



Southeast Asian Fisheries  
Development Center



United Nations  
Environment Programme



Global Environment  
Facility

Establishment and Operation of A Regional System of  
Fisheries *Refugia* in the South China Sea and Gulf of Thailand

# REPORT THE SIXTH PROJECT STEERING COMMITTEE AD-HOC MEETING

VIRTUAL MEETING  
30 NOVEMBER 2021



SEAFDEC/UNEP/GEF  
*Fisheries Refugia*

DECEMBER 2021

**Cover Graphic:**  
Somboon Siriraksophon

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**Establishment and Operation of a Regional System of Fisheries *Refugia*  
in the South China Sea and the Gulf of Thailand**

**REPORT**

**THE 6<sup>th</sup> PROJECT STEERING COMMITTEE**

**AD-HOC MEETING**

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30<sup>TH</sup> NOVEMBER 2021

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**SEAFDEC/UNEP/GEF**

**Fisheries *Refugia***

**DECEMBER 2021**

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## Report of the Meeting

(Adopted As of 20 December. 2021)

### I. OPENING OF THE MEETING

1. The 6<sup>th</sup> Project Steering Committee Ad-hoc Meeting for the SEAFDEC/UNEP/GEF Project on Establishment and Operation of a Regional System of Fisheries Refugia in the South China Sea and the Gulf of Thailand was organized by the Project Coordinating Unit (PCU) on 30 December 2021 from 08:30-12:00, Bangkok time (GMT+07:00). Due to the impacts from COVID-19, the meeting is virtual via Zoom App. The participants included the Project National Focal Point, the National Scientific and Technical Focal Point from six participating countries, namely Cambodia, Indonesia, Malaysia, Philippines, and Thailand, including representatives from SEAFDEC/Training Department and PCU. [Annex 1](#) shows a list of participants.
2. Mr. Nguyen Thanh Binh, a Chair of the Project Steering Committee for 2021, welcomed all the participants to the PSC6 Ad-hoc Meeting. He mentioned that as everyone faces the difficulty of the Covid 19 situation, the meeting could not be organized face to face. Thus, the online meeting is another option, and he believed that everyone is familiar with online meetings. He hoped this meeting would be a good opportunity to revise work plans and budgets. Also, he believed that the committees would have many things regarding that implementation success and lessons learned to share.
3. Mr. Isara Chanrakkij, on behalf of the SEAFDEC Secretary-General, Madam Malinee Smithrithee, conveyed her messages and apology for not attending the meeting due to her duty as a chairperson for another meeting. She expresses her high appreciation and welcomes all participants on behalf of the SEAFDEC executing agency of the project. As receiving information from the Project Director, this meeting is crucial to implement the project during the extension period, particularly the budget revision and workplan modification for 2022. She further encouraged all participating countries to adjust the work plans appropriately and apply innovations and technologies to support project implementation to ensure that the expected target, outputs, and outcome could be achieved. She also looks forward to fruitful discussions and results from this Meeting.
4. Mr. Somboon Siriraksophon, Project Director, as a secretariat of the meeting, informed the meeting that Ms. Isabelle Vanderbeck, project task manager, could not join the meeting due to urgent matters. He then informed the meeting that the PCU will submit the PSC6 meeting report to her for consideration.

### II. MEETING BACKGROUND AND INTRODUCTION

5. The PSC6 committee agreed to have Mr. Somboon leading the PSC6 Ad-Hoc meeting.
6. Mr. Somboon Siriraksophon referred to the main results of the past PSC meetings. The main results of the first PSC meeting were about the adoption of TORs and Rule of procedure for all institution committees; the agreement of the national results framework; and the revision of workplan/timetable due to relay of implementation. For the second PSC meeting, the list of indicators, RAP-indo-pacific mackerel, ocean modeling, financial matters, and the 1st Budget Revision adoption. Also, two-years project extensions, budget allocation have been decided during the third PSC Ad-hoc meeting. For the fourth PSC meeting, the decisions on the 2nd Budget Revision as of 30 June 2020, the costed work plans, and amended PCA between SEAFDEC and UNEP. For the fifth PSC meeting, there was an update on the achievements from countries, amendment of LoA/MOU with Countries; also, the committee has decided to conduct the mid-term reviews.
7. Mr. Somboon Siriraksophon informed the meeting that currently the project is in the process of the mid-term review since 10th November 2021 with the plan of interviewing key stakeholders

from each country. Thus, the list of stakeholders, who will be interviewed, would be sent to the countries by consultant soon.

8. Mr. Somboon Siriraksophon introduced the provisional agenda for the meeting's consideration. Also, he mentioned the expectations from the PSC6 Ad-hoc meeting, which are the endorsement of the list of the Audit Firm for other internal processes on SEAFDEC/PCU budget approval to support the firm auditing process in the 1st quarter of 2022 and 2023; the adoption on the Budget Revision of the Balance as of 30 September 2021, and the Costed Work Plan for 2022; the adoption on the 3rd Budget Revision as of 30 September 2021; and for countries to consider to develop the GEF SGP to support the project implementation.
9. The floor was open for discussion and comments. After deliberation, the committee adopted the meeting agenda without amendment, as shown in [Annex 2](#).

### III. FINANCIAL AUDIT FOR 2021 AND 2022

10. Mr. Somboon Siriraksophon informed the meeting that all the countries excepted Viet Nam have confirmed their audit firms for 2021 and 2022, which would be the same as the one auditing for the year 2020. For Viet Nam, as there was no expenditure in 2021, the audit is not required. Viet Nam will confirm the audit firm later in 2022.
11. The project steering committee was invited to advise and suggest the proposed list of the audit firm. In addition, the committee was requested to adopt the proposed list of audit firms for 2021 and 2022.
12. After deliberation, the committee agreed and adopted the proposed list of audit firms for 2021 and 2022 as [Annex 3](#) of this report.

### IV. PROPOSING THE THIRD BUDGET REVISION AND COSTED WORKPLAN

13. Mr. Somboon Siriraksophon presented the reasons for the third budget revision requirement. He referred to the cumulative expenditures for 2021 as of 30 September 2021; it was about 21.24 percent of the total proposed budget for 2021. A similar figure for the overall budget approved by GEF shows that only 41.48 percent was spent from 2016 to 30 September 2021. Thus, the third budget revision also aims to ensure that the GEF funding will be effectively utilized in 2022.
14. Mr. Somboon Siriraksophon informed the meeting that the third budget revision would be done without extra funds from GEF. Also, Viet Nam would reduce their budget by 53.81 percent. Thus, that amount would be remaining in the SEAFDEC account in the meantime.
15. Mr. Somboon Siriraksophon handed over the floor to countries to present their budget revision and work plans.

#### 4.1 CAMBODIA

16. Mr. Leng Sy Vann presented the budget revision and the costed work plans for 2022 to the meeting on behalf of Mr. Ouk Vibol, the national focal point for Cambodia. Generally, Cambodia needs to revise the budget and allocate a budget of 10,800 USD for the project personals, the project coordinators and accountants taking the activities related to the policies regulation and informative management and dissemination meanwhile EU has support fund of those activities, as the context of Fisheries Refugia has been integrated into the fisheries law. Moreover, Cambodia needed to allocate a budget of 8,148 USD for hiring national consultants to help developing law and legislation and national guidelines for fisheries refugia management. On the other hand, Cambodia has allocated a small budget of 2,309.75 USD for travel on official business. Cambodia needed to revise to allocate the budget of 10,500 USD for sub-contract for a non-profit supporting organization to enhance capacity building of the Provincial Fisheries Administration Cantonment and community fisheries in the management of Fisheries Refugia, focusing on releasing blue swimming crab, raising public awareness, training, patrolling, and cracking down illegal fishing at fisheries refugia sites. Regarding the study tour, field trip, and workshop budget line, Cambodia also needed to revise the allocated budget of 4,475 USD to follow up the implementation and collaborative enhancement program for fisheries refugia management at fisheries refugia sites

and share experiences of the establishment and operation of refugia at the provincial and the national level as well. As for the meet the budget line, Cambodia also allocated a budget of 29,140.75 USD for stakeholder consultation meetings at the provincial and national levels on the establishment of the fisheries refugia in Kampot province, site-based management board, and technical working group meetings in Kep, Koh Kong, and Kampot province. In this connection, Cambodia has worked closely with the partner organizations such as FFI, WEA (Wildlife Earth Alliance), and MCC (Marine Conservation of Cambodia). Those organizations always share the cost with the fisheries refugia project during organizing the stakeholder consultation meeting, technical working group, and site based boarding meetings. Finally, Cambodia needed to revise and allocate a budget of 1,462.50 USD for equipment (expendable and non-expendable equipment) and a budget of 3,974.75 USD for miscellaneous components such as translation from Cambodia language to English, printing cost, and bank charge and communication

17. Mr. Ouk Vibol, a project steering committee for Cambodia, informed the meeting that, unfortunately, the Refugia site in Kampot may need more consultation as there are new provincial governors there, and the Refugia site in Kampot might not be able to establish at the commitment time made during the PSC 5 meeting. Also, he proposed to have a Refugia guideline written in Khmer as it would be a more functional and better understanding all relevant stakeholders at provincial and national level.
18. Mr. Somboon Siriraksophon agreed with Mr. Ouk Vibol about having the documents in local languages and found it useful for local people. However, he suggested having an abstract or summary of the publication in English so that other countries would have a lesson learned from this. Moreover, the endorsement of the fisheries refugia site in Kampot, Cambodia, may need more time for the consultation meeting. He noted note that the establishment of the Refugia site in Kampot will delay until the 2nd quarter of 2022. The proposed budget revision and costed work plan for Cambodia are enclosed as [Annex 4](#).

## 4.2 INDONESIA

19. Ms. Astri Suryandari, on behalf of the project steering committee for Indonesia, presented the budget revision and the work plans for 2022 to the meeting. She briefly informed the meeting that there are four (4) project components, which Indonesia grouped into three (3) main parts, including data collection program, assignment mission, and public participation. She informed the progress works as of September 2021 that Indonesia attempts to draft fisheries refugia profiles for both project sites for shrimp (*Fenneropenaeus* spp) in West Kalimantan and squid (*Uroteuthis chinensis*) in Bangka Belitung. However, they faced some obstacles, notably the Covid 19 pandemic, so they could not complete the activities as planned. However, they planned to complete the activities by the end of 2021. She also informed the meeting that Indonesia had already compiled information and identified the fisheries refugia area for both sites. They will conduct the meeting and stakeholder consultation to draft the national guidelines and management plans for establishing Fisheries Refugia in December 2021. Moreover, the national refugia web portal has been developed.
20. The meeting noted no major changes for the third budget revision compared with the second one. However, there was a minor change in the budget line for meeting and group training. The proposed budget revision and costed work plan for Indonesia are enclosed as [Annex 5](#) of this report.
21. The floor was opened for questions for Indonesia.
22. Mr. Somboon Siriraksophon sought clarification for the registration process of Fisheries Refugia by the Ministry of Marine Affairs and Fisheries (MMAF). In response, Ms. Astri Suryandari clarified that after the project identified both fisheries refugia based on the data collection of target species and its habitats linkages, including mapping agreed by the target community, the national lead agency will propose the recommendation for the establishment of two refugia to MMAF for approval. However, Ms. Astri Suryandari asked the meeting and PCU to note that the approval process may take time.

23. Ms. Astri Suryandari also informed the meeting that Indonesia has consulted with the relevant stakeholders; in general, they give the impression of accepting the refugia concept, even though it is a new concept for them.

