that many work tasks are planned, such as organizing the Regional Scientific and Technical Committee meetings, Project Steering Committee meetings, including a Project-end Conference for showcase and promotion of fisheries refugia the public. The PCU expected to achieve the following outputs before the 3rd Quarter 2022 such as Regional scientific reports and policy papers including:
 - Factsheets on the prioritized species in the Fisheries Refugia,
 - A regional System of Fisheries Refugia (Profiles) in 6 countries
 - Present status and trend of Fisheries Resources and habitat linkage.
 - Regional Action Plan for Short mackerel endorsed by ASEAN
 - Fishery Policy on Establishment of Fisheries Refugia;
 - Regional guidelines for Establishment and Management of Fisheries Refugia endorsed by six countries, and SEAFDEC/ASEAN
56. The PCU has to monitor overall activities, including national programs, to ensure the project outputs and outcomes until the project ends achieved.
57. Dr. Worawit Wanchana, a representative from SEAFDEC Secretariat, asked questions to the PCU and UNEP as follows: 1) whether policy documents, particularly the Regional Guidelines for Sustainable Management and Establishment of Fisheries refugia developed from the regional

program project be endorsed after the project end in 2022; and 2) the timeline of the terminal project evaluation should be completed within the proposed two-year extension or beyond.

58. In response to the 1st question, Dr. Somboon informed that all Regional policies and guidelines would be completed and endorsed by six participating countries through the RSTC and PSC Meetings by the end of 2021. The PCU expected to address these documents, particularly the Regional Guideline for establishment and operation of Fisheries Refugia to the SEAFDEC Council for adoption in 2022, which is usually scheduled April-May. To push those policy papers under the ASEAN framework, it may take time for at least a year. So, he believes that SEAFDEC, as an executing agency, could continue the process for endorsement or support from the ASEAN with the SEAFDEC council's support.
59. Ms. Vanderbeck's responses to the SEAFDEC question that to get the endorsed Regional Guideline from the ASEAN is a plus or bonus from the project and no extent to the timeline of the project. She also refers to what Dr. Somboon mentioned to get approval through the RSTC and PSC from six-participating countries, and probably later by SEAFDEC, is already an outstanding achievement. She trusts all participating countries and SEAFDEC to elevate to ASEAN even the project end by 2022. On the extension of the project, UNEP will extend the formal Project Cooperation Agreement (PCA) between UNEP and SEAFDEC once the project revision of budget and justification is entirely clear by the UNEP Head Quarter, especially from the finance side. The terminal evaluation will take place within the last six months of the project's operation or at least within the next six months after the technical completion. So, Ms. Vanderbeck reiterated the PCA between SEAFDEC and UNEP; we will have to date on the technical completion, which is discussed today. Besides, we have financial completion for the closure and that only one terminal evaluation has taken place and once the bank book is closed. The concerns to us, we are discussing the technical closure of the project. The Agreement between SEAFDEC and UNEP will be extended by the extra six months to cover the terminal evaluation and financial closures.
60. Dr. Worawit encouraged all participating countries to complete the activities by the 2nd quarter of 2022 to ensure that all financial closure can take place by the end of 2022.
61. Dr. Ngurah from Indonesia seeks clarification just if the country cannot complete it by the 2nd quarter. Dr. Somboon responds to Indonesia that in the original proposed plan, PCU intends six countries to complete all activities by the 3rd Quarter 2022. However, SEAFDEC/PCU also noted that some countries have progressed and possibly completed their activities in the 2nd Quarter of 2022.
62. Mr. Binh from Viet Nam shared the situation in Indonesia. Viet Nam plans to complete in the 3rd Quarter of 2022.
63. Ms. Prulai informed the meeting that Thailand could complete within the 2nd Quarter of 2022, but she suggested end project activities simultaneously as Indonesia and Viet Nam committed by the 3rd Quarter of 2022.
64. The PCU concluded that even though the PSC agreed to a two-year extension, all national technical activities should be completed not later than the 3rd Quarter of 2022.
65. Mr. Mohd Sufian raised the MOU's extension between SEAFDEC and Country. The project will extend to 2022. Do we require a renewal or amendment of the existing MOU/LOA between SEAFDEC and Country due to its expiration in December of 2020? In response to this, Dr. Somboon refers to the results at its PSC3 Ad-hoc Meeting held in June 2020 that the Project Steering Committee agreed and adopted two issues: 1) a two-year project extension from 2021-2022, and 2) a 10% reduction of the national unspent as of 31 December 2019. He recalled the PSC3 Ad-hoc requested PCU to send the official letter to all six participating countries on the above results, due to time-consuming in some country in seeking the renewal MOU/LOA.
66. In this connection, the PCU would revisit this matter if the country required the renewal or amendment of the MOU/LOA for the extension period for the smooth operation.

67. Mr. Vibol also referred to the agreement at the PSC3 Ad-hoc meeting that it is unnecessary to visit this matter, as SEAFDEC/PCU had informed a key output on a two-year extension to all six participating countries already.
68. Mr. Borja informed the meeting that the project's national lead agency has already approved the letter from SEAFDEC on project extension. He then suggests that no MOU need for the Philippines. Regarding this, the PCU requests an approval document from the Philippines for Reference.
69. From Viet Nam, Mr. Binh suggested that the agreement between SEAFDEC and the country needs to renew for a two-year extension.
70. Malaysia: raised this matter because if the MOU was legal binding, it must renew for an extended period.
71. SG points out that the agreement is concerned with the financial provision, where every time the budget retransferring to the country, it will reference the agreement. However, for the smooth operation and adequately reporting to the financial auditor, SEAFDEC/Secretariat will check with the finance officer. The TOR appeared in the MOU and got back to all countries later.
72. The SEAFDEC/PCU will keep coordinate with SEAFDEC and inform countries on the decision of whether Country and SEAFDEC need to renew the MOU or not.
73. The finalized cost Workplan and budget revision for the PCU are shown as [ANNEX 9](#).

IV. CONSOLIDATED BUDGET REVISION AS OF 30 JUN 2020

74. The PCU presented a revision of the overall budget based on the cost Workplan proposal from 6 participating countries and the PCU.
75. Considering UNEP's request, all countries have to justify the budget revision, which aligns with the work plan. In conclusion, the PCU compiled the final documents, including 1) Cost-Workplan Proposal, 2) Revision of Budget plus the justification for a 2-year extension. In this connection, the SEAFDEC/PCU consolidated all proposed budget revisions from the Country and PCU for further submission to UNEP within October 2020 for consideration and using in the approval process for a Two-year Project Extension. Those consolidated revisions of the budget appear in [ANNEX 10](#).

V. PROJECT EVALUATION

76. Ms. Vanderbeck suggested the need to start preparing for a TOR for midterm evaluation from now to launch it in the 1st Quarter of 2021. As said, the mid-term review is a beneficial and positive exercise that will look at the achievements of the project impacts, what then go to wherewith the views to identify the most appropriate collective measures—the opinions from the expert on the ways that progress and suggestions are useful for moving forwards.
77. She also informed the meeting that the mid-term evaluation would be done through the coordination between SEAFDEC and UNEP. The resources are on the SEAFDEC budget, unlike the terminal evaluation resources remained with UNEP, and went to a UNEP independent evaluation office. In this case, the procurement will be done by SEAFDEC and keep all participating countries and the PSC members informed.
78. She then reiterated that the preparation for the mid-term evaluation needs to be started now. Because we need to define the Term of References (TORs) and probably get them circulated to six participating countries for endorsement, so, SEAFDEC can move forward in the recruitment process. If the country had any expert(s) on the fields who have not been involved in any service form in this line or the project's execution, please send the name to SEAFDEC/PCU.

VI. ARRANGEMENT FOR THE EXTENSION OF PCA BETWEEN SEAFDEC & UNEP

79. Ms. Isabelle Vanderbeck informed the meeting that once the exercise of Budget Revision and justification is finalized, the PCU has to aggregate each of the budget line variances for the project level and consolidate the revised work plan budgets. The PCU needs to present all relevant documents as a package with the Project Steering Committee decision captured in the report. UNEP must proceed with the approval of the budget revision and a 2-year extension.
80. To avoid any delay in the approval process, the UNEP finance officer will review the proposed budget revision and might come back with questions that of the case. Then UNEP will prepare the amendment to the Project Cooperation Agreement between UNEP and SEAFDEC, SEAFDEC, and the PSC run that. Once it is agreed in principle, UNEP will sign first and countersign by SEAFDEC. After the signatures are obtained in which the project budget, revised, and a timeline of this is extended, all countries and SEAFDEC can continue execution, disbursing funds, and spending the resources for the project implementation. When it comes to the time for reporting, countries and PCU have to report the revised budget line and budget cost again.
81. Ms. Malinee Smithrithee seeks clarification from UNEP as the project is ending by December 2020; how long would it take to process the PCA amendment for signing by SEAFDEC.
82. In response to this, Ms. Vanderbeck informed that UNEP determines the completeness and quality of submitting the documentation by the PCU. At the same time, she advises the project director that she constantly shares with the UNEP' Fund Officer to cooperate with her feedback as soon as received, and we don't delay the processes. She also mentioned that UNEP could act on the 2-year extension tomorrow provided we have documentation, so we don't have to wait for the end of the agreement between SEAFDEC and UNEP to initiate the extension and action. We should not be doing that because if we let the current agreement expire, we have to reissue a new one that is more problematic. It is better to do an extension on the amendment. The soon we can submit the completed package in a collective way for our fund officer reviews, the better it will be. If there are no many questions and issues to address, it would be speedy. Eventually, It is also dependent on the COVID-19, and who have to take action internally apart from our team, but it can take between 2 weeks and one month.
83. Dr. Somboon informed the meeting to coordinate quickly with all relevant countries to consolidate all the budget revision with the justifications and other documentations into a package. He will also further coordinate with UNEP from time to time to avoid any delay in the process.
84. Mr. Sufian asked the PCU about the status of the country's budget revision, particularly in the case of Malaysia. Malaysia also seeks any initial acceptance for proposed budget revision and how to proceed next steps. In response to this, Dr. Somboon requested all countries to consider the comments and suggestions on the costed Workplan from the meeting that might require the National Lead Agency's final adjustment on proposed budget revision. Then the country resubmission the final package of Costed Workplan, Revision of Budget, and justification for each budget line changed with authorized signatures on documents to the PCU. The PCU believes that gathering the final Budget Revision and Proposed cost Workplan from countries may not take long, not over one week after the meeting. SEAFDEC/PCU, after received those documents, could consolidate an overall revision of the project budget, costed Workplan, and other necessary documents and further submission a package of documentations to UNEP for consideration within October 2020.

VII. INTRODUCTION OF THE SCS SAP, AND FUTURE COOPERATION

85. Ms. Virginie Hart, the Inception Phase Team Leader of the UNEP – GEF Implementing the Strategic Action Programme for the South China Sea, started with a brief presentation on the background information of the big sister project to the Fisheries Refugia.
86. The project supports the Strategic Action Programme (SAP) adopted for the South China Sea in 2008 that combines both habitats, pollutions, and fisheries. In the execution of SAP, it was divided into two projects: one is Fisheries Refugia Project approved in 2015, and another one is the SAP Implementation Project adopted in 2016, as shown in the organogram in [ANNEX 11](#).

Unfortunately, there were few challenges to starting the implementation. For many reasons, the previous project manager has to leave. Since April 2020, two consultants (Virginie Hart and Rey Molina) joined the project to prepare the Inception Phase documents, including further elaboration of national and regional activities, workplans and revised budget. During this process the aim is to also link sites with those of the Fisheries Refugia and integrate new global, regional and national initiatives, including the sustainable development goals, Convention on Biological Diversity Post 2020 Framework and the role of habitats adaptation to climate change. There are various elements and new initiatives, new project all needs to be integrated into the project activities.

87. There are three components: Component 1 is entirely nationally executed by six participating countries for sustainable management of mangrove, coral reefs, seagrass, and wetland; Component 2 is mixture between national and regional activities, looking on the information-based for coastal habitat management, regional science and land-based pollutions, strengthening the national law, policy, and calculation work on socio-economic values of habitats; Component 3 is cross-cutting coordination of the activities, regarding cooperation on scientific knowledge, the participation of the Regional meetings, coordination of stakeholders, engagement of the private sectors, etc.
88. Ms. Virginie Hart also presented the organogram of the project. There are two executing agencies: SEAFDEC and UNOPs. UNOPs handles the executing of national activities and all the contracts with countries and staff for consultants. SEAFDEC is responsible for the regional activities, hosting the Project Coordinating Unit, managing the SEAFDEC officers, etc. At the national coordination in six countries: Cambodia, China, Indonesia, Philippines, Thailand, and Viet Nam, there are 1) Inter-ministry committee (IMC), 2) the National Technical Working Group (NTWG), 3) Specialist Executing Agency, and 4) National Committees. At the Regional Coordination, there are Project Steering Committee and Regional Scientific and Technical Committee similar to the Fisheries Refugia project.
89. The project held its first Inception Phase online meeting with all six participating countries in July 2020. Now the process of finalizing the Regional and National Implementation reports and budgets is underway. It is expected that all prepared documents will be adopted at the Inception Meeting and 1st Meeting of the Project Steering Committee by January 2021. For more information, the participants can visit the SCS SAP Website at <https://www.scssap.org>
90. In terms of Coordination, the project has already discussed bilaterally with all participating countries in the views of national priority sites for all habitats to look carefully at the same sites with the Fisheries refugia. So, some activities between the two projects in the same selected sites can be combined. Taking into consideration the two projects' timeline is a difference. Still, the overlapping time remained two and a half years, so what is important in the design of national and regional activities is to get feedback from Fisheries Refugia in terms of best practices and habitat management. The project will request the SCS SAP country to follow-up with Fisheries Refugia persons directly in the national consultations and find common sites working together. She hopes the project builds on the support of fisheries refugia.
91. Dr. Somboon shared the concerns on the same sites between two projects that perhaps it would be useful to work together in a baseline survey, particularly two new project initiatives like Indonesia and Viet Nam which started implementation last year. However, other Refugia countries can cooperate with the SCS SAP project and consider the healthy marine ecosystem relies not upon only habitats but fisheries.
92. Mr. Vibol seeks clarification on how the Fisheries agency coordinates and is involved in the SCS SAP since the responsible agency is the Ministry of the Environment. He recalled the 1st phase of the SCS project that in Cambodia, the Fisheries Administration hold three components, namely, fisheries, coral reefs, and seagrass. In comparison, the Environment Agency has three other components: mangrove, wetland, and land-based pollution. But at the 2nd phase of SCS SAP, it is

ANNEX 1: LIST OF PARTICIPANTS

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ANNEX 2: MEETING PROSPECTUS AND AGENDA

PROSPECTUS AND AGENDA

**The 4th Project Steering Committee Ad-Hoc Meeting for
the SEAFDEC/UN Environment/GEF Project on Establishment and Operation of
a Regional System of Fisheries *Refugia* in the South China Sea and the Gulf of Thailand**

6 October 2020

09:00-12:00AM (GMT/UTC+7)

Join Zoom Meeting

<https://us02web.zoom.us/j/88288899701?pwd=TFk1TU1MOHZBV1JXdMzBaDFIVFBjZz09>

I. INTRODUCTION

Concerning the proposed two-year extension for 2021 -2022 of the SEAFDEC/UNEP/GEF Project on the Establishment and Operation of a Regional System of Fisheries Refugia in the South China Sea and the Gulf of Thailand as discussed at the Ad-Hoc Meeting of the PSC in June 2020. The proposed budget revision for a period of the present to 2022 by six relevant country partners and PCU has been compiled. The SEAFDEC, as an executing agency of the project, wants to ensure that the proposed budget revision will associate with the expected target outputs and outcome of the project that could further be reporting and updating to the SEAFDEC Program Committee Meeting for their support. Besides, the cost Workplan for the two-year extension is also needed by the UNEP/GEF Fund Manager and the SEAFDEC/PCU to monitor and evaluate the achievements of the project implementation. SEAFDEC/PCU, therefore, proposes to organize the Ad-Hoc Meeting of the Project Steering Committee on 6th October 2020 from 09:00-12:00 AM. Due to the Covid-19 impacts, the PCU will arrange a virtual meeting using the Zoom application.