#### **4.3 MALAYSIA**

24. Ms. Lim Ai Gaik, a project steering committee for Malaysia, presented the revised budget to the meeting. Currently, an available budget of Malaysia is 94,496.05 as of 30 September 2021. Department of Fisheries Malaysia (DOF) planned to allocate most of the budget to appoint a consultant for management plans for each site. On the other hand, they reduced the traveling cost (BL1600) from 10,940.96 USD to 7,200 USD and the group training cost (BL3200) from 37,439.12 USD to 30,000 USD to cover the consultant cost under BL1200. Also, Malaysia requested the budget for expendable equipment to facilitate the workshop. Moreover, they reduced the budget for the reporting costs (BL5200) to cover expenditure in BL4100. The proposed budget revision and costed work plan for Malaysia are enclosed as [Annex 6](#) of this report.
25. The floor was opened for questions for Malaysia.
26. Mr. Somboon Siriraksophon requested Malaysia include the fisheries refugia web portal for 2022 activities. In response to this, Ms. Lim Ai Gaik informed the meeting that they are developing the database website where all survey data, GIS, publications, marine facts, and Fisheries Refugia matters are included at the department level. She also informed that the database system is currently developing, which should be completed by 2022. Thus, the Department of Fisheries will share the link with PCU when it is available.
27. Mr. Somboon Siriraksophon seeks to update the stakeholder agreement for the proposed two refugia boundary areas based on the scientific surveys planned to be finalized by the end of 2021. Ms. Lim Ai Gaik clarified that a small consultation was conducted in Tanjung Leman and Kuala Baram in October or early November. However, more stakeholder consultations would be needed to finalize the two refugia boundary areas.

#### **4.4 PHILIPPINES**

28. Mr. Joeren S. Yleana, a project steering committee for the Philippines, informed the meeting that for 2022 activities, which are focused on the drafting of three (3) fisheries management plans for three (3) refugia sites, including sub-contracts for the development of the national guideline for the establishment of fisheries refugia and the best practices. He also highlighted that NFRDi, as a national lead agency, has developed the fisheries community plans to support the management of fisheries refugia areas. In other words, the concept of fisheries refugia was injected into the fisheries community plans. In addition, NFRDI continues conducting stakeholder consultations at sites in the last quarter of 2021. The refugia areas at three (3) sites have been finalized, and NFRDI has already established the on-site management board. The board plays an important role in managing fisheries refugia areas. Regarding budget revision, Mr. Joeren S. Yleana informed that the 2022 budget would concern the sub-contract to develop the APP and management plan at three sites and national guidelines. He assures that NFRDI can finish all activities by the middle of 2022. Regarding the audit firm proposal, NFRDI is still negotiating on the audit report timeline due to their hectic schedule during the said period, which should be finalized by the end of March. In this connection, the auditor requests to postpone the submission date for about a month (or no later than April). Also, the audit fees are likely to be the same amount as last year.
29. The meeting noted the request from the Auditor for the delayed submission of the audit report, which should not be later than April. The proposed budget revision and costed work plan for the Philippines is enclosed as [Annex 7](#) of this report.

#### **4.5 THAILAND**

30. Ms. Prulai Nootmorn, a project steering committee for Thailand, informed the meeting that most of the budget expenses for 2022 cover the support for project personnel, consultants, and consultation meetings at both project sites in Trat and Surat Thani provinces. She also informed

that in the Surat Thani refugia site, the Department of Fisheries (DOF) is in the final stage for finalizing the Provincial Notifications for Fisheries Refugia area of blue swimming crab in Surat Thani Province. Moreover, DOF will organize other consultation meetings and workshops on installing marking buoys for the refugia boundary area scheduled in December 2021. For the Trat fisheries refugia, DOF is drafting notifications on establishing fisheries refugia area for indo-pacific mackerel. She further explained that the Provincial Governor refers to the special articles called Article 71 of the Royal Ordinance, which mentions a mechanism and process to release a Notification for managing fisheries under the local governance. Even though Thailand faces the Covid 19 situation, DOF is still working on the project, such as conducting virtual meetings instead of face-to-face meetings. The DOF expects that by the end of 2021, the notification for Surat Thani refugia area will be completed, whereas the Trat site's notification will be accomplished at the beginning of 2022. The proposed budget revision and costed work plan for Thailand is enclosed as [Annex 8](#) of this report.

#### 4.6 VIET NAM

31. Mr. Nguyen Thanh Binh, a project steering committee for Viet Nam presented what Viet Nam has done for the project until the present. He informed the meeting although there was no expenditure incurred for the past years, D-Fish as a lead national agency of the project has spent their own resources as a co-finance to implement the project. He informed the meeting on activities occurred before their initiative the project, such as:
  - a. inclusion of fisheries refugia under the legal framework of fisheries resource protection under the Article 17 of Fisheries Law);
  - b. collection of information and fishery data, fisheries resources, and their habitats linkages at three project sites.
  - c. Identification of target species in the draft master plan of fisheries development (10 years plan) are based on the local community consultation during the past years including different species groups such as bivalve mollusks, crustaceans, and marine fish.
  - d. Proposed basic criteria for selection fisheries resources protection site (or refugia sites).
32. In addition, D-Fish works with consultants to draft the guidelines for the establishment of fisheries refugia sites, and reviewing the policy and regulations relating to the establishment and operation of refugia for further implementation by countries even though after termination of the project. Mr. Binh also informed the meeting that the Master Plan for Fisheries Development include 46 fisheries resource protection areas including fisheries refugia areas to make sure that those are well managed later by the provincial governments. Moreover, after the master plan is approved by Prime Minister the provincial administration will identify more additional sites for fisheries protection areas.
33. For 2022 implementation plan, components 1, Viet Nam will focus on activities 1.1, 1.2, 1.3 and 1.4. However, the activity 1.5 will be omitted as the limitation of time and resources. For component 2, it will be focusing on activities 2.1, 2.2, 2.3 and 2.4, while activities 2.5, 2.6, 2.7 and 2.8 will be omitted. For component 3, activities 3.1 and 3.2 will be omitted; however, they will also focus on activity 3.3 on web design services for operation of web tools. For component 4, they will focus on activities 4.1 and 4.2 while omitting activity 4.3.
34. In addition to the workplans, Mr. Nguyen Thanh Binh informed the meeting that Viet Nam budget revision based on only one (1) year implementation, therefore Viet Nam proposes reducing the budget of 142,608.67 USD from the original budget allocation. The proposed budget revision and costed work plan for Viet Nam is enclosed as [Annex 9](#) of this report.
35. The floor was opened for questions for Viet Nam.
36. Ms. Praulai Nootmorn asked if Viet Nam is interested to cover the project site on short mackerels. Regarding this, Mr. Nguyen Thanh Binh explained that D-Fish has proposed in the project document to include the short mackerels along the Phu Quoc Island. However, Nowaday due to



the proposed area are occupied by the Marine Protected Area (MPA). And there is different management mechanism between MPA and Fisheries Refugia.

37. Mr. Somboon Siriraksophon sought clarification on timeline for adoption of the Master plan for fisheries development by the government which would be linked to the refugia management plan for project sites. In response to this, Mr. Nguyen Thanh Binh explained that the master plan will be submitted to the prime minister before the end of this year. He believed that this master plan would be approved not longer than the second quarter of 2022. After that, Viet Nam will have the management plan for those three (3) sites as the master plan will legally help creating framework for provincial government to follow.
38. Ms. Praulai Nootmorn shared her experiences regarding the Fisheries Master Plan in Thailand, where the fisheries management plan (FMP) has started from 2020 to 2022. She further explained that fisheries refugia is one of the activities including in the FMP for Thailand. She also mentioned the World Trade Organization (WTO) requested all member countries to develop the Fisheries Management Plan (FMP) which is aligned with the UN Sustainable Development Goal (SDG-14) requirements.
39. Mr. Nguyen Thanh Binh thanked Ms. Praulai Nootmorn for sharing her experiences. Viet Nam also proposed a project for establishment of fisheries sites.
40. Ms. Praulai Nootmorn asked Cambodia about the status of guideline of the establishment of fisheries refugia. She also requested if it is possible, please share it with country. Regarding this, Mr. Ouk Vibol stated that currently there is about 90 percent completed in Khmer language. Hence, FiA will publish in Khmer first the local people need it as soon as possible. Then, it will be translated to English later. After, having the English version, Cambodia will share it to Thailand as requested.
41. Mr. Nguyen Thanh Binh also pointed out the challenges for project implementation that many tentative refugia sites mentioned in the project document drafted in 2006 need to be changed because many sites are changed to MPAs. Regarding this, Viet Nam needs to find new sites, which may take some time. He requested all PSC members to understand the situations that this is a difficult task for Viet Nam to follow the progress of the project.
42. Mr. Somboon Siriraksophon suggested that since Viet Nam revises the project implementation and budget from GEF allocation, it is better for Viet Nam to focus only the pilot sites that Viet Nam can achieve within the timeframe.
43. Mr. Somboon Siriraksophon on behalf of the project director seek for advice and suggestions from the project steering committee on utilization of the remained budget of 142,608.67 USD from Viet Nam. The following issues were raised by countries:
  - a. Mr. Ouk Vibol has two points to raise, one is related to Cambodia case and another one is related to Viet Nam issue. Firstly, he informed that Kampot Refugia site in Cambodia are now reaching the final stage of creating the refugia site mapping, agreed by former Governor. But now, new governor comes on his duty for establishment of fisheries refugia, so FiA need to explain him before minister sign the proclamation for Kampot refugia. They need a support letter from provincial administration, especially the governor of the province. This is their concern. Also, the project is running out of time. In addition, he questioned that currently Cambodia has some budgets for implementation including patrolling and public awareness. Thus, is it possible to use the budget for sub-contract activities in Kampot even though the refugia site has not been approved yet? Regarding this, the committee did not objection on the proposed using the budget, while the PCU also support the requested from Cambodia to move further without waiting for. For the Viet Nam case, the PCU may think about the transboundary works between Cambodia-Viet Nam and Cambodia-Thailand, study tours for sharing the lessons learnt.
  - b. Ms. Praulai Nootmorn suggested that Thailand has a project called "Promotion of the Thai short mackerel in its value change and innovation", gathering the knowledge about the

value change. This project is funded by National Research Organization. So, is it possible for the fisheries refugia project support the project in term of the transboundary issues for short mackerel in the region?

- c. Mr. Ouk Vibol mentioned that with 100,000 USD from Viet Nam, in Kep Cambodia may need 20,000 USD of 100,000 USD. Then, Cambodia can discuss internally. He worried about refugia site in Kampot as there were a lot of competition. Cambodia also have another refugia site for blood cockle at Sihanoukville province.
  - d. Ms. Lim Ai Gaik asked the PCU if the extra budget from Viet Nam is opened to other countries. And if country propose to use some of the extra budget, do we need to sign additional agreement. She also asked the schedule for the next RSTC.
  - e. Ms. Prulai Nootmorn suggested the idea of using the budget from Viet Nam to support in terms of lesson and learned for refugia site, as well as, exchanging knowledge among member countries.
44. Mr. Somboon Siriraksophon concluded that the project steering committee agreed in principal that the remaining budget can be utilized, however, the PCU proposed to discuss on this matter at the forth coming Regional Scientific and Technical Committee Meeting which is scheduled probably in March of the 1st Quarter of 2022. The results from the next RSTC related to the utilization of the remaining budget from Viet Nam will be reported for final decision by the project steering committee. Like this the SEAFDEC/PCU and all participating countries and other concerns by SEAFDEC and UNEP could have enough time for consideration on the proposal for utilizing the remaining budget.
45. Mr. Somboon Siriraksophon replied to the project steering committee for Malaysia that there is no need to sign for the additional agreement as SEAFDEC PCU has authority to manage the budget under the approval/agreement from the project steering committee. Also, SEAFDEC PCU will reconsider about how to smooth the process of the budget requirement to effectively support country implementation.
46. Mr. Nguyen Thanh Binh wondered if the committee needs to inform SEAFDEC or UNEP before using the budget from Viet Nam.
47. Mr. Somboon Siriraksophon clarified that PSC needs to adopt all proposed budget revision which including the reduction of the budget by Viet Nam first then, countries can further discussion on how the project utilize the remaining budget at the next RSTC in early of 2022 before submission the results to the project steering committee for final approval where SEAFDEC and UNEP could also involve in the process.

#### **4.7 SEAFDEC/PCU**

48. Mr. Somboon Siriraksophon, on behalf of the SEAFDEC/PCU, informed the meeting of the cumulative expenditures and the balanced budget of the regional program for 2021, as of 30 September 2021. The remaining budget is 470,662.55 USD, and the expected budget for Q4/2021 is 104,509.57 USD. The remaining balance at the end of 2021 would be about 366,152.98 USD. Accordingly, he highlighted that the costed work plan for Q4/2022 will cover the expenditures as accrued payable for the terminal evaluation managed by UNEP, the audit fees for financial statement, and the consultant fee covering the last six (6) months of 2023.
49. The regional program for 2022 will be focused on the following activities:
- a. Best fishing practices, including the fishing gear improvement study in Cambodia, under the BL2200,
  - b. Consultant fee for financial statement Audit for six countries and consolidated audit report under the BL2300,
  - c. Conduct the Regional Training Workshop on Larval Fish Identification and Fish early-life history science for all ASEAN member states under the BL3200,



- d. Conduct two Regional Scientific and Technical Committee Meetings and two Project Steering Committee Meetings under the BL3300,
  - e. Printing, designs, and communication product services such as RTSC Reports and PSC reports, Guidelines on Indicator, etc. under the BL5200
  - f. Project management until June 2023 under the BL1200 and business traveling cost under the BL1600,
  - g. Consultant Fee for Terminal evaluation by the end of 2022 under the BL 5500 managed by UNEP.
50. The budget revisions to support the activities in 2022 are transferred from three (3) budget lines: BL1200, BL4200, and BL5500. The budget of 38,096.57 USD under BL1200 will transfer to BL3200 and BL3300 for regional training and meetings. The budget of 220.77 USD is transferred from BL4200 to BL5100 for operation and maintenance costs. Moreover, the budget of 22,000.00 USD from BL5500 is transferred to several budget lines such as BL1600 for official business/traveling, BL2200 for best practices, study on fishing gear improvement in Cambodia), BL2300 for audit reports for 2022, BL5200 for reporting costs, and BL5300 for sundry, bank charges, and others.
51. The proposed budget revision as of 30 September 2021 and the Costed Work Plan for 2022 are enclosed as [Annex 10](#) of this report.
52. Mr. Ouk Vibol raised concerns about proposed meetings in 2022, as he was unsure if it was possible to hold the face-to-face meeting for the regional program. His question was about how the budget would be managed.
53. Mr. Somboon Siriraksophon clarified that he firmly believed that the Covid-19 will fade away in 2022 due to the number of people vaccinated in many participating countries. However, in the most severe case, if the PCU could not organize a face-to-face meeting due to the Covid-19, then the leftover budget would be unspent and returned to UNEP. Some budget could be transferred for other activities, for example.
54. After deliberation, the project steering committee was called country by country to decide and adopt each proposed budget revision and costed work plan from six countries and SEAFDEC/PCU. The project steering committee adopted all budget revisions and the costed work plan from Cambodia, Indonesia, Malaysia, Philippines with some amendments, Thailand, Viet Nam, and SEAFDEC/PCU as **Annex 4 - 10**, respectively. The committee also adopted the proposed reduction of the budget allocation for Viet Nam as **Annex 9**.

## V. THE THIRD BUDGET REVISION AS OF 30 SEPTEMBER 2021

55. Concerning the Third budget revision as of 30 September 2021, Mr. Somboon Siriraksophon presented the meeting on calculating overall budget revision based on the inputs from six countries and SEAFDEC/PCU. He informed the meeting that the 53.81 percent deducted from the allocated Viet Nam's budget is included for calculation in order to compare the total amount of the revised budget as of 30 September 2021 equal to the revised budget as of 30 June 2020 in a total amount of three million USD approved by GEF. Also, he showed the meeting the third budget revision using the UNEP Template.
56. The committee was also requested to consider, comment, and adopt the 3rd Budget Revision as of 30 September 2021 for further submission to the UNEP for consideration and support.
57. Mr. Ouk Vibol, considering of budget for the meeting, suggested that as there is an unspent budget from Viet Nam, it would be good if the project could invite and encourage more people to join the regional meeting. For example, Cambodia would like to invite some people from the provincial administration in Kep and Koh Kong provinces to join the regional meeting and encourage them to continue working after the end of the project. Regarding this, the meeting noted the

suggestions from Cambodia to invite key stakeholders involved in the project implementation to join the regional meeting before the end of the project

58. After deliberation, the project steering committee adopted the 3rd Budget Revision, as of 30 September 2021 for further support by UNEP, as [Annex 11](#).

## VI. PROGRESS ON THE PROJECT EXTENSION LOA/MOU/LOI BETWEEN SEAFDEC AND PARTICIPATING COUNTRIES

59. Mr. Weerasak Yingyuad, Technical Coordinator of the SEAFDEC/PCU, referred to the working paper 03 the progress on the project extension LoA/MoU between SEAFDEC and participating countries. Five (5) countries have completed signing the project extension LoA/MoU/LoI excepted for Indonesia, which is still in the process of consideration on the draft of the new LoA by AMFRHR. The progress report is enclosed as [Annex 12](#) of this report.
60. The committee was requested to take note of the project extension LoA/MoU between SEAFDEC and participating countries.
61. Mr. Hendri Kurniawan, a representative from Bureau of Public Relations and Foreign Cooperation, the Ministry of Marine Affairs and Fisheries (MMAF), updated the meeting due to significant changes in the MMAF and high-level official positions. Accordingly, the head of Agency for Marine and Fisheries Research and Human Resources of the MMAF who is also alternate SEAFDEC Council Director is not officially nominated yet. Presently, the Secretary-General planned to countersign the LoA by the end of December 2021.

## VII. GEF SMALL GRANTS PROGRAMME TO SUPPORT THE IMPLEMENTATION OF FISHERIES REFUGIA PROJECT

62. Mr. Somboon Siriraksophon introduced the GEF Small Grants Programme to the meeting for consideration; the working document is enclosed as [Annex 13](#). He informed that the FR project was designed to strengthen community participation. Also, in 2008, the partnership agreement between UNDP and UNEP to support the implementation of SAP/SCS, which the FR project is one of the statistic actions plans for the SCS. It is an opportunity given to project partnerships, e.g., civil society organizations, community organizations, and the private sector, to seek funds from SGP. The maximum grant amount per project is 50,000 USD, with an average of 25,000 USD.
63. There are six steps for applying the SGP. Firstly, applicants may need to search for project application guidelines and forms from the internet. Then, the applicants may require preparing a brief project concept paper and submitting it to the national coordinator. After that, the coordinator will review and pre-screen the concept paper to see if it meets the SGP requirements and other criteria. If the project is eligible for the grant, the applicants will be required to prepare a project proposal and submit it to the national scientific coordinator for review. After the proposals are approved, they can enter the national SGP work program.
64. Based on the past experiences during the first phase of the South China Sea project, the application process for the SGP would take about 8 to 9 months.
65. Mr. Somboon Siriraksophon have showed the lists of National Coordinator, which are available at the UNDP website, to the meeting.
66. He also suggested that since many participating countries have been involved by the civil society organization (CSO), NGOs, and private sectors, it is an excellent opportunity to encourage and work with them to apply for the fund to support the project.
67. Mr. Nguyen Thanh Binh shared some information about similar programs funded by SGP in Viet Nam. He informed that UNDP SGP and GEF SGP are essential financial resources to help identify areas. Also, a coordination mechanism can support the community to develop proposals.





## ANNEX 1: LIST OF PARTICIPANTS

### CAMBODIA

No.	Name	Sex	Title	Organization	Email
1	Mr. Ouk Vibol	M	Director	Department of Fisheries Conservation Fisheries Administration (FiA)	<a href="mailto:ouk.vibol@online.com.kh">ouk.vibol@online.com.kh</a>
2	Mr. Leng Sy Vann	M	Deputy Director	Department of Fisheries Conservation Fisheries Administration (FiA)	<a href="mailto:lengsyvann@gmail.com">lengsyvann@gmail.com</a>

### INDONESIA

No.	Name	Sex	Title	Organization	Email
1	Ms. Iswari Ratna Astuti	F	National Focal Point of Indonesia (Head of Research Institute for Fish Resources Enhancement)	Research Institute for Fish Resources Enhancement, Ministry of Marine Affairs and Fisheries	<a href="mailto:iswariastuti@yahoo.com">iswariastuti@yahoo.com</a>
2	Ms. Astri Suryandari	F	Researcher	Research Institute for Fish Resources Enhancement, Ministry of Marine Affairs and Fisheries	<a href="mailto:suryandari.astri@gmail.com">suryandari.astri@gmail.com</a>
3	Ms. Dyah Ika Kusumaningtyas	F	Researcher	Research Institute for Fish Resources Enhancement, Ministry of Marine Affairs and Fisheries	<a href="mailto:pt.brpsi@gmail.com">pt.brpsi@gmail.com</a>
4	Mr. Alza Rendian	M	Cooperation Analyst / Observer	Bureau of Public Relations and Foreign Cooperation, Ministry of Marine Affairs and Fisheries	<a href="mailto:alzarendian@gmail.com">alzarendian@gmail.com</a>
5	Mr. Hendri Kurniawan	M	Policy Analyst / Observer	Bureau of Public Relations and Foreign Cooperation, Ministry of Marine Affairs and Fisheries	<a href="mailto:hendrikur16@gmail.com">hendrikur16@gmail.com</a>

### MALAYSIA

No.	Name	Sex	Title	Organization	Email
1	Ms. Lim Ai Gaik	F	Senior Fisheries Officer, Marine Park dan Resource Management Division	Department of Fisheries	<a href="mailto:aigaik@dof.gov.my">aigaik@dof.gov.my</a>

2	Mr. Salleh Udin Bin Jamon	M	Director of Fisheries Research Institute, Kampung Aceh, Perak	Department of Fisheries	<a href="mailto:Sallehudin_jamon@dof.gov.my">Sallehudin_jamon@dof.gov.my</a>
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**PHILIPPINES**

No.	Name	Sex	Title	Organization	Email
1	Mr. Joeren S. Yleana	M	Senior Aquaculturist	Capture Fisheries Division Bureau of Fisheries and Aquatic Resources	<a href="mailto:joerenyleana@yahoo.com">joerenyleana@yahoo.com</a>
2	Mr. Valerianno M. Borja	M	Science Research Specialist II	National Fisheries Research and Development Institute (NFRDI)	<a href="mailto:valborja1029@gmail.com">valborja1029@gmail.com</a>

**THAILAND**

No.	Name	Sex	Title	Organization	Email
1	Ms. Praulai Nootmorn	F	Senior Expert in Marine Fisheries (National Focal Point for Thailand)	Department of Fisheries	<a href="mailto:nootmorn@yahoo.com">nootmorn@yahoo.com</a>

**VIET NAM**

No.	Name	Sex	Title	Organization	Email
1	Mr. Nguyen Thanh Binh	M	National Focal Point and National Scientific and Technical Focal Point	Directorate of Fisheries (D-Fish)	<a href="mailto:ntbinh@mard.gov.vn">ntbinh@mard.gov.vn</a>

**SEAFDEC**

No.	Name	Sex	Title	Organization	Email
1	Mr. Isara Chanrachkij	M	Project Planning and Management Division Head	SEAFDEC/TD	<a href="mailto:isara@seafdec.org">isara@seafdec.org</a>
2	Ms. Angkhanarat Tomyai	F	Finance Division Head (FIDH)	SEAFDEC/TD	<a href="mailto:angkhanarat@seafdec.org">angkhanarat@seafdec.org</a>
3	Mrs. Kwanruen Vudthipanyo	F	Accounts and Finance Section Head (AFSH)	SEAFDEC/TD	<a href="mailto:kwanruen@seafdec.org">kwanruen@seafdec.org</a>

**PROJECT COORDINATING UNIT**

No.	Name	Sex	Title	Organization	Email
1	Dr. Somboon Siriraksopon	M	Project Director	SEAFDEC/TD	<a href="mailto:somboon@seafdec.org">somboon@seafdec.org</a>
2	Mr. Weerasak Yingyuad	M	Technical Coordinator	SEAFDEC/TD	<a href="mailto:weerasak@seafdec.org">weerasak@seafdec.org</a>
3	Mrs. Nathacha Sornvaree	F	Administrative Officer	SEAFDEC/TD	<a href="mailto:natha@seafdec.org">natha@seafdec.org</a>
4	Ms. Chanikan Vibulsuk	F	Project Officer	SEAFDEC/TD	<a href="mailto:chanikan.vibulsuk@gmail.com">chanikan.vibulsuk@gmail.com</a>

## ANNEX 2: ADOPTED AGENDA AND TIMETABLE

Time	Details Activities	
08:30-08:45	Agenda 1: Opening the Meeting	
	<ul style="list-style-type: none"> <li>• Greeting from Chairperson of the PSC5</li> </ul>	NFP/Viet Nam
	<ul style="list-style-type: none"> <li>• Greeting from SEAFDEC and UNEP</li> </ul>	(opened)
08:45-09:00	Agenda 2: Introduction of the Meeting	Project Director
09:00-09:30	Agenda 3: Financial Audit for 2021 and 2022	Project Director
09:30-10:45	Agenda 4: Proposing the Third Budget Revision and Costed Workplan	
	<ul style="list-style-type: none"> <li>• Cambodia</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• Indonesia</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• Malaysia</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• Philippines</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• Thailand</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• Viet Nam</li> </ul>	NFP/Representative
	<ul style="list-style-type: none"> <li>• SEAFDEC/PCU</li> </ul>	Project Director
10:45-11:00	Agenda 5: Progress on the Project Extension LoA/MoU between SEAFDEC and Participating Countries	SEAFDEC/PCU/ Representative
11:00-11:30	Agenda 6: GEF Small Grants Programme to support the Implementation of Fisheries Refugia Project (SCS-SAP)	Project Director
11:30-11:50	Agenda 7: Other matters	
11:50-11:55	Agenda 8: Closure of the Meeting	





### ANNEX 3: FINANCIAL AUDIT FOR 2021 AND 2022

#### I. INTRODUCTION

Referring to the PSC5 results on the financial audit for 2021 and 2022, the committee noted the instruction from SEAFDEC PCU to communicate and collect a proposal from the audit firm to conduct the financial statement audit for 2021 and 2022. Following the timelines for submitting the national audit report to SEAFDEC/PCU that should not be later than 31 March 2022 and 2023 for the financial statement of 2021 and 2022 calendar, respectively. The SEAFDEC consolidated financial statement report timeline is also not later than 15 June 2022 and 2023.