II. OBJECTIVES OF THE MEETING

- 1) To update the proposed budget revision by 6 country partners and SEAFDEC/PCU;
- 2) To consider the costed Workplan for 2021-2022 proposed by respective country and the PCU;
- 3) Discussion on the Timeline of the Project Evaluation (Mid-term evaluation, and Terminal Evaluation)
- 4) Updated administrative arrangement for the project extension matter;
- 5) Introduction of the SCS SAP Project and future collaboration

III. MEETING ARRANGEMENT

The virtual meeting will be arranged via the ZOOM Application. All invited participants can access through the following link: .

- Topic: **The 4th PCS Ad-Hoc Meeting (Virtual Meeting by ZOOM)**
- Time: **Oct 6, 2020 09:00-12:00 Bangkok (GMT+7)**
- Join Zoom Meeting via:

<https://us02web.zoom.us/j/88288899701?pwd=TFk1TU1MOHZBV1JXdMzBaDFIVFBjZz09>

IV. PARTICIPANTS

- All Project Steering Committee (including all National Focal Points, Regional Scientific and Technical Committee, and representatives;
- SEAFDEC Secretary-General and representatives;
- UNEP Task Manager;

- Invited SEAFDEC Program. Committee for six relevant countries;
- Invited the Project Personnel from the GEF/UNEP SCS SAP, and UNOP;
- PCU staffs (as Secretariat of the Meeting)

V. MEETING AGENDA

- AGENDA 1: Opening address and keynotes by SEAFDEC and UNEP
- AGENDA 2: Introduction of the Meeting by PCU
- AGENDA 3: Summary of the proposed revision of the budget by 6 relevant countries and PCU, by PCU
- AGENDA 4: Consideration of the costed workplan for 2021-2022 in accordance with the revised budget (by relevant countries and PCU)
- CAMBODIA
 - INDONESIA
 - MALAYSIA
 - PHILIPPINES
 - THAILAND
 - VIET NAM
 - PCU
- AGENDA 5: Timeline for Project Evaluation
- AGENDA 6: Administrative arrangements:
- Extension of the project cooperation agreement between SEAFDEC and UNEP
- AGENDA 7: OTHER MATTERS
- Introduction of the SCS SAP project and future collaboration

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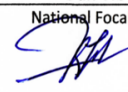
ANNEX 3: PROPOSED BUDGET REVISION & COST WORKPLAN FROM CAMBODIA

REVISION OF THE BUDGET AS OF 30 JUN 2020: CAMBODIA								
UNEP PROJECT NO								829
FINANCIAL YEAR								2020
OVERALL PROJECT FUND								3,000,000
ORG UNIT								
PROGRAMME								
PROJECT ID								5401
PROJECT SYMBOL								
Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION 10% FROM UNSPENT, AS OF 30 DEC 2019	CUMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION	
FOR 3 SITES								
10 PROJECT PERSONNEL COMPONENT								
1100	Project Personnel w/m	43,100.00	-	16,750.00	27,000.00	10,250	ref. 1	
1200	Consultants w/m	47,500.00	-	37,000.00	19,400.00	(17,600)	ref. 2	
1600	Travel on official business (above staff)	14,000.00	-	(57,223.51)	6,600.00	63,824	ref. 3	
1999	Component Total	104,600.00		(3,473.51)	53,000.00	56,474		
20 SUB-CONTRACT COMPONENT								
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)							
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	110,000.00	17,408.46	86,021.54	25,550.00	(60,472)	ref. 4	
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	-	(10,000)	ref. 5	
2999	Component Total	120,000.00	17,408.46	96,021.54	25,550.00	(70,472)		
30 TRAINING COMPONENT								
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	-	11,243.90	6,215.00	(5,029)	ref. 6	
3300	Meetings/conferences (give title)	31,500.00	-	31,500.00	43,219.65	11,720	ref. 7	
3999	Component Total	68,400.00		42,743.90	49,434.65	6,691		
40 EQUIPMENT & PREMISES COMPONENT								
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	(688.64)	800.00	1,489	ref. 8	
4200	Non-expendable equipment (computers, office equip, etc)	-	-	(3,721.00)	500.00	4,221	ref. 8	
4300	Premises (maintenance of exhibition)	-	-	-	-	-	ref. 10	
4999	Component Total	-		(4,409.64)	1,300.00	5,710		
50 MISCELLANEOUS COMPONENT								
5100	Operation and maintenance of equipment	-	-	(188.00)	823.50	1,012	ref. 11	
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	-	3,775.00	3,275.00	(500)	ref. 22	
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	(554.34)	531.80	1,086	ref. 13	
5400	Hospitality and entertainment							
5500	Evaluation (consultants fees ETC)							
5999	Component Total	4,500.00		3,032.66	4,630.30	1,598		
99	9999 GRAND TOTAL	297,500	17,408	133,915	133,915	0		

REF.

JUSTIFICATION

1)	The source of budget is taken from Component 2 in Activities 2.1.1, 2.1.2, 2.3.1, 2.2.1, 2.4.3 & 2.5.3 and Component 3 in Activities 3.3.1 to cover the expenditures for Project Personnel (Field coordinator and accountant) to support the project implementation (code=1100).
2)	National consultant is required to develop law and legislations and mostly used budget from component 2. However, due to in kind contribution from the National Focal Point to support developing law and policies related to Fisheries refugia concept, therefore we could save more budget and allocate for the project personnel and other activities.
3)	The budget is used for some special event related to the enhancement of fisheries resources and marine habitat, organized by FIA or partnership organization and the budget source is taken from Component 3 of Activity 3.2
4)	It is needed to strengthen collaboration between DFC/FIA and Fisheries Administration Cantonment in project target provinces and to raise capacity building of FIAC and CIs in development and implementation of fisheries refugia management. The budget source is taken from Activity 1.4.2, 1.4.3, and 1.4.4. Moreover, it is needed to ask for Marine Fisheries Research and Development Institute to help preparing technical report of fish larvae distribution collected since March 2019 to March 2020 in Koh Kong province and the budget source is taken from Component 2 of Activity 2.6.2
5)	The budget is not used and transferred to BL 1100
6)	The budget is used to enhance capacity building, develop, and implement the operation of fisheries refugia management, and share experiences from the establishment and operation
7)	The budget is transferred from Activity 1.2.2, 1.3.2, 1.3.4, 3.3.1 & 4.3.2 to prepare stakeholder consultation meeting, site based management board meeting, and to raise capacity building of Head of Technical Working Group at provincial level and their share experiences to regional meeting
8)	It is used for office project operation and the budget source is transferred from Component 4 of Activity 4.1.5
9)	Small budget is served for some equipment based on the need
10)	No budget allocated
11)	Budget is served for maintaining some project equipment, is transferred from Component 4 of Activity 4.1.5
12)	The budget is transferred from Activity 1.2.2, 2.3.3, 1.3.4, and 2.5.3 for printing and translation of technical reports, refugia action plans and maps
13)	Budget for project communication and bank charge, and the budget source is taken from Activity 4.1.5


Name Ouk Vibol
National Focal Point
Signature 
Date 9-Oct-20


Cost Workplan 1/2


UNEP PROJECT NO										CAMBODIA			PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022			UNIT: USD	Justification
Code	Description	Approved Allocation	Balance Budget as of 1 JAN 2020	Reduction 10% (project extension)	Remained Budget after 10% Reduction	PROPOSED REVISION OF BUDGET from 1 JAN 2020	EXPENDITURES FOR 2020/ Q1- Q2	BALANCE BUDGET AS OF 30 JUNE 2020	COMP/ACTI VITIES	2020/Q3-4	2021	2022					
		(A)	(B)	(C)	(D = B-C)	(D')	(E)	(F = D'-E)	refers to ProDe	(USD)	(USD)	(USD)					
10 PROJECT PERSONNEL COMPONENT																	
3 SITES																	
1100	Project Personnel w/m	43,100.00	21,100.00	-	21,100.00	31,350.00	4,350.00	27,000.00	2.1.1, 2.1.2, 2.3.1, 2.2.1, 2.4.3, 2.5.3, & 3.3.1	5,400.00	10,800.00	10,800.00	The source of budget is taken from Component 2 in Activities 2.1.1, 2.1.2, 2.3.1, 2.2.1, 2.4.3 & 2.5.3 and Component 3 in Activities 3.3.1 to cover the expenditures for Project Personnel				
1101	Field Coordinator									3,000.00	6,000.00	6,000.00					
1102	Project Accountant									2,400.00	4,800.00	4,800.00					
1200	Consultants w/m	47,500.00	37,600.00	-	37,600.00	20,000.00	600.00	19,400.00		6,900.00	11,880.00	620.00	National consultant is required to help and develop the proclamation of establishment of management area for fisheries refugia, action plan for fisheries refugia management, national fisheries refugia				
***	National Consultant to prepare proclamation of fisheries refugia in Kampot								2.2.2	1,500.00	-	-					
***	National Consultant to prepare national management plan for fisheries refugia								2.4.4	-	7,000.00	-					
***	National Consultant to prepare action plan for mackerel refugia in Koh Kong								1.3.2	2000.00	500.00	-					
***	National Consultant to prepare action plan for fisheries refugia in Kampot								1.3.2	-	2500.00	-					
***	National Consultant to compile data of fisheries refugia profile and landing site in Kampot, Kep, and Koh Kong								2.5.3	3400.00	880.00	-					
***	National reports on policy, legal and institutional aspects of refugia establishment and management published								2.2.1	-	1,000.00	620.00					
1600	Travel on official business (above staff)	14,000.00	(44,514.26)	-	(44,514.26)	19,309.25	12,709.25	6,600.00		3,810.00	1,600.00	1,190.00	The budget is used for event related to the enhancement of fisheries resources and marine habitat, organized by FIA or				
***	Internal meeting								1.4.3 & 1.2.2	3,810.00	900.00	490.00					
***	World Ocean Day								3.2.1	-	700.00	700.00					
1999 Component Total		104,600.00	14,185.74	-	14,185.74	70,659.25	17,659.25	53,000.00		16,110.00	24,280.00	12,610.00					
UNIT: USD																	
Justification																	
UNEP PROJECT NO																	
CAMBODIA																	
PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022																	
Code	Description	Approved Allocation	Balance Budget as of 1 JAN 2020	Reduction 10% (project extension)	Remained Budget after 10% Reduction	PROPOSED REVISION OF BUDGET from 1 JAN 2020	EXPENDITURES FOR 2020/ Q1- Q2	BALANCE BUDGET AS OF 30 JUNE 2020	COMP/ACTI VITIES	2020/Q3-4	2021	2022					
		(A)	(B)	(C)	(D = B-C)	(D')	(E)	(F = D'-E)	refers to ProDe	(USD)	(USD)	(USD)					
2200	Sub-contract to Koh Kong Fisheries Administration Cantonment	10,000.00	10,000.00	-	10,000.00	-	-	-	1.4.2, 1.4.3, & 1.4.4	3,000.00	5,000.00	2,500.00	provinces and to raise capacity building of FIAC and CFs in development and implementation of fisheries refugia management.				
***	Sub-contract to Koh Kong Fisheries Administration Cantonment								1.4.2, 1.4.3, & 1.4.4	3,000.00	5,000.00	2,500.00					
***	Sub-contract to Kep Fisheries Administration Cantonment								1.4.2, 1.4.3, & 1.4.4	1,200.00	3,000.00	3,000.00					
***	Sub-contract to Kampot Fisheries Administration Cantonment								1.4.2, 1.4.3, & 1.4.4	-	4,000.00	3,000.00					
***	Sub-contract to Marine Fisheries Research and Development Institute								2.6.2	800.00	-	-					
2300	Sub-contracts (commercial purposes) - website DOF	10,000.00	10,000.00	-	10,000.00	-	-	-					The budget is not used and transferred for using other BL 1100.				
2301																	
2302																	
2999 Component Total		120,000.00	113,880.00	17,408.46	96,471.54	26,000.00	450.00	25,550.00		5,000.00	12,000.00	8,550.00					
30 TRAINING COMPONENT																	
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	15,337.40	-	15,337.40	10,308.50	4,093.50	6,215.00		2,485.00	730.00	3,000.00	The budget is used from Activity 1.4.2, 1.4.4, and 3.2 to enhance capacity building, develop, and implement the operation of				
***	Develop and implement collaborative observer and enforcement program for the management plan of fisheries refugia								1.4.4	620.00	-	-					
***	Prepare the map of marine fisheries management area including fisheries refugia for elicit fisherfolk input to boundary delineation through consultation								1.2.2	650.00	-	-					
***	Reflexive workshop of the project output								3.3.1	-	-	3,000.00					
***	Stakeholder consultation workshop on national guideline for fisheries refugia management								2.3.2	1,215.00	730.00	-					
3300	Meetings/conferences (give title)	31,500.00	31,500.00	-	31,500.00	43,219.65	-	43,219.65		4,300.00	20,000.00	18,919.65	The budget is taken from Activity 1.2.2, 1.3.2, 1.3.4, 3.3.1 & 4.3.2 to prepare stakeholder consultation meeting, site based management board meeting, and to raise				
***	Site Based Management Board/Technical Working Group meetings								1.3.2, 2.3.2 & 4.3.2	4,300.00	20,000.00	18,919.65					
3999 Component Total		68,400.00	46,837.40	-	46,837.40	53,528.15	4,093.50	49,434.65		6,785.00	20,730.00	21,919.65					
40 EQUIPMENT & PREMISES COMPONENT																	
Furniture/equipment items under \$1,000 each for each																	
		(649.14)	(649.14)		(649.14)	849.50	49.50	800.00		249.00	300.00	260.00	It is needed to allocate some				