#### II. UPDATED AUDIT FIRM ACCEPTANCE

Country/Partners	Name of Audit Firm	Firm Proposal
Cambodia	Fides Services Cambodia	confirmed
Indonesia	KAP DR. Bambang Sudaryono dan Rekan	confirmed
Malaysia	Adib Azhar & Co.	confirmed
Philippines	Commission on Audit (COA)	confirmed
Thailand	P&A Audit Company Limited	confirmed
Viet Nam	-No audit required for 2021 (no expenditures)	Will be updated in 2022
SEAFDEC	P&A Audit Company Limited	confirmed

#### ACTIONS BY THE PROJECT STEERING COMMITTEE:

- ❖ The Committee is invited to advise and suggest on the progress for finalizing the audit firm.
- ❖ The Committee is also requested to adopt the proposed list of audit firm for 2021 and 2022



## ANNEX 4: BUDGET REVISION AND COSTED WORK PLAN OF CAMBODIA

Code	CAMBODIA	Budget Revision as at 30 JUN 2020 (A)	Cumulative Expenditures as of 30 SEP 2021 (B)	Balance as at 30 Sep 2021 (C = A-B)	Proposed Budget for Q4/2021 (D)	Balance as at 31 DEC 2021 (E = C-D)	Budget Revision for 2022 (F)	COSTED WORK PLAN FOR 2022				ADJUSTMENT (G = F - E)	JUSTIFICATION (GIVE REASONS)	
								Q-1	Q-2	Q-3	Q-4			
<b>10 PROJECT PERSONNEL COMPONENT</b>														
1100	Project Personnel w/m	53,350.00	38,500.00	14,850.00	2,700.00	12,150.00	10,800.00	2,700.00	2,700.00	2,700.00	2,700.00	(1,350.00)	REF01	
1200	Consultants w/m	29,900.00	19,152.00	10,748.00	2,600.00	8,148.00	8,148.00	3,400.00	1,248.00	3,400.00	1,248.00	-	REF02	
1600	Travel on official business (above staff)	77,823.51	75,513.76	2,309.75	-	2,309.75	2,309.75	809.75	750.00	809.75	750.00	-	REF03	
1999	Component Total	161,073.51	133,165.76	27,907.75	5,300.00	22,607.75	21,257.75	6,200.00	4,698.00	6,909.75	3,450.00	(1,350.00)	-	
<b>20 SUB-CONTRACT COMPONENT</b>														
2100	Sub-contracts (MoU's/IA's for UN cooperating agencies)	-	-	-	-	-	-	-	-	-	-	-	REF04	
2200	Sub-contracts (MoU's/IA's for non-profit supporting organizations)	32,120.00	16,570.00	15,550.00	2,500.00	13,050.00	10,500.00	500.00	3,000.00	3,000.00	2,000.00	(2,550.00)	REF05	
2300	Sub-contracts (commercial purposes)	-	-	-	-	-	-	-	-	-	-	-	REF06	
2999	Component Total	32,120.00	16,570.00	15,550.00	2,500.00	13,050.00	10,500.00	500.00	3,000.00	3,000.00	2,000.00	(2,550.00)	-	
<b>30 TRAINING COMPONENT</b>														
3200	Group training (study tours, field trips, workshops, seminars, etc)	31,871.10	28,081.10	3,790.00	1,365.00	2,425.00	4,475.00	1,200.00	1,150.00	1,075.00	1,050.00	2,050.00	REF07	
3300	Meetings/conferences (give title)	43,219.65	7,663.90	35,555.75	6,415.00	29,140.75	29,140.75	7,000.00	7,500.00	7,500.00	7,140.75	-	REF08	
3999	Component Total	75,090.75	35,745.00	39,345.75	7,780.00	31,565.75	33,615.75	8,200.00	8,650.00	8,575.00	8,190.75	2,050.00	-	
<b>40 EQUIPMENT &amp; PREMISES COMPONENT</b>														
4100	Expendable equipment (items under \$1,500 each, for example)	1,488.64	976.14	512.50	50.00	462.50	1,062.50	300.00	300.00	200.00	262.50	600.00	REF09	
4200	Non-expendable equipment (computers, office equip, etc)	4,221.00	4,121.00	100.00	-	100.00	400.00	400.00	-	-	-	300.00	REF10	
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-	-	-	-	-	REF11	
4999	Component Total	5,709.64	5,097.14	612.50	50.00	562.50	1,462.50	700.00	300.00	200.00	262.50	900.00	-	
<b>50 MISCELLANEOUS COMPONENT</b>														
5100	Operation and maintenance of equip.	1,011.50	937.00	74.50	70.00	4.50	804.50	200.00	200.00	204.50	200.00	800.00	REF12	
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,000.00	1,225.00	2,775.00	-	2,775.00	2,775.00	400.00	800.00	775.00	800.00	-	REF13	
5300	Sundry (communications, postage, freight, clearance charges, etc)	1,086.14	780.89	305.25	60.00	245.25	395.25	100.00	100.00	100.00	95.25	150.00	REF14	
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-	-	-	-	REF15	
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-	-	-	-	-	REF16	
5999	Component Total	4,943.14	2,942.89	3,154.75	130.00	3,024.75	3,974.75	700.00	1,100.00	1,079.50	1,095.25	950.00	-	
<b>99 9999 GRAND TOTAL</b>		<b>280,091.54</b>	<b>193,520.79</b>	<b>86,570.75</b>	<b>15,760.00</b>	<b>70,810.75</b>	<b>70,810.75</b>	<b>16,300.00</b>	<b>19,959.75</b>	<b>19,552.50</b>	<b>14,988.50</b>	<b>(0.00)</b>	<b>-</b>	

JUSTIFICATION	
REF 01	The source of budget is taken from Component 2 in Activities 2.1.1, 2.1.2, 2.3.1, 2.2.1, 2.4.3 & 2.5.3 and Component 3 in Activities 3.1.2 and 3.1.1 to cover the expenditures for Project Personnel (Field coordinator and accountant) to support the project implementation (code=1100). However, the budget remain from BL 1100 (USD 1350) is used to increase the expenditure in BL3200 (USD230), BL 4200 (USD 3000), and BL 5100 (USD 800).
REF 02	National consultant is required to develop law and legislations, national guidelines for fisheries refugia management, and national action plan for fisheries refugia management, and in which the mostly budget used is from component 2. However, due to in kind contribution from the National Focal Point to support developing law and policies related to Fisheries refugia concept, we could save more budget and allocate for the project personnel and other activities.
REF 03	The budget is used for some special event related to the enhancement of fisheries resources and marine habitat, organized by FIA or partnership organization and the budget source is taken from Component 3.
REF 04	No budget is allocated to use this BL 2100.
REF 05	It is needed to strengthen collaboration between DFC/FIA and Fisheries Administration Cantonment in project target provinces to raise capacity building of FIAC and CFCs in the operation and management of fisheries refugia. The budget source is taken from Activity 1.4.2, 1.4.3, and the budget remain from BL 2200 (USD 2250) is used to cover the expenditure of BL3200 (USD1800), BL4100 (USD 600), and BL5300 (USD150).
REF 06	No budget is allocated to use this BL 2300.
REF 07	The budget is used to enhance capacity building, develop, and implement the operation of fisheries refugia management, and share experiences from the establishment and operation that is required to increase USD 2050 for covering the expenditure of this BL, being taken from BL 1100 (USD250) and BL2200 (USD1800).
REF 08	The budget is transferred from Activity 1.2.2, 1.3.2, 1.3.4, 3.3.1 & 4.3.2 to prepare stakeholder consultation meeting, site based management board meeting, and the meeting of Technical Working Group at provincial level, and to share experiences of fisheries refugia management to regional meeting.
REF 09	Small budget is served for some equipment based on the need, however an additional budget from BL2200 (USD600) is taken to cover the expenditure of this BL.
REF 10	Small budget is served for some office equipment based on the need, however it is required to increase USD 300 from BL1100 to cover the expenditure of this BL.
REF 11	No budget is allocated to use this BL 2300.
REF 12	Budget is served for maintaining some project equipment, which is transferred from Component 4 of Activity 4.1.5, however it is required to increase USD800 from BL1100 to cover the expenditure to this BL.
REF 13	The budget is transferred from Activity 1.2.2, 2.3.3, 1.3.4, and 2.5.3 for printing and translation of technical reports, refugia action plans and maps.
REF 14	It is used for the bank charge and cell phone costs, and the budget source is transferred from Component 4 of Activity 4.1.5.
REF 15	No budget allocated is used for this BL5400.
REF 16	No budget allocated is used for this BL5500.

Name: Ouk Vibol  
National Focal Point

Signature: 


Date: 15-Nov-21

## ANNEX 5: BUDGET REVISION AND COSTED WORK PLAN OF INDONESIA

Code	INDONESIA	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Proposed Budget for Q4/2021	Balance as at 31 DEC 2021	Budget Revision for 2022	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION (GIVE REASONS)	
								(A)	(B)	C = A-B	(D)			E = C-D
10	PROJECT PERSONNEL COMPONENT													
1100	Project Personnel w/m	33,959.17	24,273.08	9,686.09	3,000.00	6,686.09	6,000.00	3,000.00	3,000.00					REF 01
1200	Consultants w/m	85,124.67	50,024.67	35,100.00	11,700.00	23,400.00	23,400.00	11,700.00	11,700.00					REF 02
1600	Travel on official business (above staff)	9,371.36	9,557.45	(186.09)		(186.09)	500.00	250.00						REF 03
1999	Component Total	128,455.20	83,855.20	44,600.00	14,700.00	29,900.00	29,900.00	14,950.00	14,950.00				0.00	
20	SUB-CONTRACT COMPONENT													
2100	Sub-contracts (Modu's/IA's for UN cooperating agencies)	-	-	-										REF 04
2200	Sub-contracts (Modu's/IA's for non-profit supporting organizations)	52,000.00	52,000.00	-										REF 05
2300	Sub-contracts (commercial purposes)	-	-	-										REF 06
2999	Component Total	52,000.00	52,000.00	-										
30	TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	39,737.58	5,942.34	33,795.24	10,000.00	23,795.24	5,000.00	5,000.00						REF 07
3300	Meetings/conferences (give title)	2,404.54	2,618.75	(214.21)		(214.21)	18,581.03	5,000.00	3,581.03					REF 08
3999	Component Total	42,142.12	8,561.09	33,581.03	10,000.00	23,581.03	23,581.03	10,000.00	3,581.03					
40	EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-										REF 09
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-										REF 10
4300	Premises (office rent, maintenance of premises, etc)	-	-	-										REF 11
4999	Component Total	-	-	-										
50	MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equip.	-	-	-										REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	2,192.80	1,150.00	1,042.80		1,042.80	612.40		612.40					REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	430.39	(430.39)		(430.39)								REF 14
5400	Hospitality and entertainment	-	-	-										REF 15
5500	Evaluation (consultants fees ETC)	-	-	-										REF 16
5999	Component Total	2,192.80	1,580.39	612.41		612.41	612.40		612.40					
99	99999 GRAND TOTAL	224,790.12	145,996.68	78,793.44	24,700.00	54,093.44	54,093.43	24,950.00	4,193.43					

JUSTIFICATION	
REF 01	Reduce to cover the anticipated expenses under BL1600
REF 03	Increase the travelling cost to cover the anticipated expenses incurred before th3 3rd quarter and to cover additional expenses in 2022
REF 07	Reduce to cover the anticipated expenses under BL3300
REF 08	Increase the cost to cover the anticipated expenses incurred before the 3rd quarter and to cover expenses in 2022
REF 13	Reduce to cover the anticipated expenses under BL5300
REF 14	Increase the cost to cover the anticipated expenses incurred before the 3rd quarter.