Cost Workplan 2/2

40 EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (Items under \$1,500 each, for exam		(643.14)		(643.14)	845.50	45.50	800.00		235.00	300.00	265.00	It is needed to allocate some budget to operate project and the
***	Office operation								4.1.5	235.00	300.00	265.00	
4102													
	Non-expendable equipment (computers, office equip, etc)		(3,721.00)		(3,721.00)	500.00	-	500.00		400.00	100.00	-	It is needed to allocate some budget for office equipment in order to ensure comfortable
4200													
***	Buying air conditioner and maintenance								4.1.5	400.00	100.00	-	
4202													
4300	Premises (office rent, maintenance of premises, etc)		-		-	-	-	-					It is not budget to be proposed
4301													
4999	Component Total	-	(4,364.14)	-	(4,364.14)	1,345.50	45.50	1,300.00		635.00	400.00	265.00	
50 MISCELLANEOUS COMPONENT													
	Operation and maintenance of equipment		(142.00)		(142.00)	869.50	46.00	823.50		350.00	280.00	193.50	It is needed to allocate some budget for the maintenance of equipment for aralict operation
5100													
***	Repare computer, printer, photocopy, ect.								4.1.5	350.00	280.00	193.50	
5102													
5200	Reporting costs (publications, maps, newsletters, printing,	4,500.00	4,175.00	-	4,175.00	3,675.00	400.00	3,275.00		800.00	1,875.00	600.00	The budget is taken from Activity 1.2.2, 2.3.3, 1.3.4, and 2.5.3 for printing and translation from Khmer to English language such as
***	Printing 5 year action plan for kep MFMA								1.3.4	400.00	-	-	
***	Traslation and Printing 5 year action plan for Koh Kong Mackerel Refugia								1.3.4	-	600.00	-	
***	Define and Printing MFMA Map in Kampot province								1.2.2	400.00			
***	Printing 5 year action plan for Kampot MFMA								1.3.4	-	500.00	-	
***	Traslation and Printing national guideline for fisheries refugia establishment and operation								2.3.3	-	500.00	-	
***	Traslation and Printing national management plan for fisheries refugia								1.3.4	-	-	600.00	
***	Prting data compilation of fisheries refugia profile and landing site								2.5.3	-	275.00		
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	(487.36)	-	(487.36)	598.78	66.98	531.80		100.00	235.00	196.80	It is needed to allocated some budget for the communication of
5301	Communication								4.1.5	50.00	150.00	120.00	
5302	Bank charge								4.1.5	50.00	85.00	76.80	
5400	Hospitality and entertainment												
5500	Evaluation (consultants fees ETC)												
5999	Component Total	4,500.00	3,545.64		3,545.64	5,143.28	512.98	4,630.30		1,250.00	2,390.00	990.30	
99	9999 GRAND TOTAL	297,500.00	174,084.64	17,408.46	156,676.18	156,676.18	22,761.23	133,914.95		29,780.00	59,800.00	44,334.95	133,914.95



Name: LENG SyYann
 Duty authorized official of Lead Agency
 Signature: 
 Date: 28 Oct 28

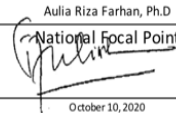
Name: OKIL Yibol
 Signature: 
 Date: 28 Oct 28

ANNEX 4: PROPOSED BUDGET REVISION & COST WORKPLAN FROM INDONESIA

REVISION OF THE BUDGET AS OF 30 JUN 2020: INDONESIA	
UNEP PROJECT NO	829
FINANCIAL YEAR	2020
OVERALL PROJECT FUND	3,000,000
ORG UNIT	
PROGRAMME	
PROJECT ID	5401
PROJECT SYMBOL	

Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION ~10% FROM UNSPENT, AS OF 30 DEC 2019	CUMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION
		FOR 2 SITES					
10	PROJECT PERSONNEL COMPONENT						
1100	Project Personnel w/m	37,500.00		28,226.92	24,686.09	(3,541)	ref. 1
1200	Consultants w/m	40,750.00		40,225.33	84,600.00	44,375	ref. 2
1600	Travel on official business (above staff)	10,000.00		2,377.79	1,749.15	(629)	ref. 3
1999	Component Total	88,250.00		70,830.04	111,035.24	40,205	
20	SUB-CONTRACT COMPONENT						
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)						
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	90,000.00	23,209.88	66,790.12	52,000.00	(14,790)	ref. 4
2300	Sub-contracts (commercial purposes)	10,000.00		10,000.00	-	(10,000)	ref. 5
2999	Component Total	100,000.00	23,209.88	76,790.12	52,000.00	(24,790)	
30	TRAINING COMPONENT						
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00		26,443.45	37,081.03	10,638	ref. 6
3300	Meetings/conferences (give title)	26,750.00		24,345.46	-	(24,345)	ref. 7
3999	Component Total	55,850.00		50,788.91	37,081.03	(13,708)	
40	EQUIPMENT & PREMISES COMPONENT						
4100	Expendable equipment (items under \$1,500 each, for example)	-		-	-	-	ref. 8
4200	Non-expendable equipment (computers, office equip, etc)	-		-	-	-	ref. 9
4300	Premises (maintenance of exhibition)	-		-	-	-	ref. 10
4999	Component Total	-		-	-	-	
50	MISCELLANEOUS COMPONENT						
5100	Operation and maintenance of equipment	-		-	-	-	ref. 11
5200	Reporting costs (publications, maps, newsletters, printing, etc)	3,900.00		3,469.60	1,762.40	(1,707)	ref. 12
5300	Sundry (communications, postage, freight, clearance charges, etc)	-		-	-	-	ref. 13
5400	Hospitality and entertainment	-		-	-	-	
5500	Evaluation (consultants fees ETC)	-		-	-	-	
5999	Component Total	3,900.00		3,469.60	1,762.40	(1,707)	
99	9999 GRAND TOTAL	248,000	23,210	201,879	201,879	-	

REF.	JUSTIFICATION
1)	Project personnel will support all project activities including prepare financial and administrative reports, and assisting project manager, and researcher in carrying all project activities that have been planned in the project framework to achieve the outputs.
2)	Consultants provide essential support to achieve outputs of the project: (1) establishing fisheries refugia profile of two sites, (2) provide management plan for fisheries refugia in two priority sites (3) establishing fisheries refugia database including all information about habitat link to species target; (4) drawing up national guidelines on establishing and operating fisheries refugia.
3)	This budget line(1600) will be used to support project personel or project manager and team to travel for official bussiness related to project activities.
4)	subcontract: It will be used to conduct survey in two priority site for fisheries refugia (West Kalimantan & Bangka Belitung). This survey will be conducted by researcher to collect comprehensive data and information about critical habitat and biological characteristic of spesies target (shrimp and squid),identify key threats to fisheries refugia site and management measures, as well as to assess stakeholder needs in establisng and operating fisheries refugia.
5)	No need, since the output can be achieved from consultan component
6)	This budget line will be used for conducting public consultation, workshop, training and seminar to achieved outputs of the project (component 1-3).
7)	Based on our review of project component and output, main activities to achived the outputs in 2021-2022 can be met by another budget line in training component (group training).
8)	none
9)	none
10)	none
11)	none
12)	To provide all documents and report of the project, including reports of fisheries refugia profile, maps, training material of public awareness, training material of capacity building for stakeholder participation, etc
13)	none

Name Aulia Riza Farhan, Ph.D
 Signature 
 Date October 10, 2020

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UNEP PROJECT NO		INDONESIA										PROPOSED COSTED WORKPLAN FROM 2020/ Q3 TO 2022			
Code	Description	Approved decisions	Budget as of 31/12/2020	Reduction (Rf) (budget constraint)	Remaining Budget after distribution (CP-4/1)	PROPOSED REVISION OF BUDGET FROM JAN 2020	EXPENDITURE OF 2020 (CLCP)	BALANCE BUDGET AS OF 31/12/2020	COMP/ACTIVITIES	2020/ Q3-4	2021	2022	UNIT: USD		
		(R)	(USD)	(%)	(USD)	(USD)	(USD)	(USD)		(USD)	(USD)	(USD)			
10	PROJECT PERSONNEL COMPONENT														
1100	Project Personnel w/m	37,500.00	33,540.83		33,540.83	30,000.00	5,313.91	24,866.09	1/1,2,3,4	3,000.00	6,000.00	3,000.00			
1101	Personnel in IRMDP Palembang					84,600.00		84,600.00	1/5,6,7,8	3,000.00	6,000.00	3,000.00			
1200	Consultants (Under third party: Ref number no 39/IRMDP/ADM/II/2020)	40,750.00	40,225.33		40,225.33				1/5,6,7,8 & 1/9,10,11	3,000.00	3,000.00	3,000.00			
1201	Specialist in aquaculture								1/1,2,3,4,5 & 2/1,2,3,4,5,6,7,8,9 & 3/1,5	3,000.00	12,000.00	6,000.00			
1202	Specialist in shrimp								1/1,2,3,4,5 & 2/1,2,3,4,5,6,7,8,9 & 3/1,5	3,000.00	12,000.00	6,000.00			
1203	Specialist in squid								1/3,4	1,185.24	750.00	500.00			
1600	Travel on official business (above staff)	10,000.00	2,878.64		2,878.64	2,250.00	500.85	1,749.15							
1999	Component Total	88,250.00	76,644.80		76,644.80	116,850.00	5,814.76	111,035.24		21,985.24	99,550.00	29,900.00			
20	SUB-CONTRACT COMPONENT														
2200	Sub-contracts (Under third party: Ref number no 39/IRMDP/ADM/III/2020)	90,000.00	90,000.00	23,209.88	66,790.12	52,000.00		52,000.00	1/1,2,3,4,5 & 2/1-9 & 3/1-5	14,000.00	14,000.00				
2201	Survey West Kalimantan								1/1,2,3,4,5 & 2/1-9 & 3/1-5	24,000.00					
2300	Sub-contracts (commercial purposes) - website	10,000.00	10,000.00		10,000.00										
2999	Component Total	100,000.00	100,000.00	23,209.88	76,790.12	52,000.00		52,000.00		38,000.00	14,000.00				
30	TRAINING COMPONENT														
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00	26,862.42		26,862.42	37,500.00	418.97	37,081.03	1/2,3 & 2/1,2,3,4,5,6,7,8,9 & 3/1,3	3,500.00					
3210	Consultation workshops with industry and relevant authorities on policy reforms														
3211	Local seminars at various sites to elicit stakeholder input to refine guidelines														
3212	Site based consultations on National Action Plan for Fisheries Refuge														
3300	Meetings/conferences	26,750.00	24,345.46		24,345.46										
3999	Component Total	55,850.00	51,207.88		51,207.88	37,500.00	418.97	37,081.03		3,500.00					
40	EQUIPMENT & INFRASTRUCTURE COMPONENT														
4100	Non-expendable equipment (computers, office equip, etc)														
4200	Non-expendable equipment (computers, office equip, etc)														
4300	Premises (office rent, maintenance of premises, etc)														
4999	Component Total														
50	MISCELLANEOUS COMPONENT														
5100	Operation and maintenance of equipment														
5200	Reporting costs (publications, maps, newsletters, printing, etc)	3,900.00	3,857.20		3,857.20	2,150.00	387.60	1,762.40	Comp 1,2,3,4	650.00					
5300	Survey (communications, postage, freight, clearance charges, etc)														
5400	Hospitality and entertainment														
5500	Evaluation (consultants fees ETC)	3,900.00	3,857.20		3,857.20	2,150.00	387.60	1,762.40		650.00					
5999	Component Total														
99	9999	248,000.00	231,709.88	23,209.88	208,500.00	208,500.00	6,621.33	201,878.67		63,085.24	74,200.00	64,595.43			

201,878.67

Name: Dr. Aulia Rizka Farhan
 National Focal Point
 Signature: 
 Date: September 28th 2020

ANNEX 5: PROPOSED BUDGET REVISION & COST WORKPLAN FROM MALAYSIA

REVISION OF BUDGET AS OF 30 JUN 2020: MALAYSIA							
UNEP PROJECT NO							829
FINANCIAL YEAR							2020
OVERALL PROJECT FUND							3,000,000
ORG UNIT							
PROGRAMME							
PROJECT ID							5401
PROJECT SYMBOL							
Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION 10% FROM UNSPENT, AS OF 30 DEC 2019	CUMMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION
FOR 2 SITES							
10 PROJECT PERSONNEL COMPONENT							
1100	Project Personnel w/m	37,500.00	-	25,644.03	13,000.00	(12,644.03)	ref. 1
1200	Consultants w/m	40,750.00	-	36,044.86	45,000.00	8,955.14	ref. 2
1600	Travel on official business (above staff)	10,000.00	-	(24,244.55)	15,000.00	39,244.55	ref. 3
1999	Component Total	88,250.00	-	37,444.34	73,000.00	35,555.66	
20 SUB-CONTRACT COMPONENT							
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)						
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	90,000.00	18,335.60	34,664.40	-	(34,664.40)	ref. 4
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	-	(10,000.00)	ref. 5
2599	Component Total	100,000.00	18,335.60	44,664.40	-	(44,664.40)	
30 TRAINING COMPONENT							
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00	-	23,947.46	48,384.62	24,437.16	ref. 6
3300	Meetings/conferences (give title)	26,750.00	-	24,989.00	21,969.00	(3,020.00)	ref. 7
3999	Component Total	55,850.00	-	48,936.46	70,353.62	21,417.16	
40 EQUIPMENT & PREMISES COMPONENT							
4100	Expendable equipment (items under \$1,500 each, for example)				-	-	ref. 8
4200	Non-expendable equipment (computers, office equip, etc)				5,000.00	5,000.00	ref. 9
4300	Premises (maintenance of exhibition)			23,234.00	4,819.28	(18,414.72)	ref. 10
4599	Component Total	-	-	23,234.00	9,819.28	(13,414.72)	
50 VASCILLANEOUS COMPONENT							
5100	Operation and maintenance of equipment				-	-	ref. 11
5200	Reporting costs (publications, maps, newsletters, printing, etc)	3,900.00	-	3,900.00	5,000.00	1,100.00	ref. 12
5300	Sundry (communications, postage, freight, clearance charges, etc)			(6.30)		6.30	ref. 13
5400	Hospitality and entertainment						
5500	Evaluation (consultants fees ETC)						
5999	Component Total	3,900.00	-	3,893.700	5,000.00	1,106.300	
99	9999 GRAND TOTAL	248,000	18,336	158,173	158,173	-	

REF.	JUSTIFICATION
1)	This budget is needed to engage enumerators and contract officer to assist in administrative works, collecting, processing and evaluating data. Budget are revised based on the current progress of the project
2)	As most of the research and survey is almost in the final stage, this budget will be needed to pay the experts, consultants, scientist to act as technical advisors for data integration, sharing expertise, data verification, site demarcation. Malaysia also plan to hire a dedicated project consultants to assist in preparations of national reviews/national guidelines/ National reports on policy, legal and institutional /National Action Plan/Management Plan. Budget are revised based on the current progress of the project
3)	The budget is revised and realigned support our technical team to carry out sampling at both sites in 2020 as Department of Fisheries Malaysia operational budget has been reduced in the 11th National Plan (2016-2020). Budget are revised based on the current need of the project
4)	The engagement and cooperation under this component are using the existing MOU in Malaysia (National Fund) Budget are revised based on the current progress of the project
5)	Malaysia is using National Fund to develop website for refugia.
6)	As most of the research and survey is almost in the final stage, the budget realigned and revised mostly for meetings and workshop to integrate all the findings which is important in helping Malaysia to develop guideline and management for the identified area.
7)	
8)	none
9)	This budget is needed to support the GIS mapping and data collection on sites. Most of the fish folks communities in the targeted area, are low IT literacy, and widespread educational disadvantage This had become one of the barrier in conducting consultancy, data collection and information dissemination.
10)	This budget is allocated to upgrade Refugia Gallery and make sure that the information and exhibits are up to date.
11)	none
12)	This budget are needed for printing, publication and documentation for this project.
13)	Budget adjustment for the earlier usage under this component for postage

Name _____
 Signature _____
 Date _____

National Focal Point

SHAMILA BINTI ZAINOL
 Pegawai Perikanan Kanan
 Bahagian Taman Laut Dan Pengurusan Sumber
 Jabatan Perikanan Malaysia
 Putrajaya

9 / 10 / 20 20

UNEP PROJECT NO		MALAYSIA										PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022						
Code	Description	(A)	(B)	(C)	(D+E+G)	(F)	(H)	(I)	(J)	(K)	(L)	(M)	(N)	(O)	(P)	(Q)	(R)	(S)
		Approved Allocation	Balance Budget as of 31/03/2020	Reduction 15% (Project extension)	Remained Budget after 15% Reduction	Expenditures for 2020/ Q1-Q2	Balance Budget as of 30 June 2020	Proposed Revised Budget Forecast	Comp/Activities	2020/03-4	2021	2022	UNIT: USD					
10 PROJECT PERSONNEL COMPONENT																		
1100	Project Personnel w/m	37,500.00	27,743.64	-	27,743.64	2,099.61	25,644.03	24,855.97					13,000.00					
1101	enumerator/contract officer	10,000.00	10,000.00	-	10,000.00	-	10,000.00	-					5,000.00					
1200	Consultants w/m	40,750.00	39,441.00	-	39,441.00	3,396.14	36,044.86	49,705.14					45,000.00					
1201	Project Consultants												15,000.00					
1202	Marine experts/scientist												5,000.00					
1203	GIS and Mapping experts												3,000.00					
1204	Data Compilation and reporting												3,000.00					
1600	Travel on official business (above staff)	10,000.00	(24,244.55)	-	(24,244.55)	-	(24,244.55)	49,244.55					15,000.00					
1601	Consultants travel												13,000.00					
1602	Data collection and sampling												1,000.00					
1999	Component Total	86,250.00	42,940.09	-	42,940.09	5,495.75	37,444.34	123,805.66					75,000.00					26,000.00
2100	Sub-contracts (MOUs/LAs for UN cooperating agencies)	90,000.00	53,000.00	18,335.60	34,664.40	-	34,664.40	-					-					
2200	Sub-contracts (MOUs/LAs for non-profit supporting organizations)	10,000.00	10,000.00	-	10,000.00	-	10,000.00	-					-					
2300	Sub-contracts (commercial purposes) - website DCF	10,000.00	63,000.00	18,335.60	44,664.40	-	44,664.40	-					-					
2999	Component Total	110,000.00	126,000.00	36,671.20	89,328.80	-	89,328.80	-					-					5,000.00
30 TRAINING COMPONENT																		
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00	24,405.21	-	24,405.21	457.75	23,947.46	53,537.16					48,384.62					
3201	Technical Data Workshops (Verification, Validation, Integration etc)												4,024.10					
3202	Stakeholders Consultation at 2 sites (few series)												5,000.00					
3204	Workshop on Planning and Zoning for Refugia Site												1,715					
3205	Workshop/Training on Policy/best practice/guideline/Management Plan												2,500.00					
3206	Refugia Seminar /Field trip												5,107.51					
3300	Meetings/conferences (give title)	26,750.00	24,989.00	-	24,989.00	-	24,989.00	23,730.00					21,989.00					
3301	National Scientific & Technical Committees (NSTC)												4,915.67					
3302	National Fisheries Refugia Committee (NFRK)												4,024.10					
3303	Site - based Meeting for 2 sites/Supporting meeting as needed												4,024.10					
3999	Component Total	55,850.00	49,394.21	-	49,394.21	457.75	48,936.46	77,267.16					70,353.62					25,753.01
40 EXPENDABLE EQUIPMENT COMPONENT																		
4100	Expendable equipment (items under \$1,500 each, for example)												-					
4101	Please identify activity												-					
4102	Please identify activity												-					
4200	Non-expendable equipment (computers, office equip, etc)												5,000.00					
4300	Leasing of equipment (vehicles, trucks, etc)												5,000.00					
4300	Premises (office rent, maintenance of premises, etc)												18,585.28					
4301	Leasing of refugia gallery for both sites												4,819.28					
4999	Component Total	-	24,126.00	-	24,126.00	894.00	23,234.00	23,585.28					9,819.28					5,000.00
50 OPERATIONAL COMPONENT																		
5100	Operation and maintenance of equipment	3,900.00	3,900.00	-	3,900.00	-	3,900.00	5,000.00					5,000.00					
5200	Reporting costs (publications, maps, newsletters, printing, etc)												-					
5201	Translating report/ meeting documents												-					
5202	Map printing												-					
5203	Reports/Guidelines/Management Plan/publications												-					
5300	Sundry (communications, postages, freight, clearance charges, etc)												6.30					
5400	Hospitality and entertainment												-					
5500	Evaluation (consultants fees ETC)												-					
5999	Component Total	3,900.00	3,893.70	-	3,893.70	-	3,893.70	5,006.30					5,000.00					2,500.00
99	9999	248,000.00	183,356.00	18,335.60	165,020.40	6,847.50	158,172.90	229,664.40					158,172.90					53,690.96


Name: National Focal Point
 Signature: **HJ. MOHD SUFIAN BIN SULAIMAN**
 Pengarah Kanan
 Bahagian Taman Laut dan Pengurusan Sumber
 Jabatan Perikanan Malaysia
 Putrajaya

Name: **SHAMMUDHIN ZAHANOL**
 Signature: **Pegawai Perikanan Kanan**
 Bahagian Taman Laut dan Pengurusan Sumber
 Jabatan Perikanan Malaysia
 Putrajaya

ANNEX 6: PROPOSED BUDGET REVISION & COST WORKPLAN FROM PHILIPPINES

REVISION OF THE BUDGET AS OF 30 JUN 2020: PHILIPPINES							
UNEP PROJECT NO		829					
FINANCIAL YEAR		2020					
OVERALL PROJECT FUND		3,000,000					
ORG UNIT							
PROGRAMME							
PROJECT ID		5401					
PROJECT SYMBOL							
Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION 10% FROM UNSPENT, AS OF 30 DEC 2019	CUMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION
FOR 3 SITES							
10 PROJECT PERSONNEL COMPONENT							
1100	Project Personnel w/m	43,100.00	5,000.00	38,100.00	32,400.00	(5,700)	ref. 1
1200	Consultants w/m	47,500.00	-	35,500.00	37,047.41	1,547	ref. 2
1600	Travel on official business (above staff)	14,000.00	-	(5,452.59)		5,453	ref. 3
1999	Component Total	104,600.00	5,000.00	68,147.41	69,447.41	1,300	
20 SUB-CONTRACT COMPONENT							
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)						
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	110,000.00	15,372.85	94,627.15	35,708.51	(58,919)	ref. 4
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	10,000.00	-	ref. 5
2999	Component Total	120,000.00	15,372.85	104,627.15	45,708.51	(58,919)	
30 TRAINING COMPONENT							
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	-	(38,223.69)	8,860.00	47,084	ref. 6
3300	Meetings/conferences (give title)	31,500.00	-	27,737.10	35,080.00	7,343	ref. 7
3999	Component Total	68,400.00	-	(10,486.59)	43,940.00	54,427	
40 EQUIPMENT & PREMISES COMPONENT							
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	ref. 8
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-	-	-	ref. 9
4300	Premises (maintenance of exhibition)	-	-	-	-	-	ref. 10
4999	Component Total	-	-	-	-	-	
50 MISCELLANEOUS COMPONENT							
5100	Operation and maintenance of equipment	-	-	(19.60)		20	ref. 11
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	-	4,303.14	4,303.14	-	ref. 12
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	(1,132.45)	2,040.00	3,172	ref. 13
5400	Hospitality and entertainment						
5500	Evaluation (consultants fees ETC)						
5999	Component Total	4,500.00	-	3,151.09	6,343.14	3,192	
99	9999 GRAND TOTAL	297,500	20,372.85	165,439	165,439	0	

REF.	JUSTIFICATION
1)	A portion of the 20% reduced budget was subtracted from this budget line since there are still no personnel hired from the start of the project until Q2/2020. For the project extension, this budget line will be utilized by hiring research assistant to complete the project activities.
2)	The budget left in BL 1600 amounting to 1,547 was realigned to BL 1200 since BL 1600 is for consultant and project personnels only and cannot be utilized for other BLs. Due to time constraint, the project will hire a consultant to speed up some of the outputs and activities expected to be completed in a year of the extension hence, higher budget was allocated in BL 1200.
3)	There was no budget provided for BL 1600 since most of the travelling expenses of the staff are charged in during the conduct of specific activities in BL 3200.
4)	The remaining amount from the 20% reduced budget was subtracted from this budget line since it has more of the allocated budget left. Also, almost of the total amount overspent from various budget lines especially in BL 3200 were subtracted from the subcontract in order to cover the overspent. Due to limited time, the project will require the subcontract to conduct some of the activities for the project implementation in the extension period since we are targeting to finish the activities in a year, thus BL 3200 was allotted with bigger budget.
5)	Subcontract for Website by DOF, remained as is since initial budget was not yet expended.
6)	There are still consultation workshops for policy reforms that was not conducted which is among the expected output of the country for 2021, hence budget was allocated.
7)	The project was extended for 2 years (2021-2022), hence Budget allocation for meetings and conference was increased.
8)	-
9)	-
10)	-
11)	A 19.60 USD was charged in this BL was covered in BL 2100.
12)	Budget for reporting costs remained as is since less was expended from 2017-Q2/2020. The remaining budget is expected to cover all the publication costs until the end of the project thus, no additional budget was added.
13)	Due to mobility constraints brought by the pandemic, project personnels are in Work-From-Home scheme requiring consistent open-line as mode of communication for the continued processing and preparation of data and documents, hence budget for communication is allocated.

Name	JOEREN YLEANA
	National Focal Point
Signature	
Date	OCTOBER 13, 2020

PHILIPPINES										PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022			
UNEP PROJECT NO	Description	Approved Allocation	Balance Budget as of 1 JAN 2020	Reduction 10% (project activities)	Remaining Budget after 10% Reduction	PROPOSED REVISION OF BUDGET FROM 1 JAN 2020	EXPENDITURES FOR 2020/Q3-Q4	BALANCE BUDGET AS OF 30 JUN 2020	REVISION REFUGIA PH	COMP/ACTIVITIES	2020/Q3-4	2021	2022
Code		(B)	(B)	(C)	(B - (C))	(D)	(E)	(F - (E))			(B00)	(B01)	(B02)
10 PROJECT PERSONNEL COMPONENT													
1100	Project Personnel w/m	43,100.00	43,100.00	5,000.00	38,100.00	38,100.00	-	38,100.00	32,400.00		10,800.00	20,600.00	-
1103	Research Assistant Refugia Mapping									1.2.1, 1.2.2	3,000.00	-	-
1106	Research Assistant for management planning									1.3.1, 1.3.2	2,800.00	-	-
1107	Research Assistant - Document best practice examples									1.5.1, 1.5.2	-	2,000.00	-
1108	Research Assistant for Industry Consultation									2.1.3	-	-	-
1110	Research Officer - Fish early life history									2.6.1, 2.6.1, 2.6.3, 2.6.4	5,000.00	-	-
1111	Research Officer - Information management for spatial planning									2.7.1	-	5,000.00	-
1112	Research Officer - Information management for refugia sites									2.8.1	-	5,000.00	-
1113	Information management assistant - documentation of best practices									3.1.1	-	4,800.00	-
1114	Information management assistant - development media on best practices									1.1.2, 3.1.3	-	4,800.00	-
1200	Consultants w/m	47,500.00	35,500.00	-	35,500.00	35,500.00	-	35,500.00	37,047.41		16,000.00	21,047.41	-
1204	Local Consultant - Management Planning									1.3.3	3,000.00	-	-
1205	Local Consultant - Regulatory Specialist Coastal Resources									1.3.4	-	5,000.00	-
1207	Local Consultant - Linking Science and Local Knowledge in Boundary Delineation									1.2.3, 1.2.4	5,000.00	-	-
1207	Local Consultant - Fisheries Law Specialist									2.2.1, 2.2.2	5,000.00	2,000.00	-
1208	Local Consultant - Guidelines Preparation									2.3.1, 2.3.2, 2.3.3	3,000.00	1,547.41	-
1209	Local Consultant - Fisheries Law Specialist									2.4.1, 2.4.2, 2.4.3	-	5,000.00	-
1210	Local Consultant - National Action Plan Development									2.4.4	-	7,500.00	-
1600	Travel on official business (above staff)	14,000.00	1,547.41	-	1,547.41	1,547.41	7,000.00	(5,452.59)					
1601													
1999	Component Total	104,600.00	80,147.41	5,000.00	75,147.41	75,147.41	7,000.00	68,147.41	69,447.41		26,800.00	42,547.41	-
20 SUB-CONTRACT COMPONENT													
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-	-	-	-	-		-	-	-
2101													
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	110,000.00	110,000.00	15,372.85	94,627.15	65,218.00	-	65,218.00	35,708.51		10,000.00	35,708.51	-
2202	Sub-contracts with site-based agencies for conduct of impact assessments									1.2.3	3,000.00	-	-
2203	Sub-contracts - establishment and coordination of volunteer network									1.4.1	7,000.00	-	-
2204	Sub-contract - local coordination of capacity building program									1.4.2	-	7,000.00	-
2205	Sub-contract - local coordination of awareness and engagement programme									1.4.3	-	9,354.25	-
2205	Sub-contract - coordination site based observer/enforcement programme									1.4.4	-	9,354.26	-
2300	Sub-contracts (commercial purposes) - website DOF	10,000.00	10,000.00	-	10,000.00	10,000.00	-	10,000.00	10,000.00		-	10,000.00	-
2301													
1999	Component Total	120,000.00	120,000.00	15,372.85	104,627.15	75,218.00	-	75,218.00	45,708.51		10,000.00	35,708.51	-
30 TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	(28,257.10)	-	(28,257.10)	-	9,966.59	(9,966.59)	8,860.00		8,860.00	-	-
3201	DSA (2020 Travels as per COA memo)									1.1.2	1,660.00	-	-
3202	Monitoring and Verification of Data Collection in 3 Refugia Site									2.5.3	5,200.00	-	-
3207	Consultation workshops with industry and relevant authorities on policy reforms									2.1.3	-	-	-
3208	National expert consultation workshop on policy and law									2.2.2	-	-	-
3209	Local seminars at priority sites to elicit stakeholder input to refugia guidelines									2.3.2	-	-	-
3210	National and local consultations on policy and legal reforms for refugia designation									2.4.3	-	-	-
3204	Outreach Program and Awareness Materials (3 sites)									3.2.1	2,000.00	-	-
3300	Meetings/conferences (give title)	31,500.00	28,687.10	-	28,687.10	28,687.10	950.00	27,737.10	35,080.00		1,720.00	13,680.00	19,680.00
3301	Refugia Site Management Committee Meeting (3 sites)									4.3.2	1,240.00	8,400.00	14,400.00
3302	Refugia Management Team Meeting									4.3.3	480.00	480.00	480.00
3303	National Fisheries Refugia Committee Meeting									4.1.2	-	2,400.00	2,400.00
3304	National Scientific and Technical Committee Meeting									4.2.1	-	2,400.00	2,400.00
1999	Component Total	68,400.00	430.00	-	430.00	28,687.10	10,916.59	17,770.51	43,940.00		10,580.00	13,680.00	19,680.00
40 EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	-	-	-		-	-	-
4101													
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-	-	-	-	-	-		-	-	-
4201													
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-	-		-	-	-
4999	Component Total	-	-	-	-	-	-	-	-		-	-	-
50 MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equipment	-	(19.60)	-	(19.60)	-	-	-	-		-	-	-
5101	Please identify activity												
5102	Please identify activity												
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	4,303.14	-	4,303.14	4,303.14	-	4,303.14	4,303.14		-	4,303.14	-
5201	Please identify activity												
5202	Please identify activity												
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	(1,132.45)	-	(1,132.45)	-	-	-	-		-	-	-
5301	Communications								2,040.00	4.3.3	600.00	720.00	720.00
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-		-	-	-
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-	-		-	-	-
1999	Component Total	4,500.00	3,151.09	-	3,151.09	4,303.14	-	4,303.14	6,343.14		600.00	5,023.14	720.00
99	9999 GRAND TOTAL	297,500.00	203,728.50	20,372.85	183,355.65	183,355.65	17,916.59	165,439.06	165,439.06		47,980.00	97,059.06	20,400.00