  

Name:	ISWARI RATNA ASTUTI National Focal Point
Signature:	
Date:	November 25, 2021

## ANNEX 6: BUDGET REVISION AND COSTED WORK PLAN OF MALAYSIA

Code	MALAYSIA	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Proposed Budget for Q4/2021	Balance as at 31 DEC 2021	Budget Revision for 2022	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION (GIVE REASONS)
								Q-1	Q-2	Q-3	Q-4		
	<b>PROJECT PERSONNEL COMPONENT</b>	(A)	(B)	C = A + B	(D)	E = C + D	F					G = F - E	
1100	Project Personnel w/m	24,855.97	22,995.19	1,860.78	2,000.00	(139.22)						139.22	REF 01
1200	Consultants w/m	49,705.14	4,705.14	45,000.00	19,000.00	26,000.00	36,000.00	10,000.00	10,000.00			10,000.00	REF 02
1600	Travel on official business (above staff)	49,244.55	37,803.59	11,440.96	500.00	10,940.96	7,200.00	2,400.00	2,400.00			(3,740.96)	REF 03
1999	Component Total	123,805.66	65,503.92	58,301.74	21,500.00	36,801.74	43,200.00	18,400.00	12,400.00			6,398.26	
	<b>SUB-CONTRACT COMPONENT</b>												
2100	Sub-contracts (ModJ s/IA's for UN cooperating agencies)	-	-	-	-	-	-	-	-	-	-	-	REF 04
2200	Sub-contracts (ModJ s/IA's for non-profit supporting organizations)	-	-	-	-	-	-	-	-	-	-	-	REF 05
2300	Sub-contracts (commercial purposes)	-	-	-	-	-	-	-	-	-	-	-	REF 06
2999	Component Total	-	-	-	-	-	-	-	-	-	-	-	
	<b>TRAINING COMPONENT</b>												
3200	Group training (study tours, field trips, workshops, seminars, etc)	53,537.16	11,098.04	42,439.12	5,000.00	37,439.12	30,000.00	10,000.00	10,000.00			(7,439.12)	REF 07
3300	Meetings/conferences (give title)	23,730.00	8,306.41	15,423.59	3,750.00	11,673.59	12,076.77	4,000.00	4,076.77			403.18	REF 08
3999	Component Total	77,267.16	19,404.45	57,862.71	8,750.00	49,112.71	42,076.77	14,000.00	14,076.77			(7,035.94)	
	<b>EQUIPMENT &amp; PREMISES COMPONENT</b>												
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	1,400.00	1,400.00				1,400.00	REF 09
4200	Non-expendable equipment (computers, office equip, etc)	5,000.00	5,068.60	(68.60)		(68.60)						68.60	REF 10
4300	Premises (office rent, maintenance of premises, etc)	18,585.28	13,766.00	4,819.28		4,819.28	4,819.28	4,819.28				-	REF 11
4999	Component Total	23,585.28	18,834.60	4,750.68	-	4,750.68	6,219.28	6,219.28				1,468.60	
	<b>MISCELLANEOUS COMPONENT</b>												
5100	Operation and maintenance of equip.	-	-	-	-	-	-	-	-	-	-	-	REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	5,000.00	169.08	4,830.92	1,000.00	3,830.92	3,000.00	1,000.00	1,000.00			(830.92)	REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	6.30	6.30	-	-	-	-	-	-	-	-	-	REF 14
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-	-	-	-	REF 15
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-	-	-	-	-	REF 16
5999	Component Total	5,006.30	175.38	4,830.92	1,000.00	3,830.92	3,000.00	1,000.00	1,000.00			(830.92)	
9999	GRAND TOTAL	229,664.40	103,918.35	125,746.05	31,250.00	94,496.05	94,496.05	39,619.28	27,476.77			-	



JUSTIFICATION									
REF 01									
REF 02	consultant fee for the development of the Tiger Shrimp and Lobster Refugia Management Plan with an estimated USD 48,000 for each site Tanjung Leman . these costs cover the fees of consultants and other members for 9 months								
REF 03	This budget is to finance the consultant for the site visit covering the payment of flight tickets, meals, accommodation, ground arrangements, and other appropriate								
REF 04									
REF 05	With								
REF 06									
REF 07	this budget is planned for implementation :- engagement session training session site visit								
REF 08	this budget is planned for implementation:- site meetings meetings with target groups and State governments and others as required								
REF 09									
REF 10									
REF 11									
REF 12									
REF 13	preparation of periodic reports and printing of announcements and translations as required								
REF 14									
REF 15									
REF 16									
<table border="1" style="width: 100%;"> <tr> <td style="width: 60%;"></td> <td style="width: 40%;">National Focal Point</td> </tr> <tr> <td>Name:</td> <td></td> </tr> <tr> <td>Signature:</td> <td> H.J. MOHD SUFIAN BIN H.J. SULAIMAN Timbalan Ketua Pengarah-Pembinaan (Pengurusan) Jabatan Perikanan Malaysia Putrajaya</td> </tr> <tr> <td>Date:</td> <td></td> </tr> </table>			National Focal Point	Name:		Signature:	 H.J. MOHD SUFIAN BIN H.J. SULAIMAN Timbalan Ketua Pengarah-Pembinaan (Pengurusan) Jabatan Perikanan Malaysia Putrajaya	Date:	
	National Focal Point								
Name:									
Signature:	 H.J. MOHD SUFIAN BIN H.J. SULAIMAN Timbalan Ketua Pengarah-Pembinaan (Pengurusan) Jabatan Perikanan Malaysia Putrajaya								
Date:									



## ANNEX 7: BUDGET REVISION AND COSTED WORK PLAN OF PHILIPPINES

Code	PHILIPPINES	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Expected Budget for Q4/2021	Balance as at 31 DEC 2021	Budget Revision for 2022	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION (GIVE REASONS)	
								(A)	(B)	C = A-B	(D)			E = C-D
10	PROJECT PERSONNEL COMPONENT													
1100	Project Personnel w/m	32,400.00	-	32,400.00	14,800.00	17,600.00	17,600.00	4,800.00	12,800.00	-	-			REF 01
1200	Consultants w/m	49,047.41	19,000.00	30,047.41	10,000.00	20,047.41	20,047.41	14,547.41	5,500.00					REF 02
1600	Travel on official business (above staff)	19,452.59	12,452.59	7,000.00	7,000.00	7,000.00	7,000.00	7,000.00						REF 03
1999	Component Total	100,900.00	31,452.59	69,447.41	24,800.00	44,647.41	44,647.41	26,347.41	18,300.00					
20	SUB-CONTRACT COMPONENT													
2100	Sub-contracts (Mod/SILA's for UN cooperating agencies)	-	-	-	-	-	-	-	-					REF 04
2200	Sub-contracts (Mod/SILA's for non-profit supporting organizations)	35,708.51	-	35,708.51	26,354.26	9,354.25	17,354.25	5,400.00	2,600.00	9,354.25	8,000.00			REF 05
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00	10,000.00	10,000.00					(10,000.00)			REF 06
2999	Component Total	45,708.51	-	45,708.51	26,354.26	19,354.25	17,354.25	5,400.00	2,600.00	9,354.25	(2,000.00)			
30	TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	83,983.69	84,537.06	(553.37)										REF 07
3300	Meetings/conferences (give title)	38,842.90	8,566.22	30,276.68	15,983.39	14,293.29	16,899.96	8,500.00	8,399.96					REF 08
3999	Component Total	122,826.59	93,103.28	29,723.31	15,983.39	13,739.92	16,899.96	8,500.00	8,399.96					
40	EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-	-	-	-	-	-					REF 09
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-	-	-	-	-	-					REF 10
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-	-					REF 11
4999	Component Total	-	-	-	-	-	-	-	-					
50	MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equip.	19.60	19.60	-	-	-	-	-	-					REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	196.86	4,303.14		4,303.14	3,749.77	2,000.00	1,749.77					REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	3,172.45	1,675.69	1,496.76	390.09	1,106.67	500.00	200.00	200.00	100.00				REF 14
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-					REF 15
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-	-					REF 16
5999	Component Total	7,692.05	1,892.15	5,799.90	390.09	5,409.81	4,249.77	2,200.00	1,949.77	100.00				
99	99999 GRAND TOTAL	277,127.15	126,448.02	150,679.13	67,527.74	83,151.39	83,151.39	42,447.41	31,249.73	9,454.25				(0.00)

JUSTIFICATION	
REF 01	
REF 02	
REF 03	
REF 04	
REF 05	Budget increased 8,000.00 USD to cover the anticipated expenses in 2022.
REF 06	Budget decreased 10,000.00 USD to cover BL 2200 (8,000.00 USD) and BL 3300 (2,000.00 USD) to cover anticipated expenses in 2022.
REF 07	Budget increased 553.37 USD from BL 5200 to cover the anticipated expenses before Q3/2021
REF 08	Budget increased 2,606.67 USD from BL 5300 to cover the anticipated expenses in 2022.
REF 09	
REF 10	
REF 11	
REF 12	
REF 13	Budget decreased 553.37 USD, to cover BL 3200
REF 14	Budget decreased 606.67 USD, to cover BL 3301
REF 15	
REF 16	

Name:	JOEREN YLEANA National Focal Point
Signature:	
Date:	NOVEMBER 24, 2021

## ANNEX 8: BUDGET REVISION AND COSTED WORK PLAN OF THAILAND

Code	THAILAND	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Proposed Budget for Q4/2021	Balance as at 31 DEC 2021	Budget Revision for 2022	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION (GIVE REASONS)	
								(A)	(B)	C = A-B	(D)			E = C-D
10	PROJECT PERSONNEL COMPONENT													
1100	Project Personnel w/m	78,392.93	64,108.53	14,284.40	6,000.00	8,284.40	13,040.00	3,260.00	3,260.00	3,260.00	3,260.00	3,260.00	4,755.60	REF 01
1200	Consultants w/m	69,650.46	36,287.92	33,362.54	13,000.00	20,362.54	2,440.00	610.00	610.00	610.00	610.00	610.00	(17,922.54)	REF 02
1600	Travel on official business (above staff)	9,938.06	10,059.75	(121.69)	6,000.00	(6,121.69)	400.00	200.00	200.00	200.00	200.00	200.00	6,521.69	REF 03
1999	Component Total	157,981.45	110,456.20	47,525.25	25,000.00	22,525.25	15,880.00	3,870.00	3,870.00	3,870.00	3,870.00	4,070.00	(6,645.25)	
20	SUB-CONTRACT COMPONENT													
2100	Sub-contracts (Mod' SIA's for UN cooperating agencies)	-	-	-	-	-	-	-	-	-	-	-	-	REF 04
2200	Sub-contracts (Mod' SIA's for non-profit supporting organizations)	20,000.00	16,106.00	3,894.00	-	3,894.00	-	-	-	-	-	-	(3,894.00)	REF 05
2300	Sub-contracts (commercial purposes)	-	-	-	-	-	-	-	-	-	-	-	-	REF 06
2999	Component Total	20,000.00	16,106.00	3,894.00	-	3,894.00	-	-	-	-	-	-	(3,894.00)	
30	TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	12,718.00	19,827.07	(7,109.07)	10,500.00	(7,109.07)	16,372.63	6,200.00	5,500.00	5,500.00	5,500.00	4,672.63	23,481.70	REF 07
3300	Meetings/conferences (give title)	37,125.86	10,134.91	26,990.95	10,500.00	16,490.95	1,700.00	200.00	200.00	200.00	200.00	500.00	1,000.00	REF 08
3999	Component Total	49,843.86	29,961.98	19,881.88	10,500.00	9,381.88	18,072.63	6,200.00	5,700.00	5,700.00	5,700.00	5,672.63	8,690.75	
40	EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	798.00	548.38	249.62	1,000.00	(750.38)	1,400.00	1,000.00	200.00	200.00	100.00	100.00	2,150.38	REF 09
4200	Non-expendable equipment (computers, office equip, etc)	298.00	-	298.00	-	298.00	-	-	-	-	-	-	(298.00)	REF 10
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-	-	-	-	-	-	REF 11
4999	Component Total	1,096.00	548.38	547.62	1,000.00	(452.38)	1,400.00	1,000.00	200.00	200.00	100.00	100.00	1,852.38	
50	MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equip.	-	-	-	-	-	800.00	200.00	200.00	200.00	200.00	200.00	800.00	REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	1,617.00	413.12	1,203.88	400.00	803.88	-	-	-	-	-	-	(803.88)	REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	-	-	-	-	-	-	-	-	-	-	-	REF 14
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-	-	-	-	-	REF 15
5500	Evaluation (consultants fees ETC)	-	-	-	-	-	-	-	-	-	-	-	-	REF 16
5999	Component Total	1,617.00	413.12	1,203.88	400.00	803.88	800.00	200.00	200.00	200.00	200.00	200.00	(3.88)	
99	99999	230,538.31	157,485.68	73,052.63	36,900.00	36,152.63	36,152.63	11,270.00	10,170.00	4,670.00	10,042.63	0.00		