Name: DR. LILIAN C. GARCIA, CESO V
 Duty authorized official of Lead Agency
 Signature: *Lilian Garcia*
 Date: 13-Oct-20

Name: STEVEN ISLARA
 National Focal Point
 Signature: *Steven Islara*
 Date: 13-Oct-20

ANNEX 7: PROPOSED BUDGET REVISION & COST WORKPLAN FROM THAILAND

REVISION OF BUDGET AS OF 30 JUN 2020: THAILAND							
UNEP PROJECT NO							829
FINANCIAL YEAR							2020
OVERALL PROJECT FUND							3,000,000
ORG UNIT							
PROGRAMME							
PROJECT ID							5401
PROJECT SYMBOL							
Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION 10% FROM UNSPENT, AS OF 30 DEC 2019	CUMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION
		FOR 2 SITES					
10	PROJECT PERSONNEL COMPONENT						
1100	Project Personnel w/m	37,500.00	-	(10,098.31)	30,794.62	40,893	ref. 1
1200	Consultants w/m	40,750.00	-	19,426.47	48,326.93	28,900	ref. 2
1600	Travel on official business (above staff)	10,000.00	-	5,061.94	5,000.00	(62)	ref. 3
1999	Component Total	88,250.00	-	14,390.10	84,121.55	69,731	
20	SUB-CONTRACT COMPONENT						
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)						
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	90,000.00	17,461.69	65,498.17	12,959.86	(52,538)	ref. 4
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	-	(10,000)	ref. 5
2999	Component Total	100,000.00	17,461.69	75,498.17	12,959.86	(62,538)	
30	TRAINING COMPONENT						
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00	-	20,148.87	3,766.87	(16,382)	ref. 6
3300	Meetings/conferences (give title)	26,750.00	-	19,624.14	30,000.00	10,376	ref. 7
3999	Component Total	55,850.00	-	39,773.01	33,766.87	(6,006)	
40	EQUIPMENT & PREMISES COMPONENT						
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	(399.00)	399.00	798	ref. 8
4200	Non-expendable equipment (computers, office equip, etc)	-	-	(149.00)	149.00	298	ref. 9
4300	Premises (maintenance of exhibition)	-	-	-	-	-	ref. 10
4999	Component Total	-	-	(548.00)	548.00	1,096	
50	MISCELLANEOUS COMPONENT						
5100	Operation and maintenance of equipment	-	-	-	-	-	ref. 11
5200	Reporting costs (publications, maps, newsletters, printing, etc)	3,900.00	-	3,653.28	1,370.28	(2,283)	ref. 12
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	-	-	-	ref. 13
5400	Hospitality and entertainment	-	-	-	-	-	
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	
5999	Component Total	3,900.00	-	3,653.28	1,370.28	(2,283)	
99	9999 GRAND TOTAL	248,000	17,462	132,767	132,767	(0)	

REF.	JUSTIFICATION
1)	This project personnel funding is needed to be increased for the spending of hiring officers and assistants who assist the technical and administrative tasks, as the existing personnel in DOF have their routine works full in hands.
2)	Consultant funding is needed to be increased to make a sufficient payment for resource persons including law experts to advise and execute the necessary tasks and report works that needed for the completion of the project.
3)	This budget line is a bit adjusted for the balance of overall adjustment
4)	Thailand has already finished the tasks of surveys and reports on site information of the 2 FR sites which subcontracted with NGO and university. This budget line is adjusted by decreasing as we need just the amount for last payment of the said sub-contracts and small amount reserved for any necessary outsourcing during the remaining period.
5)	Website creation, defined in this budget line, will be done using the funding in the project personnel component. This line is therefore adjusted to be zero.
6)	The remaining time is quite limited for study tours, field trips, and seminars, so this budget line is decreased into the amount just reserved for workshops on site-based basis.
7)	This budget line is adjusted by increasing, as more budget is needed to carry out the meetings for FR boundary determination and management planning, particularly meetings at sites.
8)	This amount is reserved for printer toner
9)	This amount is reserved for some extra non-expendable equipment.
10)	none
11)	none
12)	Reporting cost is adjusted by decreasing to the amount that is considered to be enough for making reports/publications.
13)	none

Name Ms. PAULAI Nootmorn.
National Focal Point

Signature P. Nootmorn.

Date 12 Oct 2020

UNEP PROJECT NO		THAILAND							PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022			UNIT: USD
Code	Description	Approved Allocation	Balance Budget as of 1 Jan 2020	Reduction 30% (project extension)	Remaining Budget after 30% Reduction	PROPOSED REVISION OF BUDGET from 1 JAN 2020	EXPENDITURES FOR 2020 Q3-Q2	BALANCE BUDGET AS OF 30 JUNE 2020	COMP/ACTIVITIES	2020/Q3-4	2021	2022
		(A)	(B)	(C)	(D = B-C)	(E)	(F)	(G = D-E)		(H)	(I)	(J)
10-PROJECT PERSONNEL COMPONENT												
1100	Project Personnel w/m	37,500.00	(131.75)	-	(131.75)	40,761.18	9,966.56	30,794.62		6,722.41	14,448.16	9,624.05
1101	Technical officer - developing community-based management plan								1/1.3		7,224.08	
1102	Technical officer - establishing operational management								1/1.4		7,224.08	
1103	Technical officer - fisheries refugia site information								2/2.5	3,612.04		
1104	Technical officer - early life information of priority species								2/2.6	3,110.37		
1105	Technical officer - information management for refugia sites								2/2.7			4,205.99
1106	Technical officer - documentation of best practice								3/3.1			5,418.06
1200	Consultants w/m	40,750.00	25,446.54	-	25,446.54	54,347.00	6,020.07	48,326.93		6,020.07	25,083.61	17,223.25
1201	Technical consultant - developing community-based management plan								1/1.3		3,010.03	
1202	Technical consultant - establishing operational management								1/1.4		3,010.03	
1203	Consultant - strengthening communities participation in the site management								1/1.5		3,010.03	
1204	Consultant - fisheries law specialist								2/2.1		3,344.48	
1205	Consultant - fisheries law specialist								2/2.2		3,344.48	
1206	Consultant - fisheries law specialist								2/2.3		3,344.48	
1207	Technical consultant - fisheries refugia site information								2/2.5	3,010.03	3,010.03	
1208	Technical consultant - early life information of priority species								2/2.6	3,010.03	3,010.03	
1209	Technical consultant - information management for refugia sites								2/2.7			8,611.63
1210	Consultant - documentation of best practice								3/3.1			8,611.63
1600	Travel on official business (above staff)	10,000.00	5,061.94	-	5,061.94	5,000.00	-	5,000.00		1,388.83	2,611.17	1,000.00
1601	local travel for consultative workshops on boundary demarcation: Surat								1/1.2	888.83	1,611.17	
1602	local travel for site-based committee meetings: Surat Thani								2/2.2	500.00	1,000.00	500.00
1603	local travel for consultations - management plan and capacity building								3/3.2			500.00
1999	Component Total	88,250.00	30,376.73	-	30,376.73	100,108.18	15,986.63	84,121.55		14,131.30	42,142.94	27,847.30
20-SUB CONTRACT COMPONENT												
2100	Sub-contracts (MoU's/IA's for UN cooperating agencies)	-	-	-	-	-	-	-				
2101	Please identify activity											
2102	Please identify activity											
2200	Sub-contracts (MoU's/IA's for non-profit supporting organizations)	90,000.00	90,000.00	17,461.69	72,538.31	20,000.00	7,040.14	12,959.86		9,065.42	-	3,894.44
2201	Sub-contract with site-based agency for surveys								2/2.5	3,669.57		
2202	Sub-contract - compilation abundance survey into bycatch data for academics								2/2.7	5,395.85		
2203	Sub-contract - dissemination of the knowledge on fisheries refugia								3/3.3			3,894.44
2300	Sub-contracts (commercial purposes) - website DOF	10,000.00	10,000.00	-	10,000.00	-	-	-				
2301	Please identify activity											
2302	Please identify activity											
2999	Component Total	100,000.00	100,000.00	17,461.69	82,538.31	20,000.00	7,040.14	12,959.86		9,065.42	-	3,894.44
30-TRAINING COMPONENT												
3200	Group training (study tours, field trips, workshops, seminars, etc)	29,100.00	21,382.00	-	21,382.00	5,000.00	1,233.13	3,766.87		3,766.87	-	-
3201	local consultation workshops on refugia boundaries demarcation and management									3,766.87		
3300	Meetings/conferences (give title)	26,750.00	19,624.14	-	19,624.14	30,000.00	-	30,000.00		5,500.00	16,000.00	8,500.00
3301	local meetings on formal designation of refugia sites and management								2/2.4		5,000.00	3,000.00
3302	Meetings of the National Fisheries Refugia Committee								4/4.1	1,000.00	2,000.00	1,000.00
3303	Meetings of the National Scientific and Technical Committee								4/4.2	1,000.00	2,000.00	1,000.00
3304	Meetings of site-based management boards								4/4.3	3,500.00	7,000.00	3,500.00
3999	Component Total	55,850.00	41,006.14	-	41,006.14	35,000.00	1,233.13	33,766.87		9,266.87	16,000.00	8,500.00
40-EQUIPMENT & PREMISES COMPONENT												
4100	Expendable equipment (items under \$1,500 each, for example)	-	(399.00)	-	(399.00)	399.00	-	399.00		-	399.00	-
4101	Toner for printer (1)								4/4.1		200.00	
4102	Toner for printer (2)								4/4.2		199.00	
4200	Non-expendable equipment (computers, office equip, etc)	-	(149.00)	-	(149.00)	149.00	-	149.00		-	149.00	-
4201	Computer maintenance								4/4.1		149.00	
4202	Please identify activity											
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-		-	-	-
4999	Component Total	-	(548.00)	-	(548.00)	548.00	-	548.00		-	548.00	-
50-MISCELLANEOUS COMPONENT												
5100	Operation and maintenance of equipment	-	-	-	-	-	-	-				
5101	Please identify activity											
5102	Please identify activity											
5200	Reporting costs (publications, maps, newsletters, printing, etc)	3,900.00	3,783.00	-	3,783.00	1,500.00	129.72	1,370.28			1,370.28	
5201	Map design and printing								2/2.7		1,370.28	
5202	Please identify activity											
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	-	-	-	-	-				
5301	Please identify activity											
5302	Please identify activity											
5400	Hospitality and entertainment	-	-	-	-	-	-	-				
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-				
5999	Component Total	3,900.00	3,783.00	-	3,783.00	1,500.00	129.72	1,370.28			1,370.28	
99	9999 GRAND TOTAL	248,000.00	174,616.87	17,461.69	157,156.18	157,156.18	24,389.62	132,766.56		32,463.60	60,061.22	40,241.74

Name: Pradit Nuchman
 National Fiscal Point
 Signature: P. Nuchman
 Date: 2 Oct 2020


ANNEX 8: PROPOSED BUDGET REVISION & COST WORKPLAN FROM VIET NAM

REVISION OF BUDGET AS OF 30 JUN 2020: VIET NAM							
UNEP PROJECT NO						829	
FINANCIAL YEAR						2020	
OVERALL PROJECT FUND						3,000,000	
ORG UNIT							
PROGRAMME							
PROJECT ID						5401	
PROJECT SYMBOL							
Code	DESCRIPTION	BUDGET ALLOCATION	REDUCTION ~10% FROM UNSPENT, AS OF 30 DEC 2019	CUMULATIVE UNSPENT BALANCE, AS OF 30 JUN 2020	REVISION OF BUDGET, AS OF 30 JUN 2020	ADJUSTMENT	JUSTIFICATION
		FOR 3 SITES					
10	PROJECT PERSONNEL COMPONENT						
1100	Project Personnel w/m	43,100.00	25,784.00	17,316.00	17,316.00	-	ref. 1
1200	Consultants w/m	47,500.00	5,000.00	42,500.00	42,500.00	-	ref. 2
1600	Travel on official business (above staff)	14,000.00	130.00	13,870.00	13,870.00	-	ref. 3
	1999 Component Total	104,600.00	30,914.00	73,686.00	73,686.00	-	
20	SUB-CONTRACT COMPONENT						
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)						
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	110,000.00	48.00	109,952.00	109,952.00	-	ref. 4
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	10,000.00	-	
	2999 Component Total	120,000.00	48.00	119,952.00	119,952.00	-	
30	TRAINING COMPONENT						
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	1,500.00	35,400.00	35,400.00	-	ref. 5
3300	Meetings/conferences (give title)	31,500.00	-	31,500.00	31,500.00	-	
	3999 Component Total	68,400.00	1,500.00	66,900.00	66,900.00	-	
40	EQUIPMENT & PREMISES COMPONENT						
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-	-	-	
4300	Premises (maintenance of exhibition)	-	-	-	-	-	
	4999 Component Total	-	-	-	-	-	
50	MISCELLANEOUS COMPONENT						
5100	Operation and maintenance of equipment	-	-	-	-	-	
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	-	4,500.00	4,500.00	-	

5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	-	-	-	
5400	Hospitality and entertainment						
5500	Evaluation (consultants fees ETC)						
	5999 Component Total	4,500.00	-	4,500.00	4,500.00	-	
99	9999 GRAND TOTAL	297,500	32,462	265,038	265,038	-	

REF.	JUSTIFICATION
1)	There will be some overlaps in the contents of the Term of Reference (even at different levels) for consultants and Research Assistants/Officers (Project Personnel) carrying out data and information collection, refugia mapping, management planning, documenting best practices... DFISH will assign jobs of Research Assistants/Officers to the respective contracted Consultants and where necessary, DFISH will offer and instruct provincial fisheries administration to provide supports (by its and local officials) to contracted Consultants. There will be some overlaps in the contents of the Term of Reference for the consultants and Research Assistants/Officers carrying out data and information collection, refugia mapping, management planning, documenting best practices... DFISH will assign jobs of Research Assistants/Officers to the respective contracted Consultants and where necessary.
2)	management mechanism which covers local Community's Fisheries Resource Protection and Exploitation Plan in accordance with 2017 Fisheries Law. Therefore, local regulatory specialist for coastal resources shall be substituted by others such as provincial fisheries administration, district government or NGOs...
3)	Because there are no more research assistant/officer contracted, the travelling costs shall be deducted.
4)	Small money amount redundant after costs are allocated to specific activities falling within this budget line.
5)	delineation from related research institutes and/or universities, local fisheries associations or fishing communities, local governments and other stake holders.

Remarks The revision of budget for a ~10% reduction only.




Name	NGUYEN THANH BINH
	National Focal Point
Signature	
Date	19-Oct-2020

UNEP PROJECT NO		VIET NAM						PROPOSED COSTED WORKPLAN FROM 2020/Q3 TO 2022				
Code	Description	Approved Allocation	Balance Budget as of 1 JAN 2020	Reduction 10% (project extension)	Remained Budget after 10% Reduction	PROPOSED REVISION OF BUDGET from 1 JAN 2020	EXPENDITURES FOR 2020/ Q1-Q2	BALANCE BUDGET AS OF 30 JUNE 2020	COMPACTIVITIES	2020/Q3-4	2021	2022
		(A)	(B)	(C)	(D = B-C)	(E)	(F)	(G = F+E)		(USD)	(USD)	(USD)
10 PROJECT PERSONNEL COMPONENT												
1100	Project Personnel w/m	43,100.00	43,100.00	25,784.00	17,316.00	17,316.00	-	17,316.00				
1101	C1 - Identification and management of fisheries refugia									3,251.00	3,797.00	468.00
1102	C2 - Strengthening the enabling environment & knowledge base for management										4,000.00	1,000.00
1103	C3 - Information management and dissemination									1,200.00	2,400.00	1,200.00
1104	C4 - National Coordination and Cooperation											
1199	Sub-total									4,451.00	10,197.00	2,668.00
1200	Consultants w/m	47,500.00	47,500.00	5,000.00	42,500.00	42,500.00	-	42,500.00				
1201	C1 - Identification and management of fisheries refugia									11,000.00	6,000.00	-
1202	C2 - Strengthening the enabling environment & knowledge base for management									6,500.00	19,000.00	-
1203	C3 - Information management and dissemination											-
1204	C4 - National Coordination and Cooperation											-
1299	Sub-total									17,500.00	25,000.00	-
1600	Travel on official business (above staff)	14,000.00	14,000.00	130.00	13,870.00	13,870.00	-	13,870.00				
1601	C1 - Identification and management of fisheries refugia									3,500.00	7,870.00	500.00
1602	C2 - Strengthening the enabling environment & knowledge base for management										2,000.00	-
1603	C3 - Information management and dissemination											-
1604	C4 - National Coordination and Cooperation											-
1699	Sub-total									3,500.00	9,870.00	500.00
1999	Component Total	104,600.00	104,600.00	30,914.00	73,686.00	73,686.00	-	73,686.00		25,451.00	45,067.00	3,168.00
20 SUB-CONTRACT COMPONENT												
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-	-	-	-				
2101	N/A											
2199	Sub-total											
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	110,000.00	110,000.00	48.00	109,952.00	109,952.00	-	109,952.00				
2201	C1 - Identification and management of fisheries refugia									3,000.00	32,226.00	27,226.00
2202	C2 - Strengthening the enabling environment & knowledge base for management									10,000.00	24,500.00	4,000.00
2203	C3 - Information management and dissemination									1,000.00	6,000.00	2,000.00
2204	C4 - National Coordination and Cooperation											
2299	Sub-total									14,000.00	62,726.00	33,226.00
2300	Sub-contracts (commercial purposes) - website DOF	10,000.00	10,000.00	-	10,000.00	10,000.00	-	10,000.00				
2301	C3 - Information management and dissemination									2,000.00	5,000.00	3,000.00
2399	Sub-total									2,000.00	5,000.00	3,000.00
2999	Component Total	120,000.00	120,000.00	48.00	119,952.00	119,952.00	-	119,952.00		16,000.00	67,726.00	36,226.00
30 TRAINING COMPONENT												
3200	Group training (study tours, field trips, workshops, seminars, etc)	36,900.00	36,900.00	1,500.00	35,400.00	35,400.00	-	35,400.00				
3201	C1 - Identification and management of fisheries refugia									12900	5,000.00	1,000.00
3202	C2 - Strengthening the enabling environment & knowledge base for management										12,000.00	500.00
3203	C3 - Information management and dissemination										3,000.00	1,000.00
3204	C4 - National Coordination and Cooperation											
3299	Sub-total									12,900.00	20,000.00	2,500.00
3300	Meetings/conferences (give title)	31,500.00	31,500.00	-	31,500.00	31,500.00	-	31,500.00				
3301	C1 - Identification and management of fisheries refugia									1000	4,500.00	-
3302	C2 - Strengthening the enabling environment & knowledge base for management									500	9,000.00	500.00
3303	C3 - Information management and dissemination											-
3304	C4 - National Coordination and Cooperation									2000	9,000.00	5,000.00
3399	Sub-total									3,500.00	22,500.00	5,500.00
3999	Component Total	68,400.00	68,400.00	1,500.00	66,900.00	66,900.00	-	66,900.00		16,400.00	42,500.00	8,000.00
40 EQUIPMENT & PREMISES COMPONENT												
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	-	-				
4101	N/A											
4199	Sub-total											
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-	-	-	-	-				
4201	N/A											
4299	Sub-total											
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-				
4301	N/A											
4399	Sub-total											
4999	Component Total	-	-	-	-	-	-	-				
50 MISCELLANEOUS COMPONENT												
5100	N/A	-	-	-	-	-	-	-				
5199	Sub-total											
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	4,500.00	-	4,500.00	4,500.00	-	4,500.00				
5201	C1 - Identification and management of fisheries refugia										2,500.00	-
5202	C2 - Strengthening the enabling environment & knowledge base for management										2,000.00	-
5203	C3 - Information management and dissemination											-
5204	C4 - National Coordination and Cooperation											-
5299	Sub-total										4,500.00	-
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	-	-	-	-	-				
5301	N/A											
5399	Sub-total											
5400	Hospitality and entertainment	-	-	-	-	-	-	-				
5401	N/A											
5499	Sub-total											
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-				
5501	N/A											
5599	Sub-total											
5999	Component Total	4,500.00	4,500.00	-	4,500.00	4,500.00	-	4,500.00			4,500.00	-
99	9999	GRAND TOTAL	297,500.00	297,500.00	32,462.00	265,038.00	265,038.00	-	265,038.00	57,851.00	159,793.00	47,394.00

Name: Le Tran Nguyen Hung
 Duly authorized official of Lead Agency
 Signature: 
 Date: 19-Oct-2020


Name: Nguyen Thanh Binh
 National Focal Point
 Signature: 
 Date: 19-Oct-2020

ANNEX 9: PROPOSED BUDGET REVISION & COST WORKPLAN FROM SEAFDEC/PCU

REVISION OF THE BUDGET AS OF 30 JUNE 2020 (FOR THE 2-YEARS EXTENSION, 2021-2022)											UNIT in USD									
UNEP PROJECT NO		DETAILS																		
Code	Description	Project Budget (Allocation to PCU)	Project Budget as of 1st Revision (Sept. 2019)	Cumulative Expenditures As of 30 Jun 2020	BALANCE AS OF 30 JUN 2020	Remaining budget from 1 refugia site	~10% from 6 Countries	Cumulative Unspent as of 30 June 2020	REVISION of Budget As of 30 June 2020	ADJUSTMENT	Total Project Budget as of 2nd Revision in 2020	Justification								
			Recommended from UNEP/land manager			Indonesia reduced 1 from 3 sites														
			A	B	C = A-B	D	E	F = C+D+E	G	H = G-F	J = B+G									
10 PROJECT PERSONNEL COMPONENT																				
1100	Project Personnel w/m	-	-	-	-	5,600.00	30,784.00	36,384.00		(36,384.00)	-	Ref.01								
1200	Consultants w/m	540,000.00	540,000.00	611,351.53	(71,351.53)	6,750.00	5,000.00	(59,601.53)	370,998.47	430,600.00	982,350.00	Ref.02								
1600	Travel on official business (above staff)	120,000.00	120,000.00	82,364.94	37,635.06	4,000.00	130.00	41,765.06	7,728.06	(34,037.00)	90,093.00	Ref.03								
1999	Component Total	660,000.00	660,000.00	693,716.47	(33,716.47)	16,350.00	35,914.00	18,547.53	378,726.53	360,179.00	1,072,443.00									
20 SUB-CONTRACT COMPONENT																				
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-	-	-	-	-	-	-									
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	129,000.00	129,000.00	25,180.49	103,819.51	20,000.00	91,836.48	215,655.99	1,475.51	(214,180.48)	26,656.00	Ref.04								
2300	Sub-contracts (commercial purposes) - regional website	115,000.00	115,000.00	34,458.82	80,541.18	-	-	80,541.18	31,171.32	(49,369.86)	65,630.14	Ref.05								
2999	Component Total	244,000.00	244,000.00	59,639.31	184,360.69	20,000.00	91,836.48	296,197.17	32,646.83	(263,550.34)	92,286.14									
30 TRAINING COMPONENT																				
3200	Group training (study tours, field trips, workshops, seminars, etc)	-	-	5,635.87	(5,635.87)	7,800.00	1,500.00	3,664.13	10,537.99	6,873.86	16,173.86	Ref.06								
3300	Meetings/conferences (give title)	220,000.00	220,000.00	113,154.64	106,845.36	4,750.00	-	111,595.36	48,365.36	(63,230.00)	161,520.00	Ref.07								
3999	Component Total	220,000.00	220,000.00	118,790.51	101,209.49	12,550.00	1,500.00	115,259.49	58,903.35	(56,356.14)	177,693.86									
40 EQUIPMENT & PREMISES COMPONENT																				
4100	Expendable equipment (items under \$1,500 each, for example)	-	3,000.00	1,349.52	1,650.48	-	-	1,650.48	1,000.00	(650.48)	2,349.52	Ref.08								
4200	Non-expendable equipment (computers, office equip, etc)	50,000.00	42,000.00	34,099.26	7,900.74	-	-	7,900.74	220.77	(7,679.97)	34,320.03	Ref.09								
4300	Premises (office rent, maintenance of premises, etc)	-	5,000.00	-	5,000.00	-	-	5,000.00	-	(5,000.00)	-	Ref.10								
4999	Component Total	50,000.00	50,000.00	35,448.78	14,551.22	-	-	14,551.22	1,220.77	(13,330.45)	36,669.55									
50 MISCELLANEOUS COMPONENT																				
5100	Operation and maintenance of equipment	-	500.00	-	500.00	-	-	500.00	500.00	-	500.00	Ref.11								
5200	Reporting costs (publications, maps, newsletters, printing, etc)	40,000.00	37,500.00	-	37,500.00	600.00	-	38,100.00	8,066.80	(30,033.20)	8,066.80	Ref.12								
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	2,000.00	3,189.71	(1,189.71)	-	-	(1,189.71)	1,901.42	3,091.13	5,091.13	Ref.13								
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-	-	-	Ref.14								
5500	Evaluation (consultants fees ETC)	100,000.00	100,000.00	-	100,000.00	-	-	100,000.00	100,000.00	-	100,000.00	Ref.15								
5999	Component Total	140,000.00	140,000.00	3,189.71	136,810.29	600.00	-	137,410.29	110,468.22	(26,942.07)	113,657.93									
99	9999	GRAND TOTAL	1,314,000.00	1,314,000.00	910,784.78	403,215.22	49,500.00	129,250.48	581,965.70	581,965.70	(0.00)	1,492,750.48								
OK ok																				
Ref.#	JUSTIFICATION																			
1	Originally, this budget line was prepared for National Activity and transferring to PCU due to Indonesia reduce the implementing sites from three to two.																			
2	The project consultant cost was prepared for 4 years implementation since 2016. To continue the project management for a two-year extension from 2021 to 2022, we increase the fund which come from a 10% reduction from national program unspent as of 31 Dec. 2019. Addition budget is transferred from BL2200 (for non-profit supporting organizations), BL2300 (Sub-Contract for commercial purpose), and BL3300 (Meeting cost)																			
3	During the COVID-19 Situation from 2020 and expected expansion to 2021, traveling to countries would be problematic issues. We, therefore, reduced about 30% of the total budget and move to BL1200																			
4	Almost 40% of this budget line is to development the Ocean Modelling system which had been planned during the 1st phase (2006). But after consultation with the Project Steering Committee (PSC) and Regional Scientific and Technical Committee (RSTC), the committee agreed that we should cooperate and apply the ocean modelling developed under the IOC/WESTPAC. Besides, another 50% of the budget for demonstration best practice fishing gear and methods was cutoff and added to the BL1200. The later issues, SEAFDEC/PCU will continue work and promote the regional guide for best practice fishing gear and methods based on SEAFDEC existing program from other sources of funds as co-finance.																			
5	Almost 45% of this budget line was cutoff and added to BL1200. Because, the cost for external audit fee are about 50,000 USD less than proposed one. However, the fee for website improvement are maintained.																			
6	We added about 14,000 USD to this BL3200 for fish larvae identification training program as requested from participating country. It is expected that after the training workshop, we could publish a regional guide for fish larvae identification																			
7	We reduced about 35% of this BL, and transferred to the BL1200. But the meetings are maintained through the virtual application due to COVID-19 impacts. We proposed an end project conference in 2022 under this revised budget line.																			
8	the project consultant cost was prepared for 4 years implementation since 2016. To continue the project management for a two-year extension from 2021 to 2022, we increase the fund which come from a 10% reduction from national program unspent as of 31 Dec.																			
9	We reduced about 30% of this BL as unspent budget. Because 60% of this BL already distributed to all countries and PCU for purchasing non-expandable equipments.																			
10	We added about 5,000 USD to this BL4300 in 2019, to support Malaysia on improvement of the 2 learning centers. The budget was transferred from the BL4200, because malaysia did not request for non-expandable equipments.																			
11	This BL is no change																			
12	We reduced about 83% of this BL, and transferred to other BL such as BL5300, and BL1200. We plan to publish only regional policy and guidelines, while most of the publication will be online through Refugia Wensite.																			
13	We increased this budget line for Sundry, to support the smooth operation.																			
14	no budget activity																			
15	This BL is no change																			
		<table border="1" style="width: 100%;"> <tr> <td>NAME</td> <td>DR. SOMBOON SIRIRAKSOPHON</td> </tr> <tr> <td></td> <td>Project Director</td> </tr> <tr> <td>SIGNATURE</td> <td></td> </tr> <tr> <td>DATE</td> <td>20-Oct-20</td> </tr> </table>											NAME	DR. SOMBOON SIRIRAKSOPHON		Project Director	SIGNATURE		DATE	20-Oct-20
NAME	DR. SOMBOON SIRIRAKSOPHON																			
	Project Director																			
SIGNATURE																				
DATE	20-Oct-20																			