JUSTIFICATION	
REF 01	This project personnel funding is increased for the purpose of hiring officer and assistant who assist the technical and administrative tasks.
REF 02	The activities concerning fisheries laws and web portal creating have been almost completed, so the excess amount of consultant fee for such tasks is deducted.
REF 03	This budget is increased for more travelling expenses of the staff for the purpose of implementing activities at sites.
REF 04	None
REF 05	There are no more activities that need sub-contracting, so this reserved amount for sub-contract is cut.
REF 06	None
REF 07	This budget is increased for the purpose of conducting more workshop activities of responsible fisheries capacity building for stakeholders and relevant coastal communities at fisheries refugia sites.
REF 08	According to COVID 19 pandemic situation in the past 2 years, most of the committee meetings in Bangkok and site-based committee meetings at sites were organized virtually, so the amount for meeting expenses still remain, resulting in the deduction of some amount of the budget in this line.
REF 09	This amount is reserved for additional computer assessor for administrative task and providing the buoys for fisheries refugia boundary marking at Surat Thani fisheries refugia sites.
REF 10	There are no more non-expendable equipments needed, so the amount in this budget line is cut.
REF 11	None
REF 12	This amount is additionally reserved for maintenance of the computer and other necessary equipments.
REF 13	Expenses for publications, maps, printing, etc. are always included in the expenses for group training (according to Thai budget spending procedure), so the amount in this budget line is cut.
REF 14	None
REF 15	None
REF 16	None

Name: Mrs. Praulal Nootmorn  
National Focal Point

Signature: P. Nootmorn.


Date: 15 November 2021

## ANNEX 9: BUDGET REVISION AND COSTED WORK PLAN OF VIET NAM

Code	VIET NAM	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Proposed Budget for Q4/2021	Balance as at 31 DEC 2021	Budget Revision for 2022	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION (GIVE REASONS)	
								Q-1	Q-2	Q-3	Q-4			
10	PROJECT PERSONNEL COMPONENT	(A)	(B)	C = A-B	(D)	E = C-D								
1100	Project Personnel w/m	17,316.00	-	17,316.00		17,316.00	4,442.00	910.00	828.00	2,704.00			G = F - E (12,874.00)	REF 01
1200	Consultants w/m	42,500.00	-	42,500.00		42,500.00	41,327.00	8,000.00	7,839.00	13,086.00			(1,173.00)	REF 02
1600	Travel on official business (above staff)	13,870.00	-	13,870.00		13,870.00	10,999.00	2,000.00	3,999.00	4,000.00			(2,871.00)	REF 03
1999	Component Total	73,686.00	-	73,686.00		73,686.00	56,768.00	10,910.00	12,666.00	19,790.00			(16,918.00)	-
20	SUB-CONTRACT COMPONENT													
2100	Sub-contracts (MoU's/SLA's for UN cooperating agencies)	-	-	-		-	-	-	-	-			-	REF 04
2200	Sub-contracts (MoU's/SLA's for non-profit supporting organizations)	109,952.00	-	109,952.00		109,952.00	10,776.00	3,990.00	2,925.00				(99,176.00)	REF 05
2300	Sub-contracts (commercial purposes)	10,000.00	-	10,000.00		10,000.00	5,000.00			5,000.00			(5,000.00)	REF 06
2999	Component Total	119,952.00	-	119,952.00		119,952.00	15,776.00	3,990.00	2,925.00	5,000.00			(104,176.00)	-
30	TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	35,400.00	-	35,400.00		35,400.00	29,668.00	4,900.00	11,268.00	9,000.00			(5,732.00)	REF 07
3300	Meetings/conferences (give title)	31,500.00	-	31,500.00		31,500.00	15,648.00	4,000.00	4,074.00	3,500.00			(15,852.00)	REF 08
3999	Component Total	66,900.00	-	66,900.00		66,900.00	45,316.00	8,900.00	15,342.00	12,500.00			(21,584.00)	-
40	EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	-	-	-		-	-	-	-	-			-	REF 09
4200	Non-expendable equipment (computers, office equip, etc)	-	-	-		-	-	-	-	-			-	REF 10
4300	Premises (office rent, maintenance of premises, etc)	-	-	-		-	-	-	-	-			-	REF 11
4999	Component Total	-	-	-		-	-	-	-	-			-	-
50	MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equip.	-	-	-		-	-	-	-	-			-	REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	4,500.00	-	4,500.00		4,500.00	4,500.00	2,000.00	500.00	2,000.00			-	REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	-	69.33	(69.33)		(69.33)							69.33	REF 14
5400	Hospitality and entertainment	-	-	-		-	-	-	-	-			-	REF 15
5500	Evaluation (consultants fees ETC)	-	-	-		-	-	-	-	-			-	REF 16
5999	Component Total	4,500.00	69.33	4,430.67		4,430.67	4,500.00	2,000.00	500.00	2,000.00			69.33	-
99	9999 GRAND TOTAL	265,038.00	69.33	264,968.67		264,968.67	122,360.00	25,800.00	31,433.00	39,290.00			(142,608.67)	-

JUSTIFICATION	
REF 01	Research assistants for information and data consultation workshops, facilitation with local fisheries administrations and governments, and management planning
REF 02	Hiring consultants for fisheries and habitat profile reports for sites, linking science and local knowledge in boundary delineation, threat identification and management planning
REF 03	Local travel for information and data consultations, field surveys, consultations, leader meetings, workshops
REF 04	None
REF 05	Sub-contracts for surveys, impact assessments, capacity building programme, awareness and engagement programme
REF 06	None
REF 07	Consultation workshops and experts with industry and relevant authorities on policy reform, policy and law and for refugia designation; seminars to elicit stakeholder input to refugia guidelines, NPOA for fisheries refugia,
REF 08	Industry leaders forum on fish habitats and biodiversity, reforms for refugia management; National-level symposium on fisheries refugia guidelines; meetings of the National Fisheries Refugia Committ and the National Scientific and Technical Committee
REF 09	None
REF 10	None
REF 11	None
REF 12	None
REF 13	Map design and printing; Management plans printed and disseminated
REF 14	other bank charges for remittance
REF 15	None
REF 16	None

Name: Nguyen Thanh Binh  
 National Focal Point

Signature: 

Date: Friday, November 26, 2021




## ANNEX 10: BUDGET REVISION AND COSTED WORK PLAN OF REGIONAL PROG.

Code	SEAFDEC/PCU	Budget Revision as at 30 JUN 2020	Cumulative Expenditures as of 30 SEP 2021	Balance as at 30 Sep 2021	Proposed Budget for Q4/2021	Expected Balance as at 31 DEC 2021	Budget Revision As of 31 Dec 2021	COSTED WORK PLAN FOR 2022				ADJUSTMENT	JUSTIFICATION	
								(A)	(B)	C = A - B	(D)			E = C - D
10	PROJECT PERSONNEL COMPONENT													
1100	Project Personnel w/m	-	-	-	-	-	F						G = F - E	
1200	Consultants w/m	982,350.00	700,953.43	281,396.57	83,000.00	198,396.57	160,300.00	30,000.00	30,000.00	30,000.00	70,300.00		(38,096.57)	REF 02
1600	Travel on official business (above staff)	90,093.00	90,690.17	(597.17)	1,000.00	(1,597.17)	3,500.00	1,000.00	1,000.00	1,500.00			5,097.17	REF 03
1999	Component Total	1,072,443.00	791,643.60	280,799.40	84,000.00	196,799.40	163,800.00	31,000.00	31,000.00	31,500.00	70,300.00		(32,999.40)	
20	SUB-CONTRACT COMPONENT													
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-	-	-	-	-	-	-	-	-	REF 04
2200	Sub-contracts (MoU's/LA's for non-profit supporting organizations)	32,291.87	30,022.82	2,269.05	-	2,269.05	11,000.00	5,000.00	1,000.00	1,000.00			8,730.95	REF 05
2300	Sub-contracts (commercial purposes: website + audit report)	65,630.14	37,667.73	27,962.41	13,260.00	14,702.41	15,460.00	-	-	-	15,460.00		757.59	REF 06
2999	Component Total	92,286.14	67,690.55	30,231.46	13,260.00	16,971.46	26,460.00	5,000.00	5,000.00	1,000.00	15,460.00		9,488.54	
30	TRAINING COMPONENT													
3200	Group training (study tours, field trips, workshops, seminars, etc)	10,537.99	5,635.87	4,902.12	-	4,902.12	32,200.00	-	-	-	-		27,297.88	REF 07
3300	Meetings/conferences (give title)	161,520.00	113,773.98	47,746.02	1,200.00	46,546.02	59,544.71	1,000.00	15,544.71	13,000.00	30,000.00		12,998.69	REF 08
3999	Component Total	177,693.86	119,409.85	52,648.14	1,200.00	51,448.14	91,744.71	1,000.00	47,744.71	13,000.00	30,000.00		40,296.57	
40	EQUIPMENT & PREMISES COMPONENT													
4100	Expendable equipment (items under \$1,500 each, for example)	2,349.52	2,009.28	340.24	200.00	140.24	140.24	100.00	40.24	-	-		0.00	REF 09
4200	Non-expendable equipment (computers, office equip, etc)	34,320.03	34,099.26	220.77	-	220.77	-	-	-	-	-		(220.77)	REF 10
4300	Premises (office rent, maintenance of premises, etc)	-	-	-	-	-	-	-	-	-	-		-	REF 11
4999	Component Total	36,669.55	36,108.54	561.01	200.00	361.01	140.24	100.00	40.24	-	(220.77)		(220.77)	
50	MISCELLANEOUS COMPONENT													
5100	Operation and maintenance of equip.	500.00	-	500.00	249.57	250.43	471.20	200.00	121.20	150.00	-		220.77	REF 12
5200	Reporting costs (publications, maps, newsletters, printing, etc)	8,066.80	2,551.15	5,515.65	2,700.00	2,815.65	6,636.83	1,000.00	2,000.00	2,000.00	1,636.83		3,821.18	REF 13
5300	Sundry (communications, postage, freight, clearance charges, etc)	5,091.13	4,684.24	406.89	500.00	(93.11)	1,300.00	400.00	300.00	300.00	300.00		1,393.11	REF 14
5400	Hospitality and entertainment	-	-	-	-	-	-	-	-	-	-		-	REF 15
5500	Evaluation (consultants fees ETC)	100,000.00	-	100,000.00	3,600.00	96,400.00	74,400.00	14,400.00	-	-	60,000.00		(22,000.00)	REF 16
5999	Component Total	113,657.93	7,235.39	106,422.54	7,049.57	99,372.97	82,808.03	16,000.00	2,421.20	2,450.00	61,936.83		(16,564.94)	
99-9999	GRAND TOTAL	1,492,750.48	1,022,087.93	470,662.55	105,709.57	364,952.98	364,952.98	53,100.00	86,165.91	47,990.24	177,696.83		(0.00)	




JUSTIFICATION	
REF 01	
REF 02	Reduce to cover the anticipated expenses under BL3200 (for Regional Training on Larval Fish Identification) and BL3300 (for 2 RSTC (one virtual+one face to face), and 2 PSC Meetings
REF 03	Increase the traveling cost to cover the anticipated expenses incurred in the 3rd and 4th quarter, and to cover additional expenses in 2022
REF 04	
REF 05	Increase the cost to cover the anticipated expenses in 2022 for sub-contract with local institutes and others for Best practices on fishing gears
REF 06	Increase the cost to cover the anticipated expenses in 2022 for Sub-contract of financial Audit for 6 countries and 1 SEAFDEC/PCU
REF 07	Increase the cost to cover the anticipated expenses in 2022 for Regional Training Workshop on Larval Fish identification and early life history science.
REF 08	Increase the cost to cover the anticipated expenses in 2022 for Regional Meetings conducted by SEAFDEC/PCU
REF 09	No adjustment
REF 10	Reduce to cover the anticipated expenses under BL5100 (for Operation and maintenance of equipment)
REF 11	
REF 12	Increase the cost to cover the anticipated expenses in 2022 for Operation and maintenance of equipments including office supplies
REF 13	Increase the cost to cover the anticipated expenses in 2022 for Publications: RSTC5, RSTC6, PSC7, PSC8, Larval Fish Identification of Scombridae Family, Reprinted two Identification Guides, Indicator for Fisheries Refugia, Others.
REF 14	Increase the cost to cover the anticipated expenses in 2022 for Regional Meetings conducted by SEAFDEC/PCU
REF 15	
REF 16	Reduce to cover the anticipated expenses under BL1600 (personal Travelling), BL2200 (for Good practices on gear improvement in Cambodia and other Best Practices Report), BL2300 (for Audit Report), BL5200 (for Publications), and BL5300 (for Sundry)

<p>Name: <u>Somhann Sirirachonphon</u></p>	<p>Signature: </p>
<p>Date: <u>25 Nov. 2021</u></p>	



ANNEX 11: THE 3<sup>RD</sup> BUDGET REVISION AS OF 30 SEPTEMBER 2021

3rd Revision of the Project Budget (as of 30 SEP 2021)*																														
UNEP PROJECT NO						829																								
FINANCIAL YEAR						2020																								
FUND						3,000,000																								
ORG UNIT																														
PROGRAMME																														
PROJECT ID						5401																								
PROJECT SYMBOL																														
Code	Description	Approved Budget after 2nd Budget Revision as of 30 Jun 2020	Cumulative Unspent Balance as of 30 September 21	3rd Revision of Budget (as of 30 SEP 2021)	Adjustment	Justification																								
<b>10</b>	<b>PROJECT PERSONNEL COMPONENT</b>	<b>A</b>	<b>B</b>	<b>C</b>	<b>C-A</b>																									
1100	Project Personnel w/m	238,997	89,152	243,102	4,104	Ref:1																								
1200	Consultants w/m	1,315,005	494,384	1,252,757	(62,248)	Ref:2																								
1600	Travel on official business (above staff)	273,779	37,514	278,546	4,767	Ref:3																								
<b>1999</b>	<b>Component Total</b>	<b>1,827,782</b>	<b>621,049</b>	<b>1,774,404</b>	<b>(53,377)</b>																									
<b>20</b>	<b>SUB-CONTRACT COMPONENT</b>																													
2100	Sub-contracts (MoU's/LA's for UN cooperating agencies)	-	-	-	-																									
2200	Sub-contracts (MoU's/LA's for non-profit supporting organization)	274,063	156,939	294,785	20,722	Ref:4																								
2300	Sub-contracts (commercial purposes)	81,129	38,961	80,888	(241)	Ref:5																								
<b>2999</b>	<b>Component Total</b>	<b>355,193</b>	<b>195,899</b>	<b>375,674</b>	<b>20,481</b>																									
<b>30</b>	<b>TRAINING COMPONENT</b>																													
3200	Group training (study tours, field trips, workshops, seminars, etc)	293,418	154,027	279,204	(14,214)	Ref:6																								
3300	Meetings/conferences (give title)	316,330	149,370	374,251	57,921	Ref:7																								
<b>3999</b>	<b>Component Total</b>	<b>609,748</b>	<b>303,397</b>	<b>653,455</b>	<b>43,707</b>																									
<b>40</b>	<b>EQUIPMENT &amp; PREMISES COMPONENT</b>																													
4100	Expendable equipment (items under \$1,500 each, for example)	5,336	1,950	8,639	3,302	Ref:8																								
4200	Non-expendable equipment (computers, office equip, etc)	43,761	277	43,884	123	Ref:9																								
4300	Premises	18,585	4,819	18,585	-	Ref:10																								
<b>4999</b>	<b>Component Total</b>	<b>67,682</b>	<b>7,047</b>	<b>71,108</b>	<b>3,425</b>																									
<b>50</b>	<b>MISCELLANEOUS COMPONENT</b>																													
5100	Operation and maintenance of equipment	877	(60)	3,332	2,456	Ref:11																								
5200	Reporting costs (publications, maps, newsletters, printing, etc)	30,489	21,782	34,081	3,592	Ref:12																								
5300	Sundry (communications, postage, freight, clearance charges, etc)	8,229	1,360	9,946	1,716	Ref:13																								
5400	Hospitality and entertainment	-	-	-	-																									
5500	Evaluation (consultants fees ETC)	100,000	100,000	78,000	(22,000)	Ref:14																								
<b>5999</b>	<b>Component Total</b>	<b>139,595</b>	<b>123,081</b>	<b>125,359</b>	<b>(14,236)</b>																									
<b>99</b>	<b>9999 GRAND TOTAL</b>	<b>3,000,000</b>	<b>1,250,474</b>	<b>3,000,000</b>	<b>(0)</b>																									
<b>Ref#</b>	<b>BL</b>	<b>Justification</b>																												
1	1100	Increase 1.7% to cover the activities requested from Thailand																												
2	1200	Reduce 4.73% mostly are from the PCU (38K) and Thailand (18K) to cover the anticipated expenses under the BL 1100 (4.1K), BL 3300 (55.9K), and BL5100 (2.45K)																												
3	1600	Increase 1.74% to cover the activities requested from SEAFDEC/PCU, Thailand, and Indonesia																												
4	2200	Increase 7.5% to cover the activities requested from Philippines (~8k), SEAFDEC/PCU (~8.7k) to support the best practices and fishing gear improvements and																												
5	2300	Increase to cover the expenses from the PCU for financial audit for 2021 and 2022																												
6	3200	Reduce 4.84% mostly are from Indonesia, Malaysia and Viet Nam to cover the anticipated expenses under the BL1600 (4.77k) and BL2300 (9.76k). However, under this budget line, PCU and Thailand increase budget about 27.3K and 23.5K to support the training program at regional and national level, respectively.																												
7	3300	Increase 57.9 K to cover the activities by the PCU (13k), Indonesia (18.8k), Malaysia and Philippines (2.6k)																												
8	4100	Increase 3.3 K to cover the activities requested by the Cambodia (1,1k), Malaysia (1.4k), Thailand (2.4k), and PCU (0.34k)																												
9	4200	Increase 0.12k to cover the expenses before q3/2021																												
10	4300	Reduce to cover the anticipated expenses under BL 1600 (23.41k) requested by Malaysia																												
11	5100	Increase 2.45 K to cover the activities requested by the Cambodia, Thailand, and PCU.																												
12	5200	Increase 3.59 K to cover the activities requested by all countries and PCU.																												
13	5300	Increase 1.72 K to cover the activities requested by Cambodia, Philippines and the PCU																												
15	5500	Reduce 22k from the PCU to cover the anticipated expenses under the BL2200, BL4100, BL4200, BL5200, and BL5300																												
		<table border="1"> <tr> <td>NAME</td> <td colspan="5">DR. SOMBOON SIRIRAKSOPHON</td> </tr> <tr> <td></td> <td colspan="5">Project Director</td> </tr> <tr> <td>SIGNATURE</td> <td colspan="5"></td> </tr> <tr> <td>DATE</td> <td colspan="5">10-Dec-21</td> </tr> </table>					NAME	DR. SOMBOON SIRIRAKSOPHON						Project Director					SIGNATURE						DATE	10-Dec-21				
NAME	DR. SOMBOON SIRIRAKSOPHON																													
	Project Director																													
SIGNATURE																														
DATE	10-Dec-21																													
* Remark: The 3rd Budget Revision as of 30 September 2021 was approved by the Project Steering Committee at its PSC6 Ad-hoc Meeting held on 30 November 2021.																														



## ANNEX 12: PROGRESS ON THE PROJECT EXTENSION LOA/MOU/LOI

### BETWEEN SEAFDEC AND PARTICIPATING COUNTRY

During the Forth Project Steering Committee Ad-hoc Meeting under the Project “Establishment and Operation of a Regional System of Fisheries Refugia in the South China Sea and Gulf of Thailand” organized by the SEAFDEC Project Coordination Unit (PCU) on 6 October 2020, the Steering Committee agreed on a two-year extension of the Project with no additional funds from the donor, and endorsed the proposed revision of the budget and costed work plan. Subsequently, SEAFDEC and the United Nations Environment Programme (UNEP) has signed an Amendment to the Project Cooperation Agreement in order to extend the Project for 2 years from January 2021 to December 2022. The project extension would enable project partners to complete their activities and deliver the planned outputs.

Simultaneously, the Letter of Agreement (LoA) between SEAFDEC and the national level implementing agencies from 6 participating countries was expired on 31 December 2020. Due to this, SEAFDEC has coordinated with 6 participating countries to have a new LoA to extend the implementation of the national-level activities of the project to 31 December 2021. The progress on the project extension LOA/MOU between SEAFDEC and the participating country as of 30 November 2021 was shown in table 1.

**Table 1.** The progress on the project extension LOA/MOU between SEAFDEC and the participating country as of 30 November 2021

Country	Progress	Country Coordinator	Challenge
Cambodia	Completed (19 Jul. 2021)		
Indonesia	In the process of consideration on the draft of the new LoA by AMFRHR	SEAFDEC National Coordinator/ FR-National Focal Point	Due to the COVID-19 situation, The AMFRHR office was lockdown since early July 2021
Malaysia	Completed (22 Oct. 2021)		
Philippines	Completed (13 Sep. 2021)		
Thailand	Completed (30 Sep. 2021)		
Vietnam	Completed (23 Nov. 2021)		



## **ANNEX 13: GEF SMALL GRANTS PROGRAMME TO SUPPORT THE IMPLEMENTATION OF THE FISHERIES REFUGIA PROJECT**

### **I. INTRODUCTION**

Considering the logical framework matrix for component 1 on identifying and managing fisheries and critical habitat linkages at priority fisheries refugia proposed by country, the strengthened community participation in fisheries refugia management is needed. The baseline information showed low-level civil society, community organization, and the private sector in site-based fisheries and habitat management. The opportunity is that the GEF Small Grants Programme (SGP) complements the large and medium-sized GEF project funding by providing a window for the direct participation of NGOs, local communities, and other grassroots organizations to apply for a grant. The SGP grants are made directly to community-based organizations (CBOs) and non-governmental organizations (NGOs) to recognize the critical role as a resource and constituency for environmental and development concerns. The maximum grant amount per project is US\$50,000 but averages around US\$25,000.