Costed Workplan of the Regional Program for Q3-Q4/2020 to 2022 (Adjusted as of 30 JUN 2020)								
Comp	Component No and Name	Initial Budget endorsed by GEF	Budget Allocation after 2nd Revision	Budget Balance as of 30 June 2020	Cost Workplan after 2nd Revision of Budget			
					As of 30 JUN20			
					Q3/2020	Q4/2020	2021	2022
10-PROJECT PERSONNEL COMPONENT								
	1200 Consultants	540,000.00	982,350.00	370,998.47	40,961.52	38,298.50	150,000.00	141,738.45
1	1211 Statistics and Indicator specialist	40,000.00	11,759.26	1,540.00	1,540.00		-	-
4	1201 Chief Technical Adviser/Regional Project Manager	500,000.00	970,590.74	369,458.47	39,421.52	38,298.50	150,000.00	141,738.45
	1600 Travel on official business	120,000.00	90,093.00	7,728.06	-	1,067.74	3,000.00	3,660.32
4	1601 Technical support missions and GEF meetings	120,000.00	90,093.00	7,728.26		1,067.74	3,000.00	3,660.32
20-SUB-CONTRACT COMPONENT								
	2200 Sub-contracts (Non-Profit supporting Org.)	129,000.00	26,656.00	1,475.51	1,475.51	-	-	-
2	2201 Sub-contract-oceanographic modelling	40,000.00	-	-		-		
2	2202 Sub-contract-demonstration best practice fishing gear and methods	60,000.00	-	-		-	-	-
3	2201 Sub-contract : production of information and education packages	29,000.00	26,656.00	1,475.51	1,475.51			
	2300 Sub-contracts (commercial purposes)	115,000.00	65,630.14	31,171.32	320.00	7,000.00	13,351.32	10,500.00
3	2301 Web design services (include hosting) for operation of web tools	15,000.00	11,393.79	3,171.32	320.00		2,851.32	-
3	2303 Information Management and Dissemination	-	6,926.90	-			-	-
4	2301 Auditing	100,000.00	47,309.45	28,000.00		7,000.00	10,500.00	10,500.00
30-TRAINING COMPONENT								
	3200 Group training (give title)	-	16,173.86	10,537.99	-	-	10,537.99	-
3	3201 Regional meeting on Larval Fish Identification		10,537.99	10,537.99			10,537.99	-
4	3201 Training on stakeholder consultation		5,635.87	-			-	-
	3300 Meetings/Conferences (give title)	220,000.00	161,520.00	48,365.36	-	-	13,415.36	34,950.00
3	3308 Regional meeting on indicators for refugia management	20,000.00	15,787.78	165.36			165.36	-
4	3308 Biannual meetings of the Regional Scientific and Technical Committee	120,000.00	63,410.35	550.00			250.00	300.00
4	3309 Annual meetings of the Regional Project Steering Committee	80,000.00	82,321.87	47,650.00			13,000.00	34,650.00
40-EQUIPMENT & PREMISES COMPONENT								
	4100 Expendable equipments	-	2,349.52	1,000.00	-	450.00	550.00	-
4	4101 Supplies for Printing, stationary		2,349.52	1,000.00		450.00	550.00	-
	4200 non-Expendable equipments	50,000.00	34,320.03	220.77	-	-	220.77	-
4	4201 Computing hardware and software	50,000.00	34,320.03	220.77			220.77	-
50-MISCELLANEOUS COMPONENT								
	5100 Operation and maintenance of equipment	-	500.00	500.00	-	-	500.00	-
4	5101 Operation and maintenance		500.00	500.00			500.00	
	5200 Reporting costs (publications, maps, newsletters, printing, etc)	40,000.00	8,066.80	8,066.80	-	2,550.00	3,550.00	1,966.80
4	5204 Regional scientific and technical report publication	20,000.00	5,066.80	5,066.80		2,550.00	1,550.00	966.80
4	5205 Regional policy and planning report publication	20,000.00	3,000.00	3,000.00			2,000.00	1,000.00
4	5300 Sundry	-	5,091.13	1,901.42	188.74	611.26	840.13	261.29
	5500 Evaluation (consultants fees/travel/DSA, admin support, etc)	100,000.00	100,000.00	100,000.00	-	-	40,000.00	60,000.00
4	5501 Mid-term and terminal evaluation	100,000.00	100,000.00	-			40,000.00	60,000.00
TOTAL COSTS of PCU		1,314,000.00	1,492,750.48	581,965.70	42,945.77	49,977.50	235,965.57	253,076.86

NAME DR. SOMBOON SIRIRAKSOPHON
Project Director

SIGNATURE 

DATE 10-Oct-20

ANNEX 10: OVERALL BUDGET REVISION AS OF 30 JUNE 2020

2nd Revision of the Project Budget (as of 30 JUN 2020)*

Code	Description	Approved Budget after 1st Rev. in Sep. 2019	Cumulative Unspent Balance as of 30 Jun 2020	2nd Revision of Budget (as of 30 JUN 2020)	Adjustment	Justification
UNEP PROJECT NO 829						
FINANCIAL YEAR 2020						
FUND 3,000,000						
ORG UNIT						
PROGRAMME						
PROJECT ID 5401						
PROJECT SYMBOL						
10 PROJECT PERSONNEL COMPONENT						
1100	Project Personnel w/m	247,400	152,323	240,274	(7,126)	Ref:1
1200	Consultants w/m	811,500	151,095	1,308,278	496,778	Ref:2
1600	Travel on official business (above staff)	196,000	(23,846)	274,484	78,484	Ref:3
1999	Component Total	1,254,900	279,572	1,823,036	568,136	
20 SUB-CONTRACT COMPONENT						
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-	
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	712,000	673,209	276,437	(435,563)	Ref:4
2300	Sub-contracts (commercial purposes)	175,000	140,541	85,630	(89,370)	Ref:5
2999	Component Total	887,000	813,751	362,067	(524,933)	
30 TRAINING COMPONENT						
3200	Group training (study tours, field trips, workshops, seminars, etc)	205,800	82,624	291,450	85,650	Ref:6
3300	Meetings/conferences (give title)	399,500	271,291	316,123	(83,377)	Ref:7
3999	Component Total	605,300	353,915	607,574	2,274	
40 EQUIPMENT & PREMISES COMPONENT						
4100	Expendable equipment (items under \$1,500 each, for example)	3,000	563	5,291	2,291	Ref:8
4200	Non-expendable equipment (computers, office equip, etc)	42,000	4,031	43,839	1,839	Ref:9
4300	Premises	42,000	28,234	18,585	(23,415)	Ref:10
4999	Component Total	87,000	32,828	67,715	(19,285)	
50 MISCELLANEOUS COMPONENT						
5100	Operation and maintenance of equipment	500	292	877	377	Ref:11
5200	Reporting costs (publications, maps, newsletters, printing, etc)	62,500	61,701	28,577	(33,923)	Ref:12
5300	Sundry (communications, postage, freight, clearance charges, etc)	2,800	(2,883)	10,156	7,356	Ref:13
5400	Hospitality and entertainment	-	-	-	-	
5500	Evaluation (consultants fees ETC)	100,000	100,000	100,000	-	Ref:14
5999	Component Total	165,800	159,111	139,609	(26,191)	
99	9999 GRAND TOTAL	3,000,000	1,639,176	3,000,000	(0)	


Ref #	Justification
1	Reduce to cover the anticipated expenses under BL5300 (7.126K): The PCU also noted that ID, MY, PH and VN cut off the budget to cover the other BL expenditures, while TH increase this budget line. Overall adjustment shows a 3% reduction of the total budget allocation.
2	Increasing 61% of the budget allocation due to the PSC3 Ad-hoc's decision in June 2020 to extend the project for the other two years from 2021-2022. 80% of the total increment budget will support Regional Project Management until the end of the project in 2022. The PSC3 Meeting also adopted a 10% of the Unspent as of 31 Dec 2019 from the National program to the PCU for project management. Besides, Indonesia, Thailand, and Malaysia increased this budget line by 108%, 70%, and 21% of the total budget allocation, respectively.
3	The overall budget increases by about 37% of the total budget allocation. Cambodia and Malaysia increase by about 490% and 390%, respectively. In the case of Cambodia, it showed overspent during the past three years. For Malaysia, they increase this budget line to cover the traveling costs in the next 2-year extension due to the reduction of country co-finance to the project in 2020 caused by COVID-19 impact. Look at the PCU record for co-finance; Malaysia has provided both in cash and in-kind cofinance up to the maximum level that Malaysia had committed to GEF.
4	Reduce to cover the anticipated expenses under BL1200 (400K) and BL3200 (35.56K): This Budget line has been spent about 5% of the budget allocation as of 30 June 2020. About 61% of the total budget are decreased to cover the expenditures BL 1200, (throughout a 10% reduction for project extensions given to Regional Program. About 45% of the total allocation budget to PCU is also reduced to support the BL 1200. Regional programs adjustment such as application of the simulation system from partners with no cost was agreed by the PSC2 and RSTC2.
5	Reduce to cover the anticipated expenses under BL1200 (50K), BL1600 (39.37K): All country except Philippines cut off 100% budget for development of national web portal. However, development of national web portal by country will be continued using the national co-finance to achieve the outputs under this BL2300. The PCU also reduced the budget about 45% to support the expenditures of BL1200. The remaining budget are for financial Audit fee for 2021 and 2022, and Regional website improvement.
6	An increase of about 43% of the total budget allocation is due to the need to conduct capacity building and workshops for finalization of the National policy frameworks, national guidelines, etc. The PCU also adds about 13K USD to this budget line for conducting the Regional Training/Workshop for Larval Fish Identification as requested by participating countries. Another output is the identification guide book as a bonus from this Training/workshop.
7	Reduce to cover the anticipated expenses under BL1600 (39.11K), BL1200(42.27K), and BL4200 (1.84K): The project reduced about 21% of the total allocation budget. Especially, Indonesia, Cambodia and PCU, each partner reduced about 91%, 33% and 29% of each partner's budget. Besides, all participating countries and the PCU apply the social application for conducting the virtual meeting due to COVID-19 impacts.
8	Increase to cover the anticipated additional expenses amount of USD 2,291.00, requested by Cambodia and Thailand
9	Increase to cover the overspent and anticipated additional expenses amount of USD 1,839.00 requested by Cambodia, Malaysia and Thailand.
10	Reduce to cover the anticipated expenses under BL 3200 (23.41K) requested by Malaysia
11	Increase to cover the anticipated additional expenses amount of USD 377, requested by Cambodia and Philippines
12	Reduce to cover BL1200 (4.5K), BL3200 (26.68K), BL4100 (2.29K), AND BL 5100 (0.37K) It is about 55% reduction from the total allocation budget.
13	Increase to cover the overspent and anticipated additional expenses, requested by Cambodia, Malaysia, Philippines, and PCU.
14	Under the purview of UNEP

Remark: * The Revision of Budget is referred to the results of the PSC4 Ad-hoc Meeting held on 6 October 2020. The revised budget is based on the unspent as of 30 JUNE 2020.

Remark:* The Revision of Budget is referred to the results of the PSC4 Ad-hoc Meeting held on 6 October 2020. The revised budget is based on the unspent as of 30 JUNE 2020.

App.1 **PSC3 Ad-hoc Report:** Para 23. After deliberation, the Project Steering Committee from six countries approved two crucial issues as follows:
1) A two-year project extension from 2021 to the end of 2022; and
2) A 10% reduction of the unspent budget as of 31 December 2019.

App.2 **PSC2 Report:** Para 35 In this connection and to avoid duplicating similar efforts, Dr. Somboon Siriraksophon informed the committee on the cancellation of the project's development of Ocean Modelling activity, and instead focus on strengthening cooperation and partnership with IOC-WESTPAC. He requested the committee to reallocate the budget for Ocean Modelling to other regional activities.
Para. 36 Discussions focused on the possibility of using the budget and resources to complete the guidebook indicators; the circulation model of the species; and the development of regional action plan for other species, among others. Dr. Somboon Siriraksophon noted and considered the suggested possible uses of the resources. He informed the meeting that countries will be invited in the next RSTC3, and will invite experts to discuss this.
Para. 37 After deliberation, the committee adopted the request from SEAFDEC/PCU for reallocation of Ocean Modelling budget to other regional activities which will be identified later.