### **II. A PARTNERSHIP BETWEEN UNDP AND UNEP SAP/SCS**

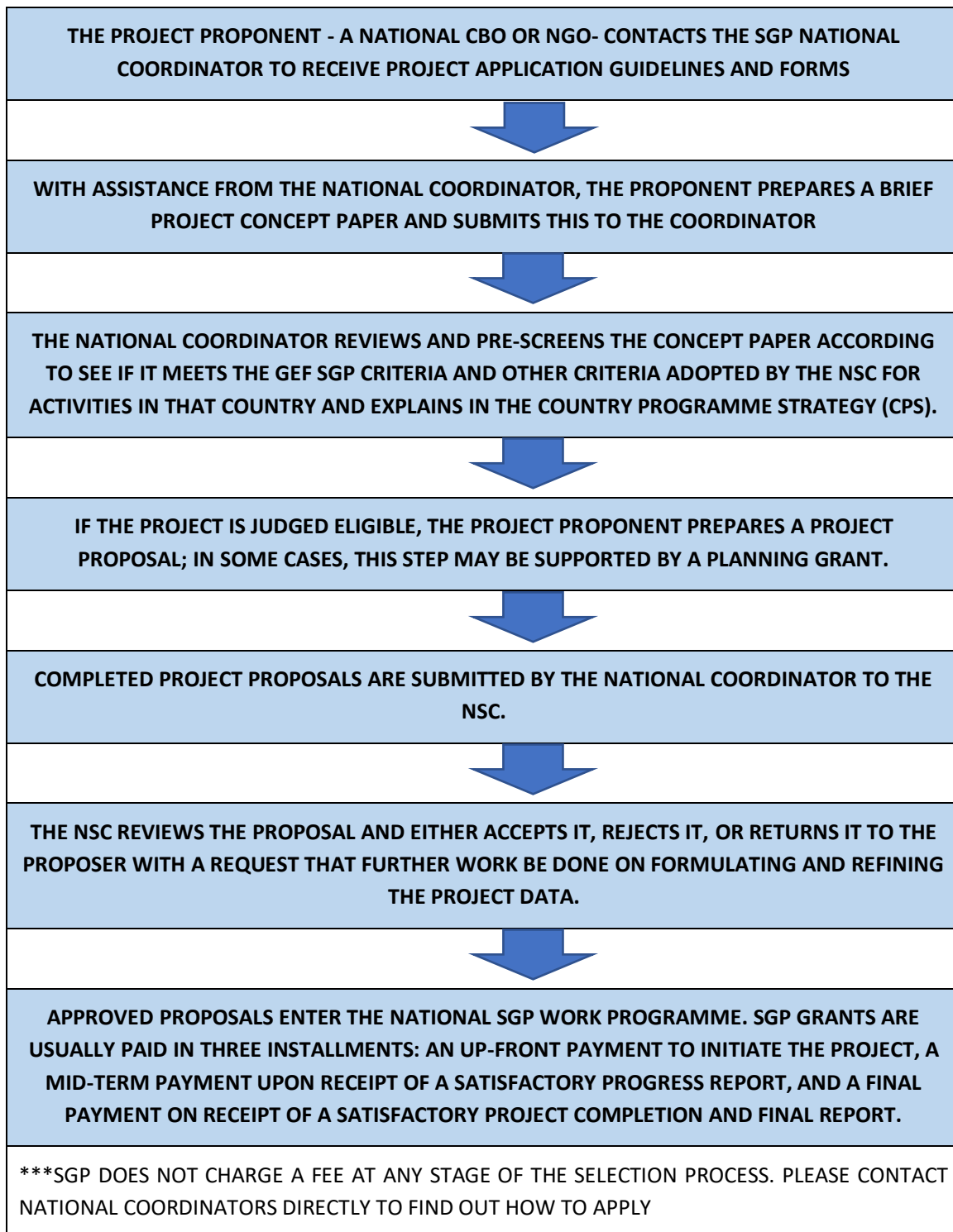
A partnership agreement between UNDP and UNEP to implement the regional strategic action program for the south china sea (SAP/SCS) was entered in September 2008 to support the implementation of the regional strategic action program (SAP) in the south china sea. This partnership agreement was built upon a joint communiqué between the UNEP/GEF SOUTH CHINA SEA project entitled "Reversing Environmental Degradation Trends in the South China Sea and the Gulf of Thailand" (SCS) and the GEF SGP. Considering that the GEF/UNEP/SEAFDEC project on Establishing and Operations of a Regional System of Fisheries Refugia in the South China Sea and the Gulf of Thailand is a part of the SAP/SCS, while the partnership is still valid. It is an opportunity given to the project partnerships from civil society, community organizations, and the private sector to seek funds from SGP in supporting the project implementation.

### **III. EXPECTED OUTCOME AND TARGET OUTPUTS**

The expected outcome is strengthened community participation in fisheries refugia management in the project sites. In addition, the target output is the operational partnership with the GEF Small Grants Programme to support civil society and community organization participation in the management of fisheries refugia at the project sites.

#### IV. HOW TO APPLY FOR THE GRANTS

Below is a graph that explains the usual steps to apply to SGP:



**V. ELIGIBILITY REQUIREMENTS**

All project proposals submitted to SGP country teams need to demonstrate:

- ❖ The proposed project proposal meets the GEF SGP criteria by articulating how project objectives and activities would impact the SGP areas of work -GEF focal areas.
- ❖ How they respond or are aligned to the targets and goals of their country's SGP country program strategy.
- ❖ Be proposed by national CBOs and NGOs that linked to the national fisheries refugia project implementation.

**VI. NATIONAL COORDINATORS**

COUNTRY	CONTACT NAME AND ADDRESS
CAMBODIA	<p>Ms. Navirak Ngin National Coordinator phone: +(855-23) 860900 ext.1958 email: <a href="mailto:ngin.navirak@undp.org">ngin.navirak@undp.org</a></p> <p>Ms. Socheata Kim Programme Assistant phone:+ 855 860900 ext.1958 email: <a href="mailto:socheata.kim@undp.org">socheata.kim@undp.org</a></p>
INDONESIA	<p>Ms. Catharina Dwihastarini National Coordinator phone:+ (62-21) 720-6125/722-0905 fax:+ (62-21) 722-0905 email: <a href="mailto:dwihastarini@sgp-indonesia.org">dwihastarini@sgp-indonesia.org</a></p>
MALAYSIA	<p>Ms. Shin Shin, Lee National Coordinator phone:+ 603-8689 6055 email: <a href="mailto:shin.shin.lee@undp.org">shin.shin.lee@undp.org</a></p> <p>Ms. Nurul Fitrah Mohd Ariffin Marican Programme Assistant email: <a href="mailto:nurul.fitrah.mohd.ariffin.marican@undp.org">nurul.fitrah.mohd.ariffin.marican@undp.org</a></p>
PHILIPPINES	<p>Ms. Rochelle Villanueva Programme Associate phone: +63 2 2944082 email: <a href="mailto:rochelle.villanueva@undp.org">rochelle.villanueva@undp.org</a></p> <p>Atty. Rodolfo Ferdinand Jr Quicho Country Programme Manager phone: +63 2 2944082 fax: +63 2 2944082 EMAIL: <a href="mailto:rodolfo.quicho@undp.org">rodolfo.quicho@undp.org</a></p>
THAILAND	<p>Ms. Suwimol Sereepaowong National Coordinator</p>

	<p>Email:<a href="mailto:suwimol.sereepaowong@undp.org">suwimol.sereepaowong@undp.org</a></p> <p>Ms. Thadthana Luengthada  Programme Assistant  Phone:+ 66 2 3049100 ext 2131  Fax:+ 66 2 2804294  Email:<a href="mailto:thadthana.luengthada@undp.org">thadthana.luengthada@undp.org</a></p>
VIET NAM	<p>Mrs. Huyen NGUYEN  National Coordinator  Phone:+ (84 24) 38500150  Fax:+ (84-24) 37265520  Email:<a href="mailto:nguyen.thi.thu.huyen@undp.org">nguyen.thi.thu.huyen@undp.org</a></p> <p>Ms. Nguyen Hai Yen  Programme Assistant  Phone:+ (84 24) 38500155  Fax:+ (84-24) 37265520  Email:<a href="mailto:nguyen.thi.hai.yen@undp.org">nguyen.thi.hai.yen@undp.org</a></p>

**VII. ACTIONS BY THE PROJECT STEERING COMMITTEE:**

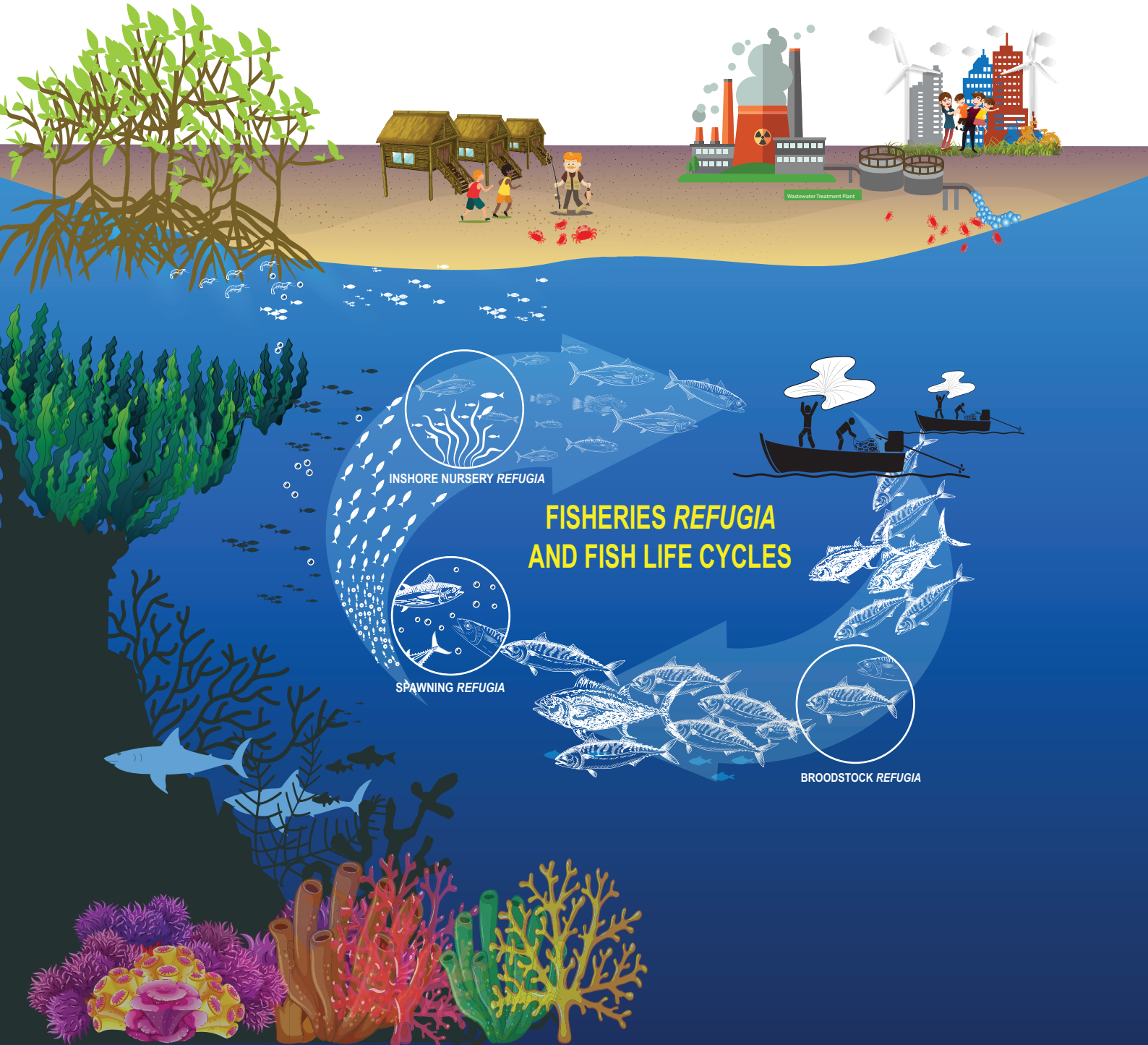
- ❖ The committee is invited to note the issue on Gef Small Grants Programme to Support the Implementation of Fisheries Refugia Project under the SAP/SCS
- ❖ The committee is welcome to seek more clarification, advice, and intervention on country interest to seek the grant.







# The Establishment and Operation of A Regional System of Fisheries *Refugia* in the South China Sea and Gulf of Thailand is a part of Strategic Action Programme for the South China Sea



## OUR KEY PARTNERS



FIA  
CAMBODIA



MMAF  
INDONESIA



DOF  
MALAYSIA



NFRDI  
PHILIPPINES



DOF  
THAILAND



D-FISH  
VIET NAM