NAME	DR. SOMBOON SIRIRAKSOPHON Project Director
SIGNATURE	
DATE	26-Oct-20

ANNEX 11: INTRODUCTION OF SAP-SCS PROJECT

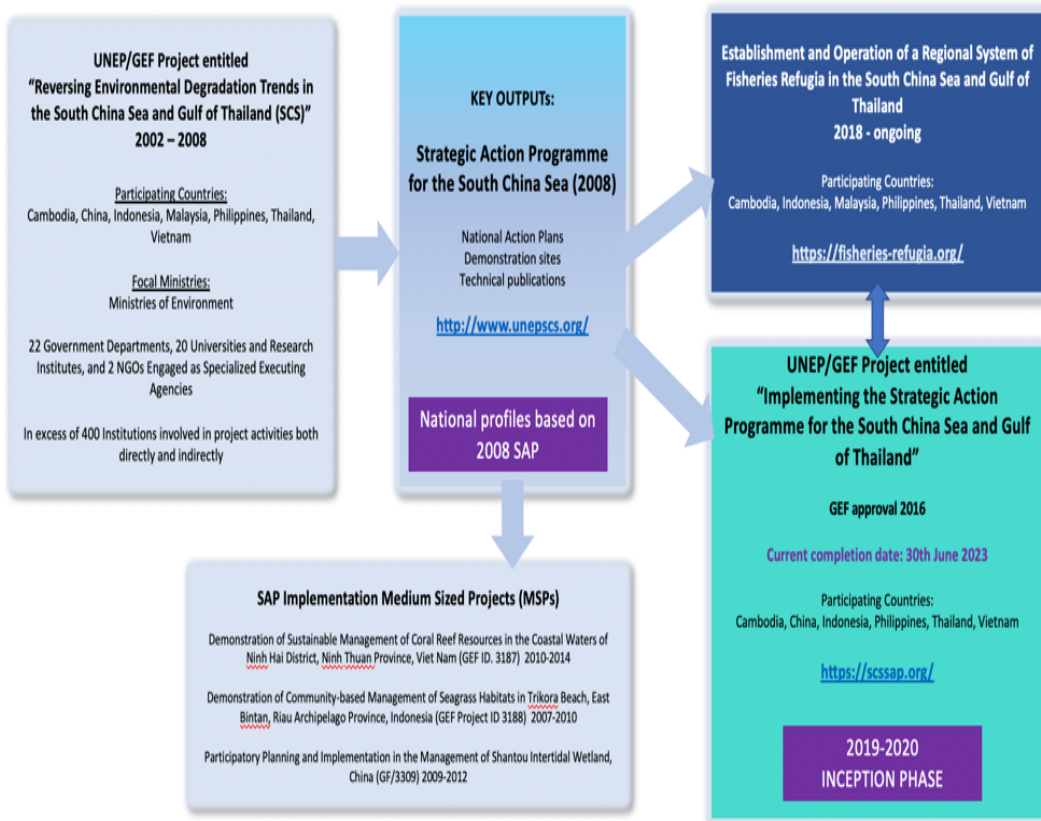


Implementing the Strategic Action Programme for the South China Sea and Gulf of Thailand (SCS SAP Project)

<https://scssap.org/>

Virginie Hart (virginie.hart@scssap.org)
 Rey Molina (reynaldo.molina@scssap.org)

South China Sea and Gulf of Thailand, from original project and SAP, to current implementation



Component 1. Reducing habitat degradation and loss via national and local reforms to achieve Strategic Action Programme targets for coastal habitat management in the South China Sea

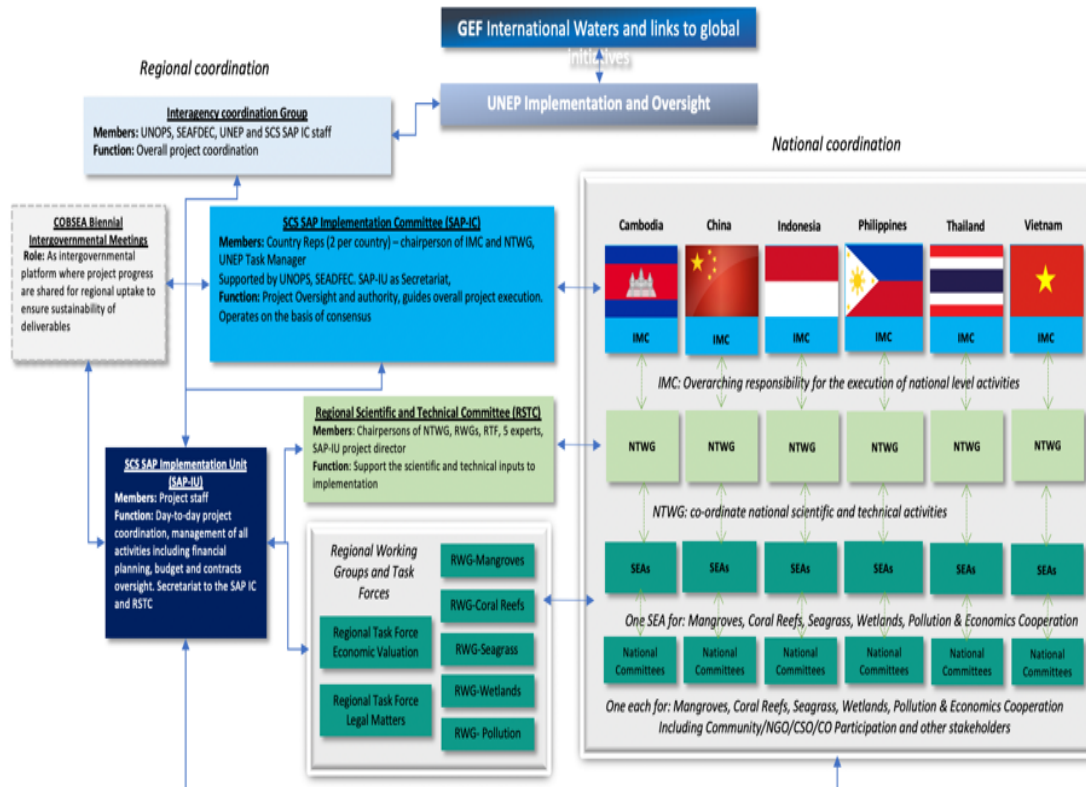
- Outcome 1.1 Appropriate forms of sustainable management established for 860,000 ha of mangrove by Yr
- Outcome 1.2 153,000 ha of coral reef at 82 priority sites managed sustainably by Yr 5, including a reduction in the decadal rate of degradation in live coral cover from 16 to 5%
- Outcome 1.3 Conservation, management and sustainable use of 25,900 ha of known seagrass area in the South China Sea by Yr
- Outcome 1.4 Integrated management of 783,900 ha of coastal wetland at 19 sites, including habitat restoration and protection strengthened at priority locations
- Outcome 1.5 National and regional level cooperation in tracking results of SAP actions for coastal habitat management

Component 2. Strengthening knowledge-based action planning for the management of coastal habitats and land-based pollution to reduce environmental degradation of the South China Sea

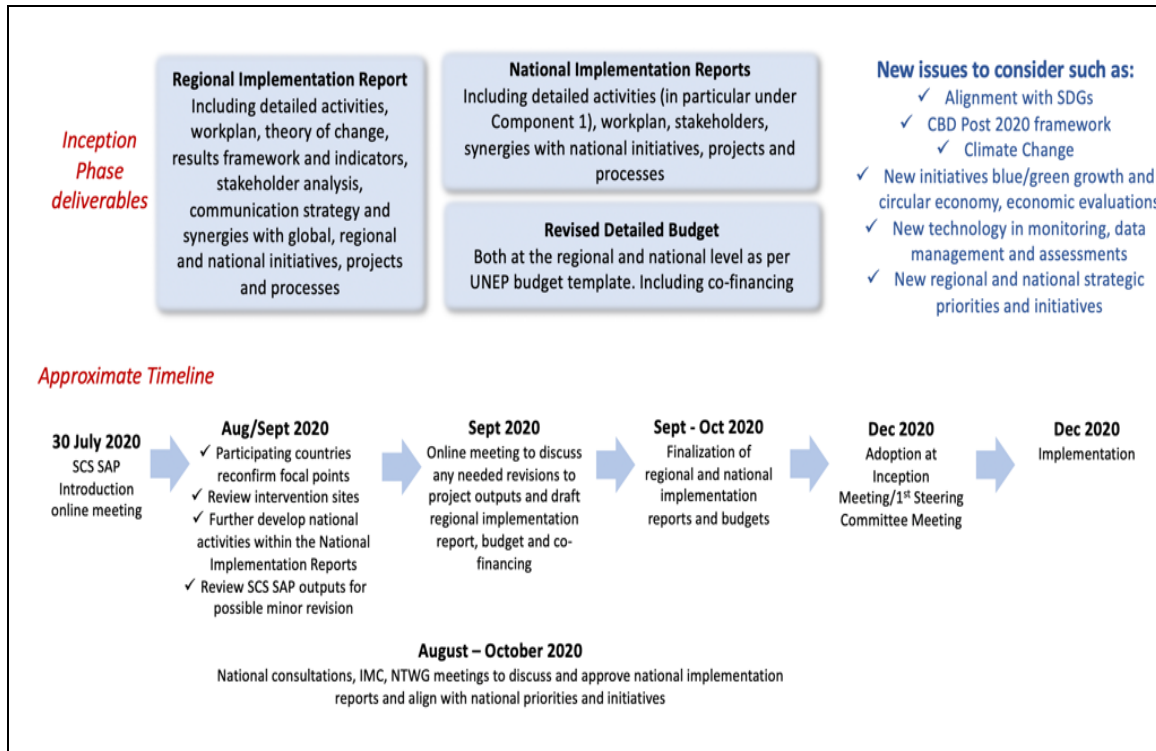
- Outcome 2.1 Enhanced information-base for coastal habitat management, monitoring and action planning
- Outcome 2.2 Effective integration of regional science in the management of land-based pollution
- Outcome 2.3 Strengthened and harmonized national policies and laws, and supporting financial mechanism, for the management of habitats and land-based sources of pollution
- Outcome 2.4 Improved national and regional values for the Updated Total Economic Values of coastal habitats for use in development planning and decision-making and blue economy
- Outcome 2.5 Regionally appropriate tools and mechanisms to guide the development of sustainable management systems for coastal habitats and land-based pollution
- Outcome 2.6 Updated and Ministerially adopted Transboundary Diagnostic Analysis and Strategic Action Programme, including prioritization of national management actions to address climate variability and change

Component 3. Facilitating regional and national level integration and cooperation for implementation of the South China Sea Strategic Action Programme

- Outcome 3.1 Regional and sub-regional co-operation in the integration of scientific knowledge and research outputs with management and policy making
- Outcome 3.2 Capacity for civil society and community organization participation in SAP implementation strengthened via operational partnership with GEF SGP
- Outcome 3.3 Relationships between central and local governments and the private sector strengthened and formalized
- Outcome 3.4 Revitalization of regional mechanisms for communications, knowledge exchange, and information and data management and sharing
- Outcome 3.5 Agreed arrangements for strengthened regional cooperation in the management of the marine and coastal environment of the South China Sea



IMC = Inter-Ministry Committee; NTWG = National Technical Working Group; National Committee = Specialized Executing Agency; RWG= Regional Working Group



SCS SAP Deliverables for 2020

Implementing the Strategic Action Programme for the South China Sea and Gulf of Thailand

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IMPLEMENTING THE STRATEGIC ACTION PROGRAMME FOR THE SOUTH CHINA SEA AND GULF OF THAILAND

Project Document

National Project Documents

Implementing and Aligning the Strategic Action Programme for the South China Sea and Gulf of Thailand (SCS SAP) with recent global, regional and national priorities including the 2030 Agenda for Sustainable Development

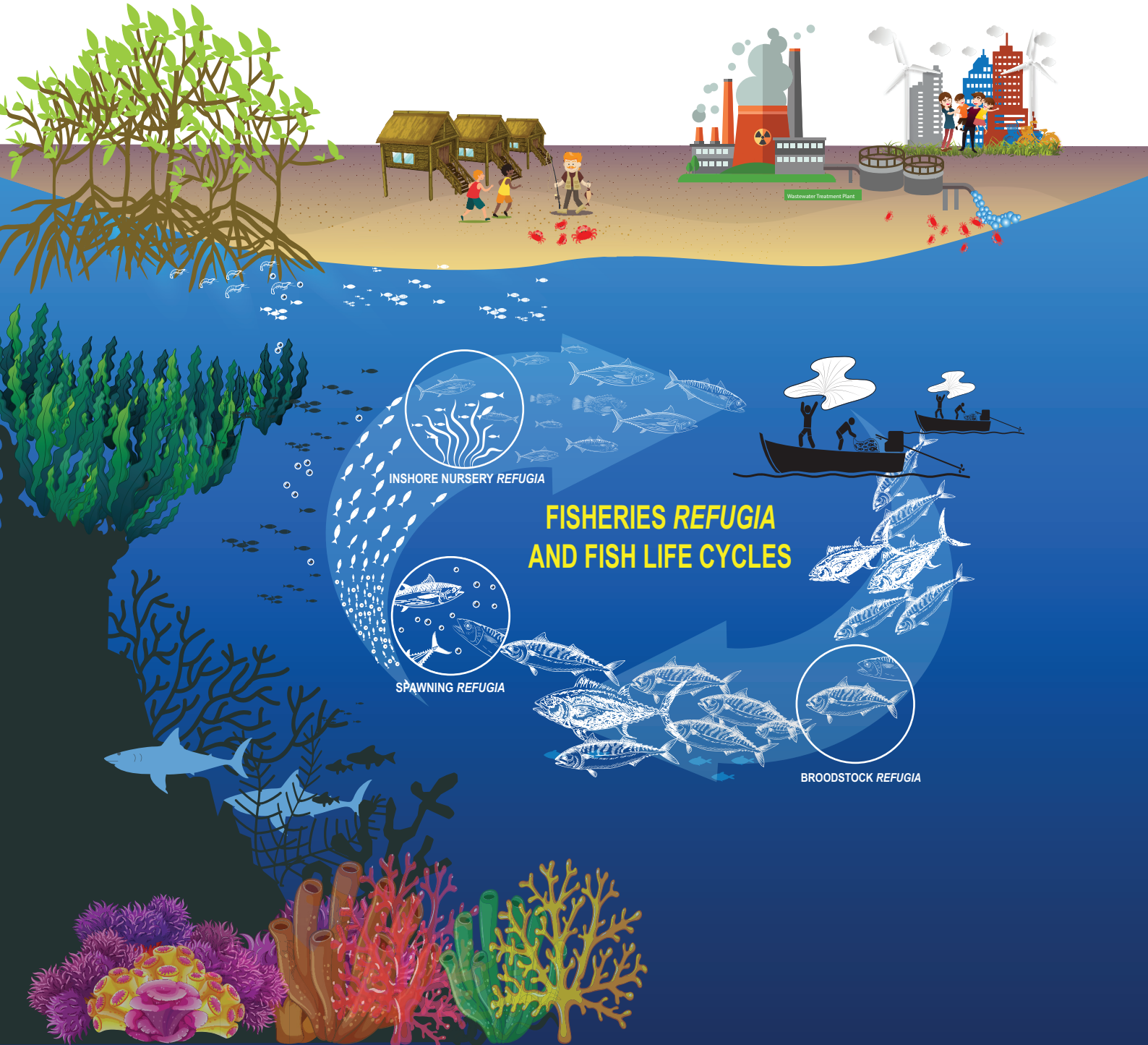
The UNEP GEF implementing the Strategic Action Programme for the South China Sea and Gulf of Thailand (SCS SAP) Project held its first Inception Phase online

About the South China Sea

SCS Strategic Action Programme



The Establishment and Operation of A Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand is a part of Strategic Action Programme for the South China Sea



